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How to Use This Book

The objective of this Self-Teaching Guide is to take what is currently being taught in most college biology courses and make it easier to learn. And in so doing, the student is more successful. This book organizes, condenses, and clarifies the main concepts and terms, highlighting all the primary points needed to fully grasp the material and to do well in any biology course.

The chapters and questions provide excellent preparation for quizzes, tests, exams, prelims, and finals. This Self-Teaching Guide has a study section at the end of each chapter where key terms, questions to think about, and multiple-choice questions like those that appear on exams (complete with the answers), are presented. Plus, this book is the perfect study companion when preparing for standardized exams in biology such as the Student Aptitude Test (SAT), The American College Testing Program Assessment (ACT), Admissions Testing Program Achievement Test, in Biology, Advanced Placement Program: Biology, College Level Examination Program: Subject Examination in Sciences—Biology, National Teacher Examinations (NTE) Specialty Area Test: Biology and General Sciences, and the Graduate Record Examinations (GRE): Subject Test—Biology.
Preface

I’ve been the student, the TA, the professor, chair, dean, and director. I have worked with students at all levels, and we’ve used many different biology texts. The need is clear, the similarities are apparent. Students almost unanimously agree, biology courses need something. They force you to learn too much too fast. What you’re taught and what you read is usually too hard to understand.

Having remedied biology courses’ weaknesses and built on their strengths, this book allows us to collaborate, bridging the gap between what’s being taught and what’s being learned. Although I’d prefer to be there teaching you all personally, through *Biology: A Self-Teaching Guide*, the net effect will be positive. The formula works!
Acknowledgments

Promoting biological literacy is a noble task. I’m sure I speak for my compa-
triots and collaborators when I say wherever we clarified the occasional
conundrum and its ancillary ambiguities, it was and is our pleasure.

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ural History, The National Park Service, and the New York City Depart-
ment of Parks and Recreation.
The evolution of life on earth has involved the following sequence of events. The first living things to appear were the simplest creatures, one-celled organisms. From these came more complex, multicellular organisms. Becoming more complex meant more than just an increase in cell number. With more cells came cellular specialization, where certain cells within the multicellular organism carried out specific tasks. Millions, even billions of years of organismal changes led to the living things we now call plants and animals.

Since this basic sequence of events is in accord with that agreed upon by most geologists, paleontologists, biologists, and even theologians, one might conclude that Moses, Aristotle, and Darwin were all keen observers and naturalists who were able to logically assess the most probable creation story.

Scientists generally concur that the time from the formation of our solar system until now has been on the order of some 4.5 billion years. Those who believe the world as we know it was created in six days are often called creationists. Their method of inquiry is based on the belief that the Bible is to be accepted as a completely accurate accounting of all about which it speaks. Scientists, on the other hand, utilize what they call the scientific method, which allows them to test hypotheses and theories and to develop concepts and ideas. However, there are many good scientists who also happen to be
creationists. Even though the two are often compared and contrasted, the fact is that creationism is not a science, and therefore it is not dealt with in most biology books.

### Spontaneous Generation

An early hypothesis concerning the origin of living organisms from nonliving material is known as **spontaneous generation**. This concept had many adherents for over a thousand years. Aristotle believed insects and frogs were generated from moist soil. Other elaborations on this basic theme prevailed for centuries. It wasn’t until 1668 that Francesco Redi, an Italian, challenged the concept of spontaneous generation when he tested the widespread belief that maggots were generated from rotting meat. He placed dead animals in a series of jars, some of which were covered with a fine muslin that kept flies out while allowing air in. Other jars containing dead animals were left open. Maggots appeared only on the meat in the jars that were left open. In these, flies had been able to lay their eggs, which then hatched into fly larvae, or maggots. The flies were unable to land on the meat in the covered jars, and no maggots appeared there. From this he concluded that maggots would arise only where flies could lay their eggs.

During the nineteenth century, following other experiments, the theory of spontaneous generation of microorganisms was laid to rest by experiments conducted in France by Louis Pasteur and in England by John Tyndall. They demonstrated that bacteria are present in the air, and if the air surrounding a heat-sterilized nutrient broth is bacteria-free, then the broth remains bacteria-free. Until this time, people still believed microorganisms arose spontaneously.

One last vestige of mysticism in the debate concerning spontaneous generation had to be invalidated before theories regarding the origin of life could move ahead; this was known as the **vitalist doctrine**. Adherents of this idea maintained that life processes were not determined solely by the laws of the physical universe, but also partly by some **vital force**, or **vital principle**. By the late 1870s, most scientists agreed that all organisms arose from the reproduction of preexisting organisms, and the concept of spontaneous generation had become history.

### Conditions for the Origin of Life

Life is thought to have developed here on earth through a sequence of chemical reactions over time. The most widely held hypothesis begins with
the formation of the sun and the planets, which coalesced from a cloud of matter that resulted from a supernova, an old star that had exploded. Given the same explosion and the same amount of time, the same sequence of events would probably happen again, though the results might not be quite the same.

In what became our solar system, the largest mass to coalesce became our sun, and one of the smaller masses became our earth. On earth, the heavier materials sank to the core of the planet while the lighter substances are now more concentrated at the surface. Among these are hydrogen, oxygen, and carbon—important components for all life that eventually evolved.

The primordial atmosphere on earth was considerably different from that which currently exists. The present atmospheric gases are composed primarily of molecular nitrogen (N₂, 78 percent) and molecular oxygen (O₂, 21 percent), with a small amount of carbon dioxide (CO₂, 0.033 percent) and many other gases, such as helium and neon, found in only trace amounts.

The composition of today’s atmosphere differs markedly from that found here when life was just beginning to evolve. At that time, the atmosphere contained far more hydrogen, and unlike now, there was very little oxygen. In such an atmosphere, the nitrogen probably combined with hydrogen, forming ammonia (NH₃); the oxygen was probably found combined with hydrogen in the form of water vapor (H₂O), and the carbon occurred primarily as methane (CH₄). The moderately high temperatures of the earth’s crust continually evaporated any water that rained into the form of water vapor. As the earth cooled, rain water washed dissolved minerals into low areas creating lakes, seas, and oceans. In addition, volcanic activity erupting in the oceans and on land brought other minerals to the earth’s surface, many of which eventually accumulated in the oceans, such as the various types of salts. It should also be mentioned that long before there was any life on earth, the seas contained large amounts of the simple organic compound methane.

Most of the compounds necessary for the development of the initial stages of life are thought to have existed in these early seas. Other studies have indicated that suitable environments for the first steps leading to living material could have existed elsewhere as well. But these environments are still poorly understood, and their potential connection with the origin of life is unclear.

**Experimental Search for Life’s Beginnings**

In the 1920s, S. I. Oparin, a Soviet scientist, investigated how life could have evolved from the inorganic compounds that occurred on earth billions of
years ago. His work is credited with leading to important later advances, most prominent of which were Stanley Miller’s experiments during the 1950s. Miller duplicated the chemical conditions of the early oceans and atmosphere and provided an energy source, in the form of electric sparks, to generate chemical reactions. He found that when warm water and gases containing the compounds presumed to be found in the early oceans and in the earth’s primordial atmosphere were subjected to sparks for about a week, organic compounds were formed.

Subsequent experiments, such as those performed by Melvin Calvin and Sydney Fox, have shown that many of the important so-called building blocks of life, or the amino acids that make up proteins, form quite readily under circumstances similar to those first established experimentally by Stanley Miller.

The thin film of water found on the microscopic particles that make clay has been shown to possess the proper conditions for important chemical reactions. Clays serve as a support and as a catalyst for the diversity of organic molecules involved in what we define as living processes. Ever since J. Desmond Bernal presented (during the late 1940s) his ideas concerning the importance of clays to the origin of life, additional prebiotic scenarios involving clay have been proposed. Clays store energy, transform it, and release it in the form of chemical energy that can operate chemical reactions. Clays also have the capacity to act as buffers and even as templates. A. G. Cairns-Smith analyzed the microscopic crystals of various metals that grew in association with clays and found that they had continually repeating growth patterns. He suggested that this could have been related to the original templates on which certain molecules reproduced themselves. Cairns-Smith and A. Weiss both suggest clays might have been the first templates for self-replicating systems.

Some researchers believe that through the mutation and selection of such simple molecular systems, the clay acting as template may eventually have been replaced by other molecules. And in time, instead of merely encoding information for a rote transcription of a molecule, some templates may have been able to encode stored information that would transcribe specific molecules under certain circumstances.

Other scenarios have been suggested to explain how the molecules that make more molecules could have become enclosed in cell-like containments. Sydney Fox and coworkers first observed that molecular boundaries between protein–nucleic acid systems can arise spontaneously. They heated amino acids under dry conditions and ascertained that long polypeptide chains were produced. These polypeptides were then placed in hot-water solutions, and upon cooling them, the researchers found that the polypep-
tides coalesced into small spheres. Within these spherical membranes, or microspheres, certain substances were trapped. Also, lipids from the surrounding solution became incorporated into the membranes, creating a protein-lipid membrane.

Oparin said “the path followed by nature from the original systems of protobionts to the most primitive bacteria . . . was not in the least shorter or simpler than the path from the amoeba to man.” His point was that although the explanations intended to show how organic molecules could have been manufactured in primitive seas or on clays seem quite simple, and although one can see how such molecules could have been enclosed inside lipid-protein membranes, taking these experimental situations and actually creating living cells is a tremendous leap that may have taken, at the very least, hundreds of millions of years, perhaps considerably longer.

Panspermia

Although most modern theorists do not accept the idea that living organisms are generated spontaneously, at least not under present conditions, most do believe that life could have and probably did arise spontaneously from non-living matter under conditions that prevailed long ago, as described above. However, other hypotheses have also been suggested for the origin of life on earth.

In 1821 the Frenchman Sales-Guyon de Montlivault described how seeds from the moon accounted for the earliest life to occur on earth. During the 1860s, a German, H. E. Richter, proposed the possibility that germs carried from one part of the universe aboard meteorites eventually settled on earth. However, it was subsequently found that meteoric transport could be discounted as a reasonable possibility for the transport of living matter because interstellar space is quite cold (−220°C) and would kill most forms of microbial life known to exist. And even if something had survived on a meteor, reentry through the earth’s atmosphere would probably burn any survivors to a crisp.

To counter these arguments, in 1905 a Swedish chemist, Svante Arrhenius, proposed a comprehensive theory known as panspermia. He suggested that the actual space travelers were the spores of bacteria that could survive the long periods at cold temperatures (some bacterial spores in Carlsbad, New Mexico, survived for 250 million years and were recently revived), and instead of traveling on meteors that burned when plummeting through the atmosphere, these spores moved alone, floating through interstellar space, pushed by the physical pressure of starlight.
The main problem with this theory, overlooked by Arrhenius, is that ultraviolet light would kill bacterial spores long before they ever had a chance to reach our planet’s atmosphere. This explains the next modification to the theory.

Francis Crick, who along with James Watson received the Nobel Price for discovering the structure of DNA, coauthored an article with Leslie Orgel, a biochemist, in 1973. Their article, “Directed Panspermia,” was followed by the book *Life Itself*, in which Crick suggests that microorganisms, due to their compact durability, may have been packaged and sent along on a spaceship with the intention of infecting other distant planets. The only link missing from Crick’s hypothesis was a motive.

## Probing Space for Clues of Life’s Origins on Earth

Recent information concerning the origin of life has opened new avenues of research. To the surprise of many, spacecraft that flew past Halley’s Comet in 1986 sent back information showing the comet was composed of far more organic matter than expected. From that, and additional evidence, some have concluded that the universe is awash with the chemical precursors of life. Lynn Griffiths, chief of the life sciences division of the National Aeronautics and Space Administration, said “everywhere we look, we find biologically important processes and substances.”

We have known for years, from fossil evidence, that bacteria appeared on earth about 3.5 billion years ago, a little more than 1 billion years after the solar system formed. The great challenge has been to learn how, within that first billion years, simple organic chemicals evolved into more complex ones, then into proteins, genetic material, and living, reproducing cells.

As this current theory stands, it is felt that some 4 billion years ago, following the formation of the solar system, vast quantities of elements essential to life, including such complex organic molecules as amino acids, were showered onto earth and other planets by comets, meteorites, and interstellar dust. Now seen as the almost inevitable outcome of chemical evolution, these organic chemicals evolved into more complex molecules, then into proteins, genetic material, and living, reproducing cells.

Unfortunately, no traces of earth’s chemical evolution during the critical first billion years survive, having all been obliterated during the subsequent 3.5 billion years. Biologists and chemists now feel, however, that clues concerning the first stages in the origin of life on earth can be found by looking elsewhere in the solar system. Planetary scientists are to be launching new
probes that will eventually investigate these questions, looking for evidence revealing the paths of chemical evolution that may have occurred, or may still be occurring, on planets, moons, comets, and asteroids.

**KEY TERMS**

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**SELF-TEST**

**Multiple-Choice Questions**

1. People who believe the biblical explanation that the world and all its creatures were created in six days are known as:
   a. evolutionary biologists
   b. molecular biologists
   c. systematists
   d. cladists
   e. creationists

2. Scientists use what they call __________, which allows them to test hypotheses and theories and to develop concepts and ideas.
   a. Occam's razor
   b. religious dogma
   c. religious faith
   d. scientific method
   e. creation science

3. Aristotle believed insects and frogs were generated from nonliving components in moist soil. This early hypothesis concerning the origin of living organisms is known as __________.
   a. evolution
   b. spontaneous generation
   c. materialism
   d. creationism
   e. Aristotelian generation

4. Adherents of the __________ maintained that life processes were not solely determined by the laws of the physical universe, but rather, they also depend on some vital force, or vital principle.
   a. dogmatic principle
   b. Darwinian approach
   c. vitalist doctrine
   d. Lamarckian principle
   e. all of the above
5. The composition of today’s atmosphere differs markedly from that found here when life was just beginning to evolve. At that time the atmosphere contained far more __________.
   a. hydrogen  d. iridium
   b. oxygen  e. all of the above
   c. potassium

6. When the chemical conditions of the early oceans and atmosphere are duplicated in the lab and provided with an energy source in the form of electric sparks, __________ (has) have been formed.
   a. life  d. a and b
   b. organic molecules  e. b and c
   c. amino acids

7. __________ (has) have been shown to serve as a support and as a catalyst for the diversity of organic molecules involved in what we define as living processes.
   a. quartz crystals  d. clay
   b. gold  e. all of the above
   c. plutonium

8. When researchers heated amino acids under dry conditions, long polypeptide chains were produced. When these chains were placed in a hot-water solution and then allowed to cool, the polypeptides coalesced into small spheres called __________, within which certain substances were trapped. Molecules that make more molecules could have become enclosed in such cell-like containments.
   a. cells  d. microspheres
   b. cell membranes  e. all of the above
   c. cell walls

9. It was proposed that germs would have been carried to earth from another part of the universe via meteorites. Such transport was finally discounted, however, because __________.
   a. heat generated during entry  c. nothing could possibly survive interstellar space
   b. no such life was ever found on meteorites  d. all of the above
   e. none of the above
10. ________, the comprehensive theory proposed in 1905 by the Swedish chemist Svante Arrhenius, stated that spores of bacteria that could survive the long periods of cold traveled alone through interstellar space, pushed along by the physical pressure of starlight.

a. panspermia
d. germspermia
b. Arrheniusism
e. intergalactic sporesia
c. microspermia

**Answers**

1. e  4. c  7. d  10. a
2. d  5. a  8. d
3. b  6. e  9. a

**Questions to Think About**

1. Briefly discuss the major theories concerning the origin of life. Give their strong points and their weak points.

2. What is the role that clay is theorized by some to have played in the origin of life?

3. Researchers have experimentally searched for life’s beginnings by duplicating the chemical conditions of the early oceans and atmosphere in the lab. Describe some of their results and the implications they hold for the origin of life.

4. Discuss some of the proposed explanations for the origin of life on earth that suggest life came here from another place.

5. What recent clues to life’s origins on earth have come from space probes?
Anything as small as a cell was unknown before sophisticated optics became available. During the seventeenth century, ground lenses that were being used for eyeglasses were first arranged at opposite ends of a tube, creating a small telescope. It was a short step to the invention of the microscope; one of the first was constructed by the Dutchman Antonie van Leeuwenhoek. With this light microscope, the examination of specimens was facilitated by thinly slicing them, allowing light to pass through. By staining the specimens, it was possible to emphasize internal structures. For instance, staining cellular fluids pink and staining solid, hard structures purple provided increased contrast, enabling those who study cells, known as cytologists, to discern these structures more clearly.

While some light microscopes permit researchers to view objects at as much as 1,500 times (1500×) their actual size, stereomicroscopes, also called dissecting microscopes, magnify objects from only 4× to 80×. With two eyepieces, the advantage to this low-powered, three-dimensional view is that researchers can investigate much larger objects, such as the venation of insect wings (see Figure 2.1).

Since the invention of the light microscope, the most significant techno-
logical advance for cell researchers was the development of the electron microscope (EM), which occurred in the early 1930s. It not only improved the ability to see smaller structures with greater magnification—so they appeared larger—but also enhanced the ability to see things more clearly, or with added resolution.

When it was discovered that the illumination of specimens with blue light under the light microscope lent considerably greater resolution than with any colors of longer wavelengths, researchers speculated that using shorter wavelengths might add even more resolution. However, wavelengths shorter than those of violet light are not visible to the human eye. This problem led to the invention of the transmission electron microscope (TEM), which utilizes a beam of electrons that travel in shorter wavelengths than those of photons in visible light. These electrons are passed through a thinly sliced specimen within a vacuum to prevent any electrons from being deflected and absorbed by the gas molecules in the air. Then the electrons are focused with electromagnets on a photographic

Figure 2.1. Light microscope (left) and stereomicroscope (right). The resolving power of the light microscope rarely exceeds a magnification of 1500×. The stereomicroscope, sometimes called a dissecting microscope, has two eyepieces, which render relatively large objects three-dimensional. Magnification ranges from 4x to 80x.
plate, producing an image that is considerably better than that obtained with a light microscope.

Then in the 1950s the scanning electron microscope (SEM) was invented, which focuses electrons that bounce off the specimen. Since the SEM has less resolving power than the TEM, it doesn’t require a vacuum and allows researchers to view some smaller organisms alive.

The most recent advances in microscope technology allow scientists to observe living cells with even greater magnification. One of the newly developed techniques is called contact X-ray microscopy. Another microscope, the scanning tunneling microscope, enables scientists to photograph molecules.

**Cells**

With the availability of the first microscopes, researchers began to observe the microscopic structure of many substances, and in 1665 the Englishman Robert Hooke described having seen what he called cells in a piece of cork. He used this term because the cork appeared to be composed of thousands of tiny chambers that resembled the individual sleeping rooms in monasteries at the time, which were called cells. He was not aware that he was viewing just the cell walls, which were the only structures remaining from what had once been living cells.

Hooke’s initial discovery led to other advances, such as the finding that unlike plant cells, which have thick cell walls, animal cells lack such a wall and instead have only a thinner, generally more flexible plasma membrane (see Figure 2.2).

Cells were then found to exist independently or as one small part of an organism consisting of many cells, a multicellular organism. Hooke was the first to discover that some organisms consist entirely of a single cell. These unicellular organisms, such as thousands of species of bacteria and protozoa, carry out all necessary life-supporting functions within one cell without the help of other cells. In contrast, multicellular organisms have cells with specific functions, and together the aggregate of cells embodies a complex organism.

**Cell Theory**

It took about 150 years after Hooke discovered cells before several important related facts were articulated. Two German scientists, Matthias Schleiden
and Theodor Schwann, were the first to explain, in 1838 and 1839 respectively, the basic tenets of what we now call cell theory:

1. Cells are the fundamental units of life.
2. Cells are the smallest entities that can be called living.
3. All organisms are made up of one or more cells.

Cell Structure and Cell Size

The longest cells are certain nerve cells (neurons), which can reach over a meter in length. While an ostrich egg is 1,500 times the size of a human egg cell—which is 14 times the size of a human red blood cell, itself as much as 35 times the size of many small single-celled microorganisms—most cells do have one thing in common: They tend to be quite small. While the size range reflects considerable diversity, most cells are 0.5 to 40 microns in diameter (1,000 microns equals one millimeter; 1,000,000 microns equals one meter; micron is short for micrometer).

Figure 2.2. Linearly arranged cell walls in the annual growth rings of a pine tree. In the aggregate, it is the cellulose of each cell wall that gives the tree its rigidity.
Small cell size is thought to be a function of the restriction placed on cells by the ratio of surface area to volume. Cells are constantly absorbing molecules from the surrounding medium and releasing molecules into the surrounding medium. These processes are more readily accomplished when a cell is small and the ratio of surface area to volume is quite large. As a cell increases in size, the amount of volume inside the cell increases much more rapidly than the amount of surface surrounding the cell, and in time the cell becomes too large to maintain a stable internal environment.

Many scientists believe that it is more difficult for the nuclear material to maintain control over the entire internal environment when a cell is over a certain size. Therefore, if a small nucleus is most often the rule, then an upper limit is placed on the size of most cells.

**Cytoplasm and Nucleoplasm**

Except for the nucleus (or nuclei), everything within the plasma membrane is called the **cytoplasm**. The **nucleoplasm** consists of the contents within the nuclear membrane.

The cell’s interior is composed largely of a complex **solution** as well as a heterogeneous **colloid**.

A **solution** is a homogeneous mixture of two or more components in which the particles of the different substances are so small that they cannot be distinguished.

A **colloid** usually contains particles that are too small to be seen but are large enough not to form a true solution. The particles don’t settle out at an appreciable rate. Different areas inside a cell may be in different colloidal states.

Some areas are in a **sol** state—that is, the colloidal particles, which are usually macromolecules, are randomly dispersed throughout the area.

The other parts of a cell may be in a **gel** state, in which the colloidal particles interact and form a spongy network. A colloid in the gel state forms a semisolid.

Changes from the sol to the gel state may be stimulated by changes in **pressure, agitation, temperature, pH, salt concentration, or the concentration of other substances**.
Some mixtures containing proteins and lipids have properties of true solutions as well as some sol-gel capabilities of a colloid, and this often typifies the internal fluid environment of a cell.

**Cell Membrane**

The **cell membrane** is the membrane surrounding the cytoplasm at the cell’s surface. These membranes contain varying amounts of **proteins** and **lipids**. The specific types of lipids, which are fat-soluble substances, located in the cell membrane are primarily **phospholipids** and **steroids**. The total thickness of the cell membrane, which is sometimes also called the **plasma membrane**, is only about 80 angstroms (there are 10 million angstroms per millimeter; there are 10 billion angstroms per meter).

**Movement through the Cell Membrane**

In addition to providing protection, shape, and strength to the cell, the membrane helps regulate the flow of materials in and out of the cell. Many processes work in concert to maintain the cell’s constant internal environment. The condition of constant internal environment is referred to as **chemical homeostasis**, and the methods a cell employs to maintain this constant environment are described below. The two basic methods require different energy input. When the movement of molecules occurs on its own, without any organized energy input from the cell, the movement is termed **passive transport**. When the cell expends energy to move molecules from one location to another, the process is known as **active transport**.

**Diffusion**

One type of passive transport, called **diffusion**, may occur in a dynamic system such as a gas or liquid, in which particles may be moving in a manner that appears random (see Figure 2.3). In a system where diffusion occurs, a net movement of particles can be observed over time from regions of higher concentration of that substance to regions of lower concentration of that substance.

The particles moving from the area of greater concentration will produce a **concentration gradient** ranging from the point of highest concentration to the point farthest away, where the lowest concentration
occurs. The particles don’t move in a straight line, but the concentration gradient is instead a result of many, small movements. The tendency is for molecules to move down a concentration gradient—that is, they go from an area of highest concentration of that molecule to where the concentration is less. This movement leads to the point when the molecules are eventually dispersed evenly throughout the environment where they are all able to move equally freely.

The movement of the particles can proceed at different rates, depending

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**Figure 2.3.** The top three boxes illustrate diffusion. Dissolved molecules enter the enclosed area and move about within the medium (either liquid or gas). Eventually the molecules become randomly distributed throughout the enclosure. The bottom three boxes illustrate osmosis. The percentage of dissolved materials inside the enclosure is greater than the percentage outside the enclosure. To counteract the difference, water molecules diffuse through the membrane. The osmotic process continues until the percentage of water molecules inside the membrane equals the percentage outside, unless the membrane becomes stretched to capacity and can no longer accept additional molecules. At such a point it either remains firm (turgid), or it may burst, or in some cases a mechanism such as active transport pumps water out of the cell.
on such factors as the concentration and temperature of the medium. Some membranes are impermeable to the movement of anything through them. Other membranes may exclude some substances, but they are permeable to others. A partially or differentially permeable membrane is called a semi-permeable membrane.

**Osmosis**

Another example of passive transport is osmosis, which involves the movement of water through a semipermeable membrane (see Figure 2.3). The pressure exerted by dissolved particles in a solution or a colloid, known as osmotic pressure, moves water across a semipermeable membrane. When a semipermeable membrane separates two solutions of pure water, the two sides are at equilibrium—that is, the osmotic pressure is equal on both sides. When the osmotic pressure is the same on both sides of a semipermeable membrane, each solution is said to be isosmotic or isotonic. This means that each medium has the same concentration of osmotically active particles, and each has the same osmotic pressure, so neither tends to lose or gain appreciable quantities of water via osmosis.

Another alternative exists when a cell is in a medium with a higher concentration of osmotically active dissolved or colloidal particles, rendering a higher osmotic pressure. In such a situation, water moves across the cell membrane into the medium that is hyperosmotic (also called hypertonic) relative to the solution inside the cell.

When a cell is in a medium with a lower concentration of osmotically active dissolved or colloidal particles, and the solution thus has a lower osmotic pressure than that inside the cell, the cell is said to be in a medium that is hypoosmotic (or hypotonic) relative to it, so water moves into the cell.

To avoid confusion, one should be careful to note the point of reference of these terms. For instance, when the internal environment of a cell has a higher concentration of osmotically active particles than the external medium, this means the medium inside the cell is hyperosmotic relative to the external environment. Either way one chooses to state it, the water still moves from the hypoosmotic environment into the hyperosmotic environment. Water moves from where the osmotic pressure is low to where the osmotic pressure is high (to where there are more osmotically active particles). If this process is allowed to continue, all things being equal, the solutions on either side of the semipermeable membrane should eventually become isosmotic to each other.

If particulate matter is poured into one of the two previously isosmotic
solutions, and the particles dissolve into solution, then the equilibrium will be upset and the osmotic pressure that now exists between the two sides will be unequal. Water will move from the area of lower concentration of dissolved molecules.

The permeability of different types of plasma membranes varies significantly. An amoeba’s plasma membrane has less than 1 percent of the permeability to water of the membrane of a human red blood cell. Most plasma membranes are quite permeable to water and other substances such as many simple sugars, amino acids, and lipid-soluble substances. Plasma membranes are relatively impermeable to larger complex molecules such as polysaccharides and proteins.

Permeability to small molecules indicates there are pores through which these particles can pass. It may be that these pores open and close according to the cell’s needs at a given time. The membrane’s permeability to lipid substances is related to the lipids that make up part of the membrane. Lipids are able to dissolve right through the membrane. In the cell membrane are carrier molecules that help move certain substances in and out of the cell. They are described in the following discussions on facilitated diffusion and active transport.

**Facilitated Diffusion**

One of the two main types of transport that involve active, as opposed to passive, transport is known as **facilitated diffusion**. Here, carrier molecules move substances either in or out of a cell in a manner that increases the rate of diffusion across the membrane. The mechanism involved combines molecules that are to be moved across the membrane with the carrier molecules in the membrane. In such a manner, molecules are picked up on one side of the membrane and released on the other side.

A common example of facilitated diffusion is the rate at which cells take up glucose, an important simple sugar in many biological systems. Most cells absorb glucose much more rapidly than the rates at which such absorption would occur without any added help. In concert with the carrier molecules, as the cell starts to use the glucose rapidly, the concentration on the inside of the cell decreases, and because of the increased concentration gradient, glucose is moved into the cell more rapidly than usual.

Facilitated diffusion works in both directions. Sometimes it is equally important to move substances into the cell as it is to move other substances out of the cell. Certain hormones can affect the rates at which some substances move across cell membranes. For instance, insulin increases the rate of
glucose uptake by some tissues. While glucose normally moves along a concentration gradient, facilitated diffusion helps increase that rate.

**Active Transport**

To move substances such as glucose across a cell membrane against a concentration gradient, energy has to be expended. The process by which substances move against their concentration gradients is termed active. There are many types of active transport systems. Some take up amino acids; others move peptides, nucleosides, or potassium. These substances are moved with an appropriate carrier system. Both of the most extensively studied active transport systems use the high-energy molecule adenosine triphosphate (ATP).

In some cases, it appears that specific globular proteins within the cell membrane temporarily change shape under certain conditions. The modification may temporarily open a pore wide enough for the transported molecule to pass through. When active transport is involved, energy from ATP may help change the conformation of the carrier molecule so it will pick up the molecule that is to be moved, or ATP may help detach the transported molecule from its carrier once it has been moved to the other side of the membrane (see Figure 2.4).

**Movement of Large Particles across Membranes**

The plasma membrane has the capacity to engulf small particles on either side of the cell and then fuse with these particles; or sometimes the portion of the plasma membrane that surrounded the small particles simply separates, pinching itself off and drifting away. When liquids or macromolecules are engulfed by the membrane and are then moved to the other side, the process is known as **pinocytosis**. The same process, but on a larger scale, that takes on larger particles is **phagocytosis**. These engulfed particles pinch off with part of the membrane and move in or out of the cell. The process that moves particles into the cell is **endocytosis**, and the process that moves particles out of the cell is **exocytosis**.

**Plant Cell Wall**

All cells have a plasma membrane, but some also produce a nonliving outer cell wall. The cell wall is a product of the cytoplasm that supports and provides shape for the cell. Most bacterial cells have a rigid or semirigid cell wall
Figure 2.4. Active Transport: (a) A cell concentrates certain kinds of molecules inside, or may move them outside the membrane, utilizing a process that expends energy; (b) the process works with carrier molecules as illustrated.
outside the plasma membrane that is usually composed of a polymer of glucose derivatives attached to amino acids. Sometimes certain bacteria have an additional layer outside this known as lipopolysaccharide (a polymer composed of lipid and sugar monomers).

The complex polysaccharide that composes most plant cell walls is cellulose (and related compounds). The cellulose wall allows a wide range of compounds to pass through easily. It is the cell membrane that determines which materials may enter or leave the cell.

In complex, multicellular plants, the primary cell wall is the outer layer that is laid down first by the young cells. And in some of these plants, where two cell walls come in contact, another layer is laid down between the primary cells walls. This is known as the central or middle lamella, which is one continuous layer between the cells, and it is usually composed of pectin, a complex polysaccharide, generally in the form of calcium pectate. It is the pectin that binds the cells together.

Plant cells that become harder, or more woody, usually do so by adding layers to the cell wall, known as the secondary wall. This wall is also deposited by the cytoplasm and is inside the primary wall. Rather than being laid down in a loose network, as in the primary cell wall, the long threadlike structures that make up much of the cell wall, known as cellulose fibrils, are laid down parallel to one another in the secondary cell wall in a series of layers, or lamellae. Each layer has fibrils oriented at angles of about 60 degrees to the fibrils of the next lamellae. The parallel fibrils, the number of layers, their orientation, the total thickness, as well as added substances such as lignin, help strengthen the cell wall.

Plasmodesmata and Gap Junctions

Plasma membrane connections, known as desmosomes, are thin cytoplasmic connections that often run from one plant cell to the next through pores in the cell walls. These pores, called plasmodesmata, facilitate intercellular exchange of materials such as sugars and amino acids (see Figure 2.5).

Gap junctions, sometimes called nexus junctions, are areas of low electrical resistance between animal cells across which electrical impulses, small ions, and molecules may pass. They seem to function similarly to the plasmodesmata that connect plant cells.

When animal cells are in water with a low relative osmotic pressure, water will move from the area of low osmotic pressure into the cells with
Figure 2.5. Cells have specialized junctions that facilitate the passage of materials across plasma membranes. Shown in (a) are the surfaces of sweat gland cells, and (b) desmosomes, which form below these surfaces in plasma membranes of adjacent cells.
higher osmotic pressure, and they will ultimately burst due to the cells’ distention, or **turgor**. Plant cells can usually withstand the swelling without bursting because their sturdy cell walls counterbalance the low external pressure.

**Cells’ Internal Structures**

**Nucleus**

Relatively large, distinct, membrane-enclosed structures of several kinds are found in most kinds of cells, though not all. The notable exceptions are bacteria and cyanobacteria (often referred to interchangeably as blue-green bacteria or blue-green algae). The largest, most well-defined subcellular structure, or **organelle**, is the **nucleus**, unless even larger **vacuoles** are present. Vacuoles are large, fluid-filled spaces found in many cells, particularly plant cells. The nucleus contains the long thin structures composed of **deoxyribonucleic acid (DNA)** and protein—the **chromosomes**. These structures contain **genes**, which are the individual units of information that inform the cell what to do and how and when to do it. All the instructions concerning the life processes of the cell emanate from these chromosomes (see Figure 2.6).

**Nucleic acids** are a major group of organic compounds that are composed of subunits called **nucleotides**, which contain carbon, hydrogen, oxygen, phosphorus, and nitrogen. It is the sequence of nucleotides that gives the specific nucleic acid its distinctive properties. Deoxyribonucleic acid and **ribonucleic acid (RNA)** are two important nucleic acids found in cells. They are fundamental in the storage and transmission of genetic information that controls the cell’s functions and interactions.

A dark area within the nucleus of most cells, seen when the cells are not dividing, is known as the **nucleolus** (see Figure 2.6). This area contains a high concentration of ribonucleic acid (RNA) and protein. Sometimes a nucleus contains several nucleoli, which are formed by a specific region on one chromosome called the **nucleolar organizer**.

All the nuclear structures are embedded in a viscous colloidal material, the nucleoplasm. The nucleus is bounded by a membrane, the **nuclear membrane**, which consists of two complete membranes similar in structure to the cell membrane. The nuclear membrane is very selective, restricting certain substances that readily pass through the cell membrane into the cytoplasm but are unable to pass through the nuclear membrane.
The nuclear membrane is perforated by many large pores that facilitate the passage of substances in and out of the nucleus. Attached to the outside of the nuclear membrane are many thin membranes of endoplasmic reticulum (ER) that appear to be important in assisting the two-way flow of materials to and from the nucleus.

**Endoplasmic Reticulum**

The endoplasmic reticulum (ER) is a series of double-layered membranes found throughout the cytoplasm that provide surface area for enzymes to catalyze chemical reactions, particularly those leading to protein synthesis. The ER forms a multibranched channel between the outside of the cell and the nucleus, connecting the cell membrane and the nuclear membrane, thus expediting the transport of cellular materials.
Endoplasmic reticulum may be present with or without the outer surface lined with small particles called ribosomes, which are the actual sites of protein synthesis. Endoplasmic reticulum without ribosomes is known as smooth endoplasmic reticulum, and this is where some steroid hormones and other lipids are synthesized. The endoplasmic reticulum that is lined with ribosomes is referred to as rough endoplasmic reticulum. These terms, smooth and rough endoplasmic reticulum, relate to the structures’ appearance in electron micrographs (see Figure 2.7).

**Ribosomes**

Ribosomes are tiny granules that can exist either in the cytoplasm as free-floating organelles or along the outer surface of the endoplasmic reticulum. Cells contain three main types of ribonucleic acid (RNA), each synthesized from DNA. The RNA found in ribosomes that functions in protein synthesis is known as rRNA, which stands for ribosomal RNA. Ribosomes contain high concentrations of rRNA and protein. In addition to existing singly, and attached to endoplasmic reticulum, some ribosomes occur in clumps or clusters, which are collectively known as polyribosomes or polysomes.

**Golgi Apparatus**

The Golgi apparatus (or Golgi body) is an organelle that looks like a stack of flattened, smooth, oval bowl-shaped membranes. It is thought that certain chemicals that are synthesized in the ribosomes move along the endoplasmic reticulum to the Golgi apparatus, where they are concentrated and stored until released by secretory vesicles produced by the outer portion of the Golgi apparatus.

In addition to functioning as an area where substances such as proteins pass through and are stored before being secreted, the Golgi apparatus is also involved in processing some proteins and carbohydrates, synthesizing them into more complex molecules by combining them into glycoproteins and mucopolysaccharides, some of which are secreted in the form of mucus (see Figure 2.8).

**Lysosome**

A lysosome is a membrane-enclosed storage vesicle. The membrane is composed of a single layer, unlike the double-layered membrane of the nucleus. Lysosomes appear to arise from Golgi bodies. They contain strong digestive
(hydrolytic) enzymes that act as the cell’s digestive system, making it possible for the cell to process within its cytoplasm materials such as the particles taken in by pinocytosis or phagocytosis (defined earlier in this chapter under the heading “Movement of Large Particles across Membranes”).

Lysosomes also break down old parts of the cell into the organic molecules from which they were constructed. These molecules are then reused by the cell. The importance of lysosomes has been established in studies concerning the metamorphosis of frog larvae. When tadpole tails shrink in size,
their constituents are being broken down by lysosomes, and these organic molecules are then reabsorbed to fuel the growth of new structures such as the frog’s budding arms and legs.

**Mitochondria**

Often referred to as the powerhouse of the cell, **mitochondria** supply the cell with chemical energy in the form of the high-energy molecule adenosine triphosphate (ATP). Slightly larger than the lysosomes, these double-membraned organelles (see Figure 2.9) are often the largest of the organelles in the cytoplasm, although **plastids**, vacuoles (described later), and the nucleus are also quite large in many cases.

Because they supply cells with energy, mitochondria are most abundant in cells with high energy requirements, as in the growing root tip of a plant or in an animal’s muscle cell. Each liver cell contains as many as 2,500 mitochondria.

The outer membrane separates the mitochondria from the cytoplasm, and the inner membrane has many inwardly directed folds, or **cristae**, that extend into a semifluid **matrix**. It is along the cristae and in the matrix where the energy-transfer enzyme systems that manufacture ATP are found. ATP is used in cell division, in muscle cell contraction, and as an energy source for many other cellular activities throughout living organisms.

When more sugar is available in a cell than it requires, **fat droplets** found next to many mitochondria will produce fat. Then when an energy deficit arises, the mitochondria will break down the fat droplets to yield energy. Excess fat droplets are transferred to specialized fat storage cells.
Figure 2.9. (a) An artist’s rendering of a mitochondrion; and (b) a labeled photomicrograph of a mitochondrion in cross-section.
Unlike most other organelles, mitochondria contain DNA, RNA, and ribosomes, and they replicate themselves. It is now thought that mitochondria did not arise as a part of the cell but were originally independently living cells that were taken into larger cells and were able to survive in a jointly beneficial relationship (or *symbiosis*).

Mitochondria are often found in close proximity with a smaller organelle, the *peroxisome*, which may produce substrates for mitochondrial activity.

**Plastids**

Like mitochondria, plastids contain DNA, RNA, and ribosomes and are able to replicate themselves. Plastids are rather large cytoplasmic organelles that can be seen with a light microscope. They occur in most photosynthetic plant cells and also in photosynthetic protists (single-celled organisms such as some algae). Plastids are composed of two membrane layers. The *outer membrane* lacks folds and encloses the organelle, regulating the movement of materials between the cytoplasm and the organelle’s interior. The *inner membrane* folds throughout the internal proteinaceous matrix known as the *stroma*. These internal membranes occur in sheets called *lamellae*, which often form stacks or *grana*; these stacks are connected by single lamellae.

Different types of plastids have been recognized, and each appears to develop from a common structure or sometimes from one another. Those that are white and colorless and that store oils and protein granules as well as energy-rich starch formed from simple sugars are the *leucoplasts*. Plastids filled with starch are called *amyloplasts*. Plastids containing yellow, orange, or red pigments are called *chromoplasts*. These are found in flowers and fruits. *Chloroplasts* are the chromoplasts that—in addition to yellow, orange, or red pigments—also contain green pigment, or *chlorophyll*, which uses energy from sunlight to manufacture organic molecules such as sugar from inorganic molecules. In addition to chlorophyll, some of the other pigments, including *carotenoids* and *xanthophylls*, remain in temperate-zone leaves in the autumn after the green pigments have broken down. It is these pigments that account for the autumn colors (see Figure 2.10).

**Vacuoles**

Vacuoles are membrane-bound, fluid-filled spaces in both plant and animal cells, although they are most prominent in some plant cells (see, for instance, Figures 2.11 and 2.12). Much of the total volume of most plant cells is com-
posed of a single vacuole surrounded by its membrane, the tonoplast. The liquid inside the plant vacuole is called cell sap, which is mostly water with some dissolved substances. Cell sap is usually hyperosmotic relative to the external medium surrounding it, so in times of need, when available, water will move in through the vacuole’s membrane via osmosis, keeping the cell turgid. Vacuoles often contain a high concentration of soluble organic compounds such as sugars, amino acids, some proteins, and several pigments. Many plant species store toxic chemicals in the vacuoles that do not harm the cell. If an animal eats the plant, however, the contents of the ruptured vacuoles can have an adverse effect on the animal.

Different kinds of vacuoles have different functions. Some single-celled...
organisms have **contractile vacuoles**, which are important in expelling excess water and waste from the cell. Many cells have vacuoles or vesicles that are formed when the cell membrane takes in small particles by phagocytosis or pinocytosis, which may then be digested by enzymes from the lysosomes.

**Microfilaments**

Within a cell’s cytoplasm are many long protein fibers arranged into **microfilaments** that are only about six nanometers in diameter. Microfilaments function in the maintenance of cell structure and movement. Depending on the local conditions in the cell, microfilaments can exist either as individual subunits or together in strings of subunits. Microfilaments are believed to be

![Figure 2.11. A generalized plant cell with its structures labeled.](image-url)
important components of the contractile filaments that exist in some cells. They may be associated with **cytoplasmic streaming**, a constant movement of cytoplasm in the cell that is evident in many plant cells under the light microscope. Microfilaments may also be involved in cell movement, and when in a more complex form, they may be associated with the contraction of some muscle cells.
Microtubules

Also in the cytoplasm are microtubules, which differ from microfilaments in many respects. The outside diameter of each microtubule is considerably larger than that of a microfilament, ranging from 20 to 25 nanometers (one million nanometers equals one millimeter; one billion nanometers equals one meter). Furthermore, microtubules are hollow and vary in length from less than 200 nanometers to 25,000 nanometers. Microtubules also differ from microfilaments in terms of their chemical makeup.

Sometimes microtubules act as tracts along which the cell’s organelles move. Microtubules orient themselves toward each pole of a cell, and chromosomes move along them during cell division. A distinctive internal microtubular arrangement also determines the arrangement of a cell’s cilia, flagella, and sperm tails, which are discussed below.

Cilia and Flagella

Cilia and flagella (singular: cilium and flagellum) are long, thin organelles that project from the surface of some cells and have the capacity to beat back and forth or in a corkscrew fashion, moving the cell through fluids or moving fluids past a stationary cell. They appear on some protists, on certain lower plants, and on some animals. Many eukaryotic organisms have flagellated sperm cells. Eukaryotic and prokaryotic bacterial flagella differ structurally and mechanically.

The difference between cilia and flagella is mostly a matter of length (though not diameter), and sometimes they are differentiated according to number; many short ones together are called cilia, while an isolated long one is usually a flagellum.

The function of these organelles is primarily locomotory, to propel or pull a cell through liquids. Some protists also use cilia to move particles into their gullet—a part of the cell membrane that is similar to a mouth. Multicellular organisms commonly have ciliated cells lining internal passageways. Their constant motion helps move materials through tubes and ducts in the preferred direction.

In all types of cells except bacteria and blue-green algae, cilia and flagella are cytoplasmic outgrowths from the surface of the cell, surrounded by extensions of the plasma membrane. At the base of the long cilium or flagellum is the basal body, which supports and gives rise to the rest of the long stalk. It consists of nine triplets of microtubules arranged in a circle. The basal bodies may be where the protein subunits assemble to form the microtubules that extend through the rest of the long structures, which are themselves composed of a circle of nine pairs of microtubules with another pair at their
center. This $9 + 2$ arrangement is characteristic of all cellular cilia and flagella except those found among bacteria and blue-green algae.

**Centrioles**

Basal bodies and **centrioles** are structurally identical, although the basal bodies are found at the bases of cilia and flagella of all cells, while centrioles are found only in animal cells, generally located near the nucleus. Centrioles occur in pairs. Like basal bodies, they are composed of nine sets of triplets, all arranged lengthwise, creating a cylindrical structure.

Not all cells contain centrioles, but most animal cells have them, and they are always composed of the same typical pattern: a cylinder formed by nine sets of triplets that, when cut in a transverse section (cross-section), looks like a pinwheel (see Figure 2.13).

Besides playing a role in the formation of microtubules, centrioles become active during cell reproduction. During interphase, both pairs of centrioles are located just outside the nucleus, arranged at right angles to each other. As mitosis (or meiosis) begins, they move apart and organize the mitotic apparatus known as the **spindle**, which is composed of microtubules extending from pole to pole through the cell’s interior. It is along these microtubules that the chromosomes align at metaphase, then moving apart during the remaining stages of cell division. Toward the end of cell division (during telophase), the centrioles replicate, so each daughter cell in turn has

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**Figure 2.13.** Cross section of a centriole. This drawing shows the nine triplets of microtubules, which turn in unison, creating the corkscrew or whiplike motion of cilia and flagella.
two pairs of centrioles located just outside the nucleus. For a more comprehensive discussion of cell division, see chapter 3.

Eukaryotic and Prokaryotic Cells

The differences between cells of bacteria and blue-green algae and those of most other organisms are customarily cited to distinguish between two distinct cellular types: **eukaryotic cells** and **prokaryotic cells**.

**Eukaryotic Cells**

Most of the preceding part of this chapter concerns eukaryotic cells. Eukaryotes are those plants and animals with eukaryotic cells. These include all the protists, fungi, plants, and animals. Their cells have a nucleus enclosed within a nuclear membrane, inside of which are located the chromosomes—the long, filamentous structures along which occur the units of inheritance, called genes. These chromosomes are composed of nucleic acids and proteins.

Eukaryotic cells also contain mitochondria, Golgi bodies (or Golgi apparatus), endoplasmic reticulum, and most of the other organelles so far described. When present in these cells, chlorophyll is located in chloroplasts. And if these cells have cilia and/or flagella, they always possess the previously described 9 + 2 construction.

All the contents of eukaryotic cells are enclosed within a plasma membrane, and in some cases, as with many plant cells, the plasma membrane is also enclosed within a cell wall.

**Prokaryotic Cells**

Prokaryotic cells are thought to be more primitive than eukaryotic cells. Bacteria and blue-green algae are **prokaryotes**, which is to say their cells are prokaryotic. These cells do not have a nuclear membrane; instead, they have a nuclear area known as the **nucleoid** that in most cases contains a single circular chromosome. In prokaryotic cells, the chromosomes are composed entirely of nucleic acids (without protein). Their ribosomes are also structurally distinct from those in eukaryotic cells. When flagella-like structures are present, they don’t have the 9 + 2 fibrillar structure. And prokaryotic cells contain no mitochondria, no Golgi apparatus, no lysosomes, and no vacuoles.

In addition, prokaryotic cells have cell walls containing muramic acid, a characteristic not found among eukaryotic cells. In many prokaryotic cells appears an infolding of the plasma membrane known as a **mesosome**, which may be involved in the production of new cell wall material following cell division, and which may be associated with the replication of DNA.
during cell division. The mesosome may also help break down food molecules to supply the cell with energy.

Most photosynthetic prokaryotes contain photosynthetic membranes and chlorophyll, but these materials aren’t enclosed in chloroplasts.
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Multiple-Choice Questions

Microscope, Cells, Cell Theory

1. During the seventeenth century, the first light microscopes were constructed by __________.
   a. Hooke  
   b. DuPont  
   c. Scopes 
   d. Pierson  
   e. Leeuwenhoek

2. The study of cells is called __________.
   a. herpetology  
   b. ornithology  
   c. simology  
   d. cytology  
   e. tautology

3. Illuminating specimens under the light microscope with __________ light was found to give considerably more resolution than when using other colors.
   a. red  
   b. orange  
   c. blue  
   d. green  
   e. yellow

4. With a transmission electron microscope, a beam of electrons with considerably __________ than visible light is passed through a thinly sliced specimen.
   a. longer wavelengths  
   b. shorter wavelengths  
   c. brighter light  
   d. stronger X-rays  
   e. stronger cosmic rays

5. Of the following, the most recent advance in microscope technology that enables scientists to observe the most highly detailed views of individual living cells is called __________.
   a. transmission electron microscopy  
   b. light microscopy  
   c. scanning electron microscopy  
   d. contact X-ray microscopy  
   e. gamma ray microscopy

6. In 1665 __________ described having seen what he called cells in a piece of cork.
   a. Spallanzini  
   b. Malthus  
   c. Hooke  
   d. Leeuwenhoek  
   e. Marvin
7. All following statements concerning cell theory except one are correct. Circle the letter preceding the incorrect answer.
   a. Cells are the fundamental units of life.
   b. All cells have cell walls.
   c. Cells are the smallest entities that can be called living.
   d. All organisms are made up of one or more cells.
   e. Cells arise only from the division of other cells.

**Cell Structure and Cell Size, Cytoplasm and Nucleoplasm, Plasma Membrane**

8. A cell becomes too large to maintain a stable internal environment when
   a. its surface area becomes too small
   b. its volume becomes too small
   c. its surface area gets too big
   d. its volume gets too big
   e. its surface area to volume ratio becomes too great

9. It has been stated that the larger the cell, the __________ to maintain control over the entire internal environment.
   a. easier it is for the nuclear material
   b. harder it is for the nuclear material
   c. easier it is for the chloroplast material
   d. harder it is for the chloroplast material
   e. easier it is for the mitochondria

10. The interior of a living cell is made up of a __________.
    a. complex solution
    b. a heterogeneous colloid
    c. a solid throughout
    d. a and b
    e. b and c

11. A colloid may become a gel, depending on the __________.
    a. temperature
    b. pH
    c. salt concentration
    d. pressure
    e. all of the above

**Movement through the Cell Membrane, Diffusion, Osmosis, Facilitated Diffusion**

12. The plasma membrane provides the following to the cell:
    a. protection
    b. shape
    c. strength
    d. a structure that helps regulate the flow of materials going in and out of the cell
    e. all of the above

13. When energy is used to move molecules from one location to another, it is termed __________.
14. The net movement of particles from regions of higher concentration to regions of lower concentration of that substance is called __________.
   a. homeostasis  
   b. active ambiance  
   c. homeostatic collision  
   d. diffusion  
   e. gradual constance

15. The particles moving away from the area of greatest concentration of that substance will produce a __________.
   a. gel state  
   b. colloidal collision  
   c. diffusional divergence  
   d. gradual homeostasis  
   e. concentration gradient

16. A partially or differentially permeable membrane is called a __________.
   a. diffusional membrane  
   b. semihomeostatic membrane  
   c. active membrane  
   d. facilitated membrane  
   e. semipermeable membrane

17. The pressure exerted by dissolved particles in a solution or a colloid that pulls water across a semipermeable membrane is known as __________.
   a. osmosis  
   b. hyperosmosis  
   c. osmosis  
   d. hypoosmosis  
   e. osmotic pressure

18. When there is a semipermeable membrane separating two solutions of pure water, the two sides are at __________.
   a. hyperequilibrium  
   b. hypoequilibrium  
   c. osmotic diffusion  
   d. facilitated osmoequilibrium  
   e. equilibrium

19. When the osmotic pressure is the same on both sides of a semipermeable membrane, each solution is said to be __________.
   a. isosmotic  
   b. hyperosmotic  
   c. hypoosmotic  
   d. osmotic  
   e. none of the above

20. Plasma membranes contain __________ that help move certain substances in and out of the cell.
   a. organelles  
   b. nuclei  
   c. nucleoli  
   d. prions  
   e. carrier molecules
Active Transport, Movement of Large Particles across Membranes, Plant Cell Wall

21. To move substances such as glucose across a cell membrane against a concentration gradient ____________.
   a. no energy needs to be expended   c. is called osmosis
   b. is called diffusion            d. energy has to be expended
   e. none of the above

22. The most extensively studied active transport systems use the high-energy molecule ____________ to maintain the systems.
   a. ATP                             d. NADH₂
   b. NADPH₂                          e. none of the above
   c. NAD

23. The capacity of the plasma membrane to fuse with or engulf particulate matter and then pinch off and move out of the cell is known as ____________.
   a. endocytosis                    d. exocrine pinocytosis
   b. exocytosis                     e. none of the above
   c. endothelial phagocytosis

24. When liquids or macromolecules are engulfed and moved into the cell, this kind of endocytosis is known as ____________.
   a. exocytosis                      d. phagocytosis
   b. endothelial phagocytosis        e. none of the above
   c. pinocytosis

25. Both plant and animal cells possess a plasma membrane, but only plant cells have a ____________.
   a. mesothelium                    d. nucleus
   b. endothelium                   e. nucleolus
   c. cell wall

26. The cell wall is a product of the cellular protoplasm and is primarily composed of the complex polysaccharide ____________ and other related compounds.
   a. starch                         d. cellulose
   b. glucose                       e. nylon
   c. globular protein

27. Where two cell walls come in contact, another layer is laid down between the two cells, which is called the middle lamella. This continuous layer between the cells is usually composed of ____________.
28. The complex polysaccharide, usually in the form of calcium pectate, that binds plant cells together, is known as __________.  
   a. cellulose  
   b. lignin  
   c. beta kerotene

29. The long threadlike structures that make up much of the cell wall, and that are laid down in a loose network, are known as __________.  
   a. myofibrils  
   b. myoglobin  
   c. fibrinogen

Plasmodesmata and Gap Junctions, Nucleus, and Endoplasmic Reticulum

30. The connected network of similar membranes that connect structures within the cell is called (the) __________.  
   a. carrier molecule  
   b. endoplasmic reticulum  
   c. globular protein

31. The thin protoplasmic connections that often run from one plant cell to the next through pores in the cell walls are known as __________.  
   a. plasmids  
   b. plasmodesmids  
   c. gap junctions

32. When animal cells are in water with a low osmotic pressure relative to their interior, the water will move from the area of low osmotic pressure into the cells with the higher osmotic pressure, and ultimately the cells will burst due to their distension, or __________.  
   a. plasma  
   b. desmata  
   c. turgor

33. Well-defined subcellular structures that are enveloped in membranes are called __________.  
   a. bacteria  
   b. blue-green algae  
   c. prions

---

28. The complex polysaccharide, usually in the form of calcium pectate, that binds plant cells together, is known as __________.
   a. cellulose  
   b. lignin  
   c. beta kerotene

29. The long threadlike structures that make up much of the cell wall, and that are laid down in a loose network, are known as __________.
   a. myofibrils  
   b. myoglobin  
   c. fibrinogen

Plasmodesmata and Gap Junctions, Nucleus, and Endoplasmic Reticulum

30. The connected network of similar membranes that connect structures within the cell is called (the) __________.
   a. carrier molecule  
   b. endoplasmic reticulum  
   c. globular protein

31. The thin protoplasmic connections that often run from one plant cell to the next through pores in the cell walls are known as __________.
   a. plasmids  
   b. plasmodesmids  
   c. gap junctions

32. When animal cells are in water with a low osmotic pressure relative to their interior, the water will move from the area of low osmotic pressure into the cells with the higher osmotic pressure, and ultimately the cells will burst due to their distension, or __________.
   a. plasma  
   b. desmata  
   c. turgor

33. Well-defined subcellular structures that are enveloped in membranes are called __________.
   a. bacteria  
   b. blue-green algae  
   c. prions
34. The large fluid-filled spaces enveloped in membranes that are found in many cells, particularly in plant cells, are _________.
   a. chromosomes  d. chromatids
   b. vacuoles  e. nucleoli
   c. nuclei

35. The large organelle containing chromosomes is the _________.
   a. nucleus  d. lysosome
   b. vacuole  e. endoplasmic reticulum
   c. chromatid

36. It is the sequence of ________ that gives the specific nucleic acid its distinctive properties.
   a. genes  d. nucleotides
   b. nuclei  e. nucleoli
   c. chromosomes

37. When the cell is not dividing, there is a conspicuous dark area within the nucleus, the ________, that contains a high concentration of ribonucleic acid and protein.
   a. endoplasmic reticulum  d. lysosome
   b. Golgi body  e. nucleolus
   c. vacuole

38. All the nuclear structures are embedded in a viscous colloidal material, the _________.
   a. RNA  d. protoplasm
   b. DNA  e. nucleoplasm
   c. cytoplasm

39. The nucleus is bounded by the ________, which consists of two complete membranes similar in structure to the plasma membrane.
   a. nuclear membrane  d. nucleoplasm
   b. nuclear wall  e. cell wall
   c. nucleolar organizer

40. Attached to the outside of the nuclear membrane are many other membranes of ________ that appear to be important in assisting the two-way flow of materials to and from the nucleus.
   a. cell wall  d. nuclear organizer
   b. endoplasmic reticulum  e. cell membrane
   c. endothelium

41. The ________ is a series of double-layered membranes found throughout the cytoplasm that greatly increases the surface area within
the cytoplasm, expanding the area available for enzymes involved in chemical reactions, particularly those leading to protein synthesis.

42. Endoplasmic reticulum may occur with and without being lined with small particles, the __________, which are the actual sites of protein synthesis.
   a. ribosomes
   b. lignin
   c. pectin

43. Besides being found in cells singly as well as on endoplasmic reticulum, some ribosomes occur in clumps or clusters collectively known as __________.
   a. polysomes
   b. polyribosomes
   c. polychromosomes

44. Certain __________ synthesized in the ribosomes move along the endoplasmic reticulum to the __________, where they are concentrated.
   a. plastids, lysosomes
   b. peroxisomes, autosomes
   c. glycoproteins, mesosomes
   d. polysaccharides, mesosomes
   e. chemicals, Golgi apparatus

45. Chemicals that are concentrated and stored in the Golgi apparatus are later released from the cell by secretory vesicles that are produced by the outer portion of the Golgi apparatus when it moves to the __________.
   a. cell surface
   b. lysosome
   c. mesosome
   d. autosome
   e. ribosome

46. Some simple sugars may be modified in the Golgi apparatus, synthesizing more complex polysaccharides that can then be attached to proteins forming __________ and __________ that can be used for mucus secretion.
   a. starch, celluloproteins
   b. glycogen, mucoproteins
   c. photoglycogen, mucoglyco- gen
   d. glycopolysaccharides, glu-
   copolysaccharides
   e. glycoproteins, mucopolysac-
   charides
47. __________ contain strong digestive enzymes that act as the cell’s digestive system, making it possible for the cell to process within its cytoplasm, particles taken in by pinocytosis or phagocytosis.
   a. peroxisomes  
   b. lysosomes  
   c. mesosomes  
   d. autosomes  
   e. ribosomes

48. Often referred to as the powerhouse of the cell, __________ supply the cell with the chemical energy in the form of the high energy molecule adenosine triphosphate.
   a. mitochondria  
   b. vacuoles  
   c. endoplasmic reticulum  
   d. Golgi apparatus  
   e. mesosomes

49. The inner membranes of the mitochondria have many inwardly directed folds, the __________, which extend into a semifluid matrix.
   a. cristae  
   b. grana  
   c. thylakoids  
   d. lamellae  
   e. stroma

50. In plastids, stacks of lamellae are the __________.
   a. stroma  
   b. amyloplasts  
   c. chloroplasts  
   d. leucoplasts  
   e. grana

51. The many double membranes found in plastids are called __________.
   a. stroma  
   b. grana  
   c. lamellae  
   d. amyloplasts  
   e. leucoplasts

52. The proteinaceous matrix inside plastids is known as the __________.
   a. stroma  
   b. grana  
   c. amyloplasts  
   d. leucoplasts  
   e. thylakoids

53. Plastids filled with starch are called __________.
   a. leucoplasts  
   b. amyloplasts  
   c. chromoplasts  
   d. leucocytes  
   e. granulocytes

54. Most plastids that contain yellow, orange, or red pigments are called __________.
   a. leucoplasts  
   b. amyloplasts  
   c. chromoplasts  
   d. leucocytes  
   e. granulocytes
55. The chromoplasts that contain green pigment are known as ___________.
   a. leucoplasts               d. chloroplasts
   b. amyloplasts              e. carotenoplasts
   c. chromoplasts

56. In addition to chlorophyll, chloroplasts also contain other pigments, particularly the yellow and orange ___________.
   a. amylophyll               d. chromophyll
   b. leucophyll              e. carotenoids
   c. rohophyll

**Vacuoles, Microfilaments, Microtubules, Cilia and Flagella, Centrioles, and Eukaryotic and Prokaryotic Cells**

57. Much of the total volume of most plant cells is comprised of a single ___________.
    a. vacuole                  d. autosome
    b. tonoplast                e. macrotubule
    c. ribosome

58. Vacuoles are surrounded by a membrane known as the ___________.
    a. microtubule              d. tonoplast
    b. macrotubule              e. amyloplast
    c. microfilament

59. The liquid inside the vacuole is called ___________.
    a. cell sap                 d. a and b
    b. tonoplasm               e. a and c
    c. vacuoplasm

60. Membrane-bound fluid-filled spaces in plant and animal cells, which in some plant cells comprise much of the total cell volume, are ___________.
    a. tonoplasts               d. mesosomes
    b. vacuoles                 e. autosomes
    c. ribosomes

61. There are different kinds of vacuoles with various functions. Some single-celled organisms have ___________ that are important in expelling excess water and waste from the cell.
    a. tonoplasts               d. contractile vacuoles
    b. ribosomes                e. mesosomes
    c. autosomes
62. Within a cell’s cytoplasm are many long, thin protein fibers that are only about six nanometers in diameter. Depending on the local conditions in the cell, these ______ can exist either as subunits or as filaments.
   a. cilia  
   b. microfilaments  
   c. flagella  
   d. basal bodies  
   e. microtubules

63. The constant movement of cytoplasm in the cell is called ______.
   a. cytoplasmic streaming  
   b. ciliar movement  
   c. flagellar movement  
   d. microtubular movement  
   e. microfilamentary streaming

64. Cilia and flagella are cytoplasmic outgrowths from the surface of the cell, surrounded by extensions of the cell membrane. The base of the long cilium or flagellum is the ______.
   a. centriole  
   b. microtubule  
   c. microfilament  
   d. basal body  
   e. blue-green algae

65. During interphase in animal cells, but not in most plant cells, in a special region of cytoplasm just outside the nucleus are two cylindrical bodies oriented at right angles to each other that move apart and organize the mitotic apparatus of the dividing cell. These organelles are the ______.
   a. basal bodies  
   b. microtubules  
   c. microfilaments  
   d. centrioles  
   e. cilia

Answers

1. e  
2. d  
3. c  
4. b  
5. d  
6. c  
7. b  
8. e  
9. b  
10. d  
11. e  
12. e  
13. e  
14. d  
15. e  
16. e  
17. e  
18. e  
19. a  
20. e  
21. d  
22. a  
23. b  
24. c
Questions to Think About

1. Explain the events that made it possible to observe and understand cell structure and function.

2. When cells were first described, what was observed during this initial discovery?

3. Describe what is now known as cell theory.

4. Why are most cells small?

5. Define cytoplasm and nucleoplasm.

6. Compare and contrast the function of a solution and a colloid in cell structure.

7. What is a cell membrane?

8. How do materials move through a cell membrane?

9. What are the possible dynamics of cell contents when the osmotic pressure within the cell is not equal to that outside the cell?

10. Compare and contrast passive transport and active transport.

11. How do materials move between cells?

12. Describe the nucleus: what is it; where is it; what is in it; and what is its function?
13. Give a brief description of each of the following structures:
   a. endoplasmic reticulum  
b. ribosome  
c. Golgi apparatus  
d. lysosome  
e. mitochondrion  
f. fat droplet  
g. plastid  
h. vacuole  
i. microfilament  
j. microtubule  
k. cilium  
l. flagellum  
m. centriole

Cellular Reproduction

To create more cells and more living organisms, cells reproduce. However, simply dividing in half is not sufficient. Since it is imperative that each cell pass along its genetic information to succeeding generations of cells, when a cell reproduces, each daughter cell must receive more than just a portion of the vital information; a complete copy of all the essential genetic material is necessary.

Some mechanism is needed to pass on all a cell’s chromosomal information to its daughter cells. Therefore, before dividing, the parent cell must produce a copy of all the required information. This duplication is also called replication; both words are used interchangeably when discussing cell division.

Cells, though similar, aren’t all identical, and neither are the mechanisms they use to divide. Prokaryotes are thought to represent the most primitive living forms of cells. They divide in a manner that is less complex than the more advanced eukaryotes.
Prokaryotic Cell Division: Binary Fission

Prokaryotic cells have one circular chromosome. When the chromosome duplicates, each of the resulting copies moves to a separate end of the cell, and a membrane forms in the middle of the parent cell, dividing it in two parts. Those two parts then separate, creating two daughter cells; each daughter cell contains an entire set of genetic material. This process of prokaryotic cell division is called binary fission (see Figure 3.1).

Figure 3.1. (a) Generalized cyanobacterium (or blue-green bacterium or blue-green algae) undergoing cell division; and (b) electron photomicrograph of an actual cyanobacterium dividing in two.


**Eukaryotic Cell Division**

Eukaryotic cell division involves a series of steps that are distinct from the process that occurs in prokaryotes. These steps include the division of the nucleus, known as **karyokinesis**, as well as the division of the rest of the cell, which is called cytokinesis.

Before the cell begins to divide, the genetic information located in the nucleus in the form of chromosomes must be duplicated. Only then can the chromosomes be pulled apart, creating two complete sets, one for each incipient daughter cell.

It is only when the two sets of chromosomes are segregated in separate parts of the cell that the cytoplasm and other requisite materials may divide and the parent cell can complete its division.

**Interphase**

Compared to the rest of the cell’s life, cell division is a brief and distinct stage in the cell’s life history. **Interphase**, although the longest and most physiologically dynamic part of the cell’s life history, is not considered part of cell division. Rather, this is the stage during which the cell is growing, metabolizing, and maintaining itself.

During interphase, the nucleus exists as a distinct organelle, bound by the nuclear membrane. Inside the nucleus are long, thin, unwound strands of chromosomes. While unwound throughout interphase, the chromosomes influence the activities of the cell. It is during interphase that the cell’s single set of chromosomes replicates.

Cell division may occur by either **mitosis** or **meiosis**, depending on what type of cell is involved (see Figure 3.2).

**Mitosis**

**Prophase**

Mitosis has begun when the unwound chromosomes begin to coalesce. During their first stage of mitosis, called **prophase**, two small cylindrical bodies become very important, when present (see Figure 3.3). They help organize much of what is about to happen. Located just outside the nucleus in animal cells (though not present in most higher plants), the **centrioles** begin to move to opposite ends of the cell. As this happens, the DNA, which is the primary constituent of the chromosomes, recoils. During this process the chromosomes become more distinct, while the nucleoli become less distinct.
It is in the nucleoli that ribosome production occurs; during mitosis, when the chromosomes condense, ribosome production ceases.

When unraveled, the chromosomes interact with their surrounding medium. However, for cell division to occur, the already replicated chromosomes must be pulled apart. Yet this cannot happen until the chromosomes have condensed, making it possible for cell division to proceed.

Once recoiled, the chromosomes become “X” shaped. The X’s are composed of two identical chromatids, each held to the other by a single cen-
tromere (see Figure 3.4). One of these chromatids was copied from the other during interphase, when replication occurred.

While the chromosomes are recoiling, the nucleoli and nuclear envelope are disappearing. And at the same time, a series of microtubules are forming the spindle. The spindle is the characteristic grouping of microtubules that occurs during nuclear division. It helps to align and move the chromosomes. During the early stages of spindle composition, microtubules radiate around each centriole, creating formations collectively known as the asters. Although most higher plants do not have centrioles, they still develop spindle fibers at prophase (but asters do not form). The spindle fibers are the microtubules that, together, constitute the mitotic apparatus, called the spindle.

During prophase, the chromosomes move toward the middle or the equator of the cell. By the end of prophase, the nuclear membrane is no longer visible; it has broken down.

**Metaphase**

**Metaphase** lasts only as long as all the chromosomes remain lined up along the equator. The centromeres have divided in two. Each is attached to one of
Figure 3.4. Drawings depicting an animal cell undergoing meiosis. For simplicity and clarity, this cell has been shown with one pair of chromosomes. The actual number of chromosomal pairs depends on the species involved. Humans have 23 pairs of chromosomes.
the two corresponding chromosomes from the pair. The individual chromosomes are called homologs, and together, both chromosomes in each pair are called homologous chromosomes.

**Anaphase**

Anaphase begins when the two complete sets of chromosomes start moving toward opposite ends of the spindle. Each chromosome appears to be dragged along by its centromere, which is attached to a spindle fiber. The division of the cytoplasm, or cytokinesis, begins at the end of anaphase.

**Telophase**

Telophase is the last stage of mitosis. This is when the cytoplasm separates in two parts of the cell, while the cell’s plasma membrane pinches in from both sides, creating two distinct cells. While this is occurring, each set of chromosomes reaches its respective pole, where the nuclear membrane forms, enclosing the chromosomes. The chromosomes then uncoil, while the nucleolus reappears. Upon completion of cytokinesis, a new centriole is made.

**Cytokinesis**

Cytokinesis, the division of the cytoplasm, usually begins in late anaphase and is complete in telophase. In animal cells, cytokinesis begins with the formation of an indentation, or cleavage furrow, which forms all the way around the equatorial region, becoming deeper and deeper until it cuts right through, leaving two distinct daughter cells.

Plant cells also have a plasma membrane that divides in the same manner. In addition, plant cells have a rigid cell wall that cannot form a cleavage furrow. Instead, a cell plate forms at the equatorial region, and rather than moving from the outside in, the cell plate begins forming inside and grows toward the periphery.

**Syncytia and Coenocytes**

As already discussed, the nuclear membrane reforms during telophase and cytokinesis occurs; however, this does not happen in all types of cells. Some tissues have cells that undergo mitotic divisions that are not followed by cytokinesis, so the cell ends up containing many nuclei. When nuclear divi-
sion is not followed by cytokinesis, the result in animals is called a *syncytium*, and in plants it is a *coenocyte*.

**Sexuality**

The exchange of genetic material between two cells is a sexual union. With most single-celled organisms, such a sexual union occurs in water. While most prokaryotes reproduce by simple cell division (binary fission), some forms reproduce by *budding*, in which broken off cell fragments grow into mature bacterial cells. Binary fission and budding produce groups of genetically identical cells, known as *clones*.

In some cases, cells exchange or mix their genetic material together, producing populations of unique yet related cells. The transfer is accomplished by a sexual union of two cells that then separate after the genetic exchange occurs. This process of genetic recombination between two cells is known as *conjugation*. Another way that some bacteria exchange genetic material is accomplished simply through the absorption of bits of DNA that were released in the surrounding medium by dead bacteria. This process is known as *transformation*. Genetic material may also be carried from one bacterial cell to another by a virus, a process called *transduction*. Conjugation and transduction occur not only among many bacteria, but also among certain algae and protozoa.

**Gametes**

Larger organisms that are composed of many cells, called multicellular organisms, can still accomplish sexual union with single cells. In contrast to cells that contain two of each chromosome, sex cells possess only one of each corresponding pair of chromosomes. Such a composition is known as *haploid* or *monoploid*, and it is possible for the two sex cells to unite by forming a single cell, the *zygote*. The merging of genetic material from both cells is called *fertilization*. The zygote has twice the number of chromosomes in each sex cell and is called *diploid*.

Most multicellular organisms have two different types of sex cells, known as *gametes*, such as *eggs* and *sperm*. The sex that produces eggs is female, and the sex producing sperm is male. Sometimes the same individual has both male and female organs. Such an organism is called *monoeocious* or *hermaphroditic*. Eggs are usually larger than sperm, since they contain nutrients that help nourish the developing embryo. Unlike eggs, sperm are small and motile (can move on their own).
Meiosis

The development of gametes, or **gametogenesis**, occurs through a series of cell divisions known as meiosis. Unlike mitosis, which produces diploid \(2n\) daughter cells, meiosis produces haploid \(1n\) cells, which mature into gametes (sex cells such as sperm and eggs). In contrast to cells that contain two of each chromosome, each sex cell, or gamete, possesses only one of each corresponding pair of chromosomes. This haploid composition makes it possible for the genetic material from both sex cells to unite in fertilization, forming a single cell, the zygote, which is diploid, because once again it has twice the number of chromosomes.

In some respects meiosis is like two back-to-back modified mitotic divisions. For clarity, meiosis has been divided into Meiosis I and Meiosis II. The steps are described in the rest of this chapter and are illustrated in Figure 3.4.

Meiosis I

**Prophase I**

During the first phase of meiosis, **prophase I**, the individual chromosomes coil up and condense, while the homologous chromosomes move next to one another. This pairing process is termed **synapsis**. Each chromosome that synapses possesses two chromatids, so that together a series of **tetrads** is formed. Each tetrad consists of four chromatids.

At the time of synapsis, there is an opportunity for genetic material to recombine in new arrangements. This process is called **genetic recombination**. During synapsis, the chromatids may exchange segments of genetic material. When this occurs, it is termed **crossing over**. The recombination of genetic material is a fairly common event. It occurs only during prophase I.

**Metaphase I**

After prophase I, when the homologous chromosomes have paired up and moved toward the equatorial plane of the spindle, the centromeres line up along the middle, and the centromeres attach to the spindle fibers, each connected to a synaptic pair of chromosomes.

**Anaphase I**

In **anaphase I**, the centromeres do not divide. Instead, one homolog from each of the homologous pairs moves toward a separate pole.
Telophase I

During **telophase I**, the parent cell splits into two, and the double-stranded chromosomes in the new haploid nuclei fade from view.

Interkinesis

Between mitotic divisions, the genetic material replicates. This does not happen during the brief intervening period after meiosis I and before meiosis II because the chromosomes are already double-stranded. This period is called **interkinesis**.

Meiosis II

Prophase II

In meiosis I, the diploid cell produced two haploid cells. During interkinesis, there was no replication of genetic material. In **prophase II**, each chromosome is double-stranded. The chromosomes condense and move toward the equatorial plane, where their centromeres will attach to the spindle fibers.

Metaphase II

Here, the chromosomes line up along the equatorial plane.

Anaphase II

The centromeres then split, and the sister chromatids move toward opposite poles.

Telophase II

In this phase, the chromosomes unwind, the nuclear membranes re-form, and the cells divide. Both cells from the beginning of meiosis II were products of a single cell that began at the start of meiosis I. Since both of these cells divided again, the end result of meiosis is that from one cell we get four. Each of the four cells is haploid.
anaphase
anaphase I
anaphase II
asters
binary fission
budding
cell plate
centrioles
centromere
chromatids
cleavage furrow
clones
coenocyte
conjugation
crossing over
cytokinesis
daughter cell
diploid
duplication
eggs
fertilization
gametes
gametogenesis
 genetic recombination
haploid
hermaphroditic
homologous chromosomes
homologs
interkinesis
interphase
karyokinesis
meiosis
metaphase
metaphase I
metaphase II
mitosis
monoecious
monoploid
prophase
prophase I
prophase II
replication
sperm
spindle
spindle fibers
synapsis
syncytium
telophase
telophase I
telophase II
tetrads
transduction
transformation
zygote
Multiple-Choice Questions

Cellular Reproduction, Mitosis, and Meiosis

1. Mitosis and meiosis are both types of __________.
   a. prokaryotic cell division
d. cytokinesis
b. eukaryotic cell division
e. binary fission
c. blue-green algae

2. Nuclear division is characterized by chromosome duplication and the formation of two practically identical daughter nuclei known as __________.
   a. mitosis
d. chromatin
b. meiosis
e. binary fission
c. cytokinesis

3. Cytokinesis is the division of the __________.
   a. nucleus
d. cytoplasm
b. centromere
e. nucleolus
c. chromatin

4. Before a diploid eukaryotic cell begins to divide, the __________ must divide.
   a. nucleus
d. chromosomes
b. nuclear membrane
e. buds
c. cell wall

5. A eukaryotic cell is dividing only during a brief portion of its life. During most of a cell's life it is consuming things, excreting things, growing, and metabolizing. The time when the cell is not dividing is termed __________.
   a. interphase
d. anaphase
b. prophase
e. telophase
c. metaphase

6. Mitosis has begun when two small cylindrical bodies, the __________ that lie just outside the nucleus, begin to move apart. They are present in animal cells, but they are not present in cells of most higher plants.
   a. chromosomes
d. chlorophylls
b. chromatin
e. carotenes
c. centrioles
7. During prophase, all the ________ composing the ________ coils and condenses into tighter bundles.
   a. DNA, centromeres  d. DNA, asters
   b. DNA, spindle fibers  e. RNA, centromeres
   c. DNA, chromosomes

8. During mitosis in a diploid cell when the DNA is all wound up, the chromosomes can be seen as two long, distinct ________.
   a. centromeres  d. chromosomes
   b. chromatins  e. chromatids
   c. asters

9. The two identical chromatids are held together by the same ________ during mitosis in a diploid cell.
   a. chromosome  d. centriole
   b. chromatid  e. cell membrane
   c. centromere

10. As the centrioles move, each to its opposite pole, a system of thin strands of ________ form around the centrioles in all directions.
    a. fibers  d. coenocytes
    b. mucus  e. endosperm
    c. syncytia

11. Some centrioles link up with the fibers from the opposite centriole, and these are called the _________. The others radiating around each centriole are collectively called the ________.
    a. chromatids, centromere  d. spindle fibers, asters
    b. spindle fibers, chromatids  e. syncytia, coenocytes
    c. asters, spindle fibers

12. During ________, the chromosomes line up in the middle of the cell.
    a. interphase  d. anaphase
    b. prophase  e. telophase
    c. metaphase

13. Metaphase is very brief, lasting only as long as all the chromosomes are attached to their centromeres while lined up along the ________.
    a. nuclear membrane  d. equator
    b. cell membrane  e. coenocytes
    c. opposite poles
14. The moment each centromere divides and they all begin to move to opposite poles, each carrying one of the chromatids, metaphase is over and the next phase, which is ________, has begun.
   a. interphase
d. anaphase
b. prophase
e. telophase
c. metaphase

15. During anaphase, when the centromeres have split, there is now twice the number of independent ________ in the cell.
   a. centromeres
d. spindle fibers
b. centrioles
e. chromosomes
c. zygotes

16. During anaphase, each chromosome appears to be dragged along by its ________, which is attached to a spindle fiber.
   a. centromere
d. syncytia
b. centriole
e. coenocytes
c. cytokinesis

17. At the end of anaphase, the division of the cytoplasm, or ________, begins.
   a. syncytia
d. cytokinesis
b. coenocytes
e. interphase
c. synapsis

18. During telophase, the following happens:
   a. Cytokinesis is complete, and the nucleolus reappears.
   b. The nuclear membrane forms, and the chromosomes uncoil.
   c. Each set of single-stranded chromosomes is at its respective pole.
   d. Cytokinesis is completed, and the chromosomes uncoil.
   e. All of the above.

19. In animal cells, cytokinesis begins with the formation of an indentation or ________ that forms all the way around the cell.
   a. cell plate
d. cleavage furrow
b. equatorial plane
e. coenocyte
c. endosperm

20. When cells come together, exchange genetic material, and then separate, this is known as ________.
21. Cells specialized for sexual union are known as ___________.
   a. sex cells
   b. centrioles
   c. bacteria

22. Most multicellular organisms have two different types of sex cells known as ___________.
   a. gonads
   b. testes
   c. ovaries

23. Sex cells are produced by a specific series of cell divisions known as ___________.
   a. mitosis
   b. binary fission
   c. meiosis

24. When a nucleus has two of each type of chromosome, the cell is said to be ___________.
   a. a gamete
   b. a chromosome
   c. diploid

25. Two haploid cells, known as ___________, unite in fertilization, forming a ___________.
   a. zygotes, gamete
   b. zygotes, chromosome
   c. coenocytes, gamete
   d. syncytia, zygote
   e. gametes, zygote

26. Cells that undergo meiosis are ___________.
   a. somatic cells
   b. germ cells
   c. cheek cells
   d. hair follicles
   e. intestine cells

27. Examples of cells that undergo mitosis are ___________.
   a. somatic cells
   b. germ cells
   c. cheek cells
   d. a and b
   e. a and c
28. The pairing of homologous chromosomes during prophase I is called ____________.
   a. synapsis  
   b. metaphase  
   c. fission  
   d. parthenogenesis  
   e. telophase

29. Four ____________ are lined up forming a ____________ during synapsis, providing an opportunity for genetic material to recombine in new ways.
   a. germ cells, genetic recombination  
   b. chromatids, tetrad  
   c. chromatids, synapsis  
   d. tetrad, synapsis  
   e. homologous pairs, parthenogenesis

30. During synapsis, it is possible that the chromatids may exchange segments of genetic material, a process called ____________.
   a. synapsis  
   b. parthenogenesis  
   c. homology  
   d. crossing over  
   e. interphase

31. During ____________, homologous chromosomes pair up and move toward the equatorial plane of the spindle.
   a. mitosis: telophase  
   b. mitosis: anaphase  
   c. meiosis: prophase I  
   d. meiosis: metaphase II  
   e. meiosis: anaphase II

32. In mitosis, ____________ begins when each centromere carrying its double-stranded chromosome divides and each single-stranded chromosome starts moving toward the opposite poles of the spindle.
   a. interphase  
   b. prophase  
   c. metaphase  
   d. anaphase  
   e. telophase

33. Instead of an interphase, the brief intervening period after meiosis I and before the commencement of meiosis II is called ____________.
   a. interphase I  
   b. interphase II  
   c. prophase I  
   d. prophase II  
   e. interkinesis

Answers

1. b  
2. a  
3. d  
4. d  
5. a  
6. c  
7. e  
8. e  
9. c  
10. a  
11. d  
12. c  
13. d  
14. d  
15. e  
16. a
Questions to Think About

1. Compare and contrast prokaryotic and eukaryotic cell division. Which is less complex and why?

2. In both eukaryotic and prokaryotic cell division, the genetic information must be duplicated before the cell begins to divide. Why?

3. Cell division is a brief and distinct stage in a cell’s life history, compared to the rest of a cell’s life. Describe what happens during interphase.

4. Define karyokinesis and cytokinesis.

5. Describe, with the use of labeled diagrams, each of the stages in eukaryotic cell division.

6. Define syncytium and coenocyte in terms relating them to cell division.

7. Compare and contrast binary fission, budding, cloning, conjugation, transformation, and transduction.

8. Define haploid and diploid, using the terms fertilization and zygote.

9. Define the following terms with labeled illustrations, comparing and contrasting the roles these parts play in different stages of a cell’s life history: chromosome, chromatid, centromere.

10. With carefully labeled illustrations, describe all the steps in meiosis.
Asexual Reproduction

Asexual reproduction is quite simple compared to sexual reproduction in that it requires only one organism; no partner is necessary. Therefore, in most asexual species, every mature individual can reproduce, enabling the population to increase far more rapidly than the otherwise comparable sexual species that require two individuals to reproduce.

Many organisms take full advantage of asexual reproduction. For instance, each time an amoeba divides, it produces two genetically identical replicas of itself. And many species of single-celled as well as multicellular organisms produce asexually reproductive cells known as spores that float in the air or water and eventually produce genetic replicas of the parent.

Another asexual mode of reproduction involves budding, as illustrated in Figure 4.1. In budding, part of the parent sprouts smaller offspring that separate and become distinct individuals. Many plants sprout new plants from leaves, roots, or some other part of the parent. This is called vegetative reproduction. In parthenogenesis, an egg can develop into an adult without being fertilized by a sperm cell.

Another form of asexual reproduction is fragmentation, in which part of an organism separates from the whole, and a new individual regenerates
from that part. Such fragmentation sometimes occurs when an organism is in danger; pieces of the injured organism then regenerate into whole organisms. Starfish, for example, have this reproductive capacity. Worms and planaria can also fragment and then regenerate the missing portion of their body.

**Cloning** is another type of asexual reproduction that involves the production of copies that are genetically identical, although they may not look identical. This happens with many plant species—such as when one plant grows from a seed and then many other plants sprout up from the roots. Recent advances have made cloning humans possible. President George W. Bush decided this procedure should not be done with our species. We can expect other countries will take the lead from here.

There are many benefits as well as drawbacks to both asexual and sexual reproduction. Through natural selection, some sexually reproducing organisms have been able to survive and continue to flourish because they benefit from the genetic variability that this mode of reproduction promotes. For
instance, should the environment change so that one variation were unable
to survive, the entire species would perish if the species consisted of a clone.
When, however, the species consists of genetically variable individuals within
each population, different populations can adapt to a changing or variable
environment. Although sexual reproduction is costly and somewhat ineffi-
cient in that it takes twice as many individuals to produce one set of off-
spring, the genetic variability that is maintained through sexual reproduction
seems to have long-term benefits.

**Sexual Reproduction**

Sexual reproduction is costly to a species in that it requires both a male and
a female to produce as many offspring as one asexual organism can produce,
but there are also benefits to such an expensive reproductive mode. The
method of reproduction is not always an either/or matter, however; some
species reproduce asexually during one part of the year and sexually during
another, thereby reaping the benefits of both methods. The complex series
of physiological and behavioral changes associated with sexual reproduction
are described below.

For sexual reproduction to occur, specialized cells from both the male
and female come together and unite. Yet merely combining any two cells is
not adequate. Rather, certain cells first undergo a peculiar type of cell divi-
sion called meiosis, creating gametes called germ cells.

In animals, undifferentiated male germ cells are located in the testes.
These cells undergo two meiotic divisions, called meiosis I and meiosis II,
creating four sperm cells. Undifferentiated at first, these sperm cells,
known as spermatids, undergo differentiation before becoming mature
spermatzoa. This process, spermatogenesis, is the result of the division
and maturation of a single diploid primary spermatocyte, producing four
spermatzoa. In female animals, all the undifferentiated germ cells are
located in the ovaries, where oogenesis occurs. Oogenesis comprises the
series of steps that produce an egg from a primary oocyte, which is also
called an ovum.

Figure 4.2 shows the process and products of meiosis and differentiation
for both spermatogenesis and oogenesis. The entire process in which gametes
(sperm and eggs) are developed through meiotic divisions and subsequent
maturation and development is known as gametogenesis. In the testes of
sexually mature male animals, the cells lining the seminiferous tubules are
always dividing meiotically, producing haploid sperm cells; this process is
termed spermatogenesis.
Gametogenesis in females is known as oogenesis, the process that produces eggs in the ovarian structures called follicles. The first meiotic division (meiosis I) produces two daughter cells of unequal size from a primary oocyte. The primary oocyte divides into a smaller first polar body and a larger secondary oocyte, which receives a greater share of the cytoplasm during this meiotic division. The first polar body either disintegrates or divides in the second part of meiosis (meiosis II), creating two second polar bodies that disintegrate. During meiosis II, the secondary oocyte divides into two parts unequally; less cytoplasm goes to a third second polar body, and more goes toward the ootid that then differentiates into an ovum, or egg.

Figure 4.2. Gametogenesis is illustrated, showing how the process involves meiosis, which, in both the male and female, consists of a first and second meiotic division, forming either sperm or an ovum.
In terms of weight, a human egg, though extremely tiny (much smaller than the head of a pin), is approximately 58,000 times heavier than a single sperm cell. In terms of length, a completely differentiated sperm cell is about \( \frac{1}{3} \) the diameter of a human egg. And if lined up side-by-side, there would be seven completely differentiated human eggs per millimeter. With its whip-like motion, the sperm’s tail propels it through the mucosal lining of the vagina toward the ovum.

Only one sperm cell can fertilize each egg. When the sperm cell penetrates the egg, it contributes its haploid (sometimes called monoploid or \( 1N \)) genetic complement of chromosomal DNA to the haploid (1N) egg, creating a diploid (2N) zygote.

While spermatogenesis leads to four equal-sized sperm cells because the cytoplasm is divided equally during each meiotic division, oogenesis leads to a single large ovum because of the unequal cytoplasmic divisions. The greater amount of cytoplasm in the egg is used to nourish the early developmental stages, known as the embryo. A larger egg may also enhance the chances of being fertilized by a sperm cell. See Figure 4.3 for an illustration of spermatogenesis and oogenesis.

**Alternation of Generation**

As stated earlier, some organisms use both sexual and asexual reproductive strategies at different stages during their life cycle, a phenomenon termed alternation of generation. Such species benefit by possessing the capacity to reproduce asexually, rapidly creating many genetically identical clones, as well as the capacity to reproduce sexually, thus benefiting from genetic recombination.

Cells that develop via meiosis are not always used for reproduction, and the reverse is also true; that is, cells used for reproduction do not always develop via meiosis. For instance, in certain plant species, gametes are produced by mitosis because the cells that produce the gametes are already haploid. These haploid gametes then unite in fertilization to form diploid zygotes that divide mitotically, becoming diploid multicellular plants. Eventually, during this stage of their life cycle, haploid cells are produced via meiosis, and the resulting structures are called spores. These haploid spores divide mitotically, producing haploid multicellular plants that mature and produce gametes. In some primitive plants, haploid spores were immediately produced by diploid zygotes.

Most variations on the alternation-of-generation theme are found in the
Figure 4.3. Spermatogenesis and oogenesis.

plant kingdom, though there are analogous examples in many animal groups. For instance, certain coelenterates (cnidarians), or relatives of the jellyfish such as *Hydra*, are sessile, or attached to the substrate. Some species, such as *Obelia*, have both sessile and free-floating forms. This too is a type of alternation of generation, although in this case both life-forms are diploid. See Figure 4.4 for an illustration of alternation of generations in *Obelia*, and Figures 4.5 and 4.6 for the life cycles of a moss and a fern.
Abbreviated here is a list of key concepts recounting pertinent points from chapter 3, on cell division, and from this chapter.

1. When a multicellular, diploid organism produces gametes, the gametes can be a product only of meiosis. If the organism is already haploid, as in some plants, then the gametes are the product of mitosis.

2. Haploid cells cannot divide meiotically; only diploid cells can undergo meiosis. Diploid and haploid cells can divide mitotically.

3. After fertilization, any organism produced by successive mitotic divisions is diploid.

4. If successive mitotic divisions produce a multicellular organism after meiosis, but before fertilization, then the organism is haploid.

Figure 4.4. Alternation of generations in Obelia, which is a cnidarian (coelenterate). The polyp is part of the anchored colony (sessile). Reproductive polyps produce medusae, the free-swimming forms that produce eggs and sperm, which combine upon fertilization to form a zygote, which develops into a free-swimming planula larva. The planula eventually settles and through budding forms a new sessile colony.
5. The diploid phase in the life cycle of most animals is the dominant stage.
6. The haploid stage in the life cycle of most animals represents the stage during which they exist solely as gametes.
7. Animals do not produce spores. Spores are always haploid. To produce a multicellular organism, spores divide mitotically. Gametes unite through sexual reproduction, and fertilization occurs. Later, spores are produced that grow into multicellular organisms through mitotic cell division.
8. In most primitive plants, the haploid stage in the life cycle is dominant.
9. In most higher plants, the diploid stage is dominant.

**Human Reproduction**

The reproductive organs, sexual behavior, and related cycles of humans are similar in many respects to those of other animals, although there are many
differences. Here, humans are used as a model to illustrate basic sexual anatomy, physiology, and behavior.

**Male Reproductive Organs**

As a species that reproduces sexually, humans produce gametes. The male gametes, or sperm, are produced in both testes (singular *testis*), which are located within the scrotal sac, or **scrotum**. The testes are composed of tubes, the seminiferous tubules, inside of which spermatogenesis, or the production of sperm occurs. Spermatogenesis is the series of meiotic divisions that creates the sperm, which in the case of humans is stored in the **epididymis**.
Upon adequate sexual stimulus, the **penis** becomes erect and sperm moves from the epididymis through the **sperm duct**, where it mixes with secretions from the **seminal vesicles**, the **prostate gland**, and the **Cowper’s glands**, producing a mixture known as **semen**. During an orgasm, this fluid is discharged in an **ejaculation**.

Men produce sperm after **puberty**, which may occur any time between the ages of 12 and 17. Puberty usually occurs slightly earlier in girls than in boys. Before puberty, boys can have an erection and are capable of feeling a sensation quite similar to an orgasm. Erections occur even while the male fetus (unborn baby) is in the mother’s **womb** (a term that is sometimes used interchangeably with **uterus**). See Figure 4.7 for an illustration of the human male reproductive system.

**Female Reproductive Organs**

The sex organs outside the body are known as the **external genitalia**. Together, the external female genitalia are called the **vulva**, which are composed of the protective, sensitive **inner** and **outer labia** (**labia minora** and **labia majora**). As in the male, urine flows through the **urethra** and out
through the opening known as the **urinary meatus**. From back to front on the female, the first opening is the anus, the second is the vagina, and the third is the urinary meatus (see Figure 4.8). Above the urinary meatus is a small structure of which only the protruding tip is exposed. This is the **clitoris**, which swells considerably and hardens when engorged with blood. This is one of the more sensitive parts of the female anatomy, having approximately the same number of nerve endings as a penis. Sensitive to touch, the clitoris and labia are involved in the excitatory responses associated with intimate sexual contact.

Whereas the urethra in the male passes through the center of the penis, the female’s urethra opens just above the vaginal orifice. In the female, the urethra connects directly with the bladder, and its sole function is to pass urine. In the male, however, the urethra not only connects with the bladder but during an ejaculation serves as the duct that carries semen.

The **vagina**, sometimes referred to as the birth canal, is the passage leading from the uterus to the vulva. This is where the penis enters during sexual intercourse, and it is through this canal that the baby passes during birth. Girls are born with a thin membrane across the vagina that forms a border

**Figure 4.8.** Reproductive system of the human female.
around the vaginal opening. This membrane, the **hymen**, has an opening through which such fluids as the menstrual flow pass.

During the foreplay—touching, fondling, and kissing—that precedes intercourse, a significant amount of natural lubrication is secreted by the vagina’s **mucosal lining**. Some drops of clear fluid are also secreted through the male’s urinary meatus, the hole at the tip of the penis.

Although the vagina may be thought of as an internal reproductive organ, it is in fact a continuation of the exterior of the body. The **cervix**, which is the lower opening of the **uterus**, is the meeting point of the body’s exterior and interior. The uterus is an easily stretched, muscular organ where the embryo develops. When the time comes for the baby to be born, the muscles of the uterus contract, pushing the baby through the cervix and vagina (see Figure 4.9). The cervix is a series of ring-shaped muscles that become dilated or expanded when relaxed, increasing the diameter of the opening. Likewise, when contracted, the opening becomes smaller. This type of muscle is known as a **sphincter**.

The tubes attached to the uterus, also called **oviducts** or **fallopian tubes**, connect the uterus to both of the ovaries, which lie on either side of the uterus. When girls are born, each of their immature ovaries already con-

![Figure 4.9. Human fetus and its fetal structures inside the mother's uterus.](image)
tains all the eggs they will ever have. Some estimates place the number of eggs at 500,000 per ovary, over two-thirds of which die before the female reaches puberty. Most of the rest die during the next several decades until the woman reaches the end of her child-bearing years at menopause, which usually occurs at about 45 years of age. During a woman’s reproductive years, generally from the age of about 12 or 15 until menopause, one egg is released approximately every 28 days; all together, this means a women releases about 400 to 500 eggs during a lifetime.

Each month, one of the ovaries releases an egg, alternating with its pair from month to month. Following ovulation, the woman is fertile for several days, during which time she is capable of becoming pregnant.

When an ovary releases an egg, or ovulates, the egg slowly passes down the oviduct aided by the cilia toward the uterus. Because the vaginal mucosa are highly acidic, they retard microbial growth. Such acidity would also be injurious to sperm cells without the neutralizing effect of the semen. If coitus (sexual intercourse) occurs shortly after ovulation, some of the sperm moving through the vagina pass through the cervix and move up the uterus to the openings of the oviducts. If a sperm cell reaches the egg and penetrates the egg’s membrane, resulting in fertilization, the fertilized egg, or zygote, passes down the oviduct to the uterus, where development continues until the baby is born.

Most other mammals—in fact, most other vertebrates—have sex during specific times. Unlike humans, some animals have mating seasons that occur just once a year. It is during these times that the animals become receptive or stimulated, and the appropriate nuptial behavioral patterns begin. Sexual displays of many types, those specific to each species, are elicited when males and females encounter one another. In contrast, instead of having sex only during specific times in a cycle, humans are receptive all year long.

**Menstrual Cycle**

Puberty is the point at which an individual is first capable of reproduction. Girls usually reach this stage when about 12 years old, and boys reach puberty about two years later. When a girl reaches puberty, she has her first menstrual period, and her periods recur every 28 days or so during the rest of her child-bearing years. It is the female monthly cycle that is known as the menstrual cycle.

Blood and cells that had lined the uterus are expelled in the menstrual flow, which is a result of the breakdown of the uterine lining. The outer cells
Figure 4.10. Monthly ovarian and uterine cycles. Four hormones are involved: FSH (follicle stimulating hormone) causes the maturity of the egg; estradiol causes the uterine lining to grow thicker; LH (luteinizing hormone) causes ovulation; and progesterone helps prepare the lining of the uterus for the fertilized egg. This is the "ovarian" cycle. If the egg is not fertilized, it passes through the uterus and disintegrates, the corpus luteum stops producing progesterone, and menses occurs. This is the "uterine" cycle.
slough off in a readying process that allows the uterus to regenerate a new lining receptive to implantation of a fertilized egg, creating a proper environment where an embryo can develop to full term. During estrus, when the cells are sloughed off, old cells and accompanying blood drain from the uterus through the vagina, out of which the discharge flows. This bleeding is controlled and normal, and is referred to as a woman’s period. In humans, the entire menstrual cycle of uterine buildup and breakdown, involving the release of one egg, takes 28 days. The term “menstrual cycle” originated from the root *menses*, which means months (*mensis* means month). Menses is also used when referring to the menstrual period.

Many changes occur in hormonal concentrations during the menstrual cycle. Two parts of the monthly cycle are commonly known as the **ovarian cycle** and the **uterine cycle**. The relative levels of the two important hormones, *estrogen* and *progesterone*, are involved in regulating the events of the monthly cycle. Figure 4.10 explains the events in more detail.

The follicle is the part of the ovary that releases the egg. While the follicle develops, it secretes estrogen, which stimulates the uterus to thicken. Ovulation typically occurs on the fourteenth day of the cycle (counting begins from the first day of flow). The ruptured follicle becomes the **corpus luteum**, which secretes another hormone, progesterone, which stimulates the uterus to become ready to receive the fertilized egg. If the egg does not become fertilized, then progesterone secretion drops off, the thickened lining of the uterus sloughs off, and bleeding signals the beginning of the next menstrual cycle (see Figure 4.10).

**KEY TERMS**

- alternation of generation
- asexual reproduction
- budding
- cervix
- cilia
- clitoris
- cloning
- coitus
- corpus luteum
- Cowper’s glands
- diploid zygote
- egg
- ejaculation
- embryo
- epididymis
- estrogen
- estrus
- fallopian tubes
- fertile
- first polar body
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Multiple-Choice Questions

Asexual Reproduction

1. Is **cloning** a form of **sexual** or **asexual** reproduction?

2. Is **parthenogenesis** a type of asexual reproduction where an egg **can** or **cannot** develop into an adult without being fertilized by a sperm cell?

3. Many plants reproduce __________, sprouting new plants from leaves or roots or some other part of the parent.
   a. by budding  
   b. vegetatively  
   c. parthenogenetically  
   d. altruistically  
   e. parsimoniously

4. Another form of asexual reproduction involves __________, which occurs when part of an organism separates from the whole, and from this piece a new individual regenerates.
   a. fragmentation  
   b. parthenogenesis  
   c. parsimony  
   d. altruism  
   e. alternation of generation

Alternation of Generation and Sexual Reproduction

5. In the testes of sexually mature male animals, the cells lining the __________ divide meiotically, producing haploid sperm cells.
   a. ovaries  
   b. kidneys  
   c. seminiferous tubules  
   d. ureters  
   e. urethras

6. Gametogenesis in female animals is known as __________.
   a. follicular growth  
   b. spermatogenesis  
   c. oogenesis  
   d. mitosis I  
   e. mitosis II

7. Some organisms use both sexual and asexual reproductive strategies at different stages during their life cycle, which is termed __________.
   a. sporation  
   b. ovulation  
   c. sex  
   d. alternation of generation  
   e. spermatogenesis
8. When a multicellular, diploid organism produces gametes, the gametes can only be a product of __________.
   a. meiosis  
   b. mitosis  
   c. alternation of generation  
   d. spermatogenesis  
   e. oogenesis

9. Animals __________ produce spores.
   a. sometimes  
   b. always  
   c. never  
   d. meiotically  
   e. mitotically

10. The __________ phase in the life cycle of most animals is the dominant stage.
    a. monoploid  
    b. haploid  
    c. diploid  
    d. triploid  
    e. tetraploid

11. In spermatogenesis, from one primary spermatocyte develop __________.
    a. two secondary spermatocytes  
    b. four secondary spermatocytes  
    c. two spermatids  
    d. four spermatids  
    e. a and d

12. Upon completion of meiosis I and meiosis II in oogenesis, the primary oocyte has developed into __________.
    a. one secondary oocyte, one ootid, and one ovum  
    b. one first polar body and three second polar bodies  
    c. one secondary oocyte and one first polar body  
    d. one ootid and three second polar bodies  
    e. one ovum and three polar bodies

13. When the genetic material in the __________ unite, the union is known as fertilization.
    a. gametes  
    b. ootids  
    c. secondary polar bodies  
    d. spermatocytes  
    e. oocytes

14. In male vertebrates, the sperm cells are formed by the cells lining the __________.
    a. follicles  
    b. spores  
    c. zygotes  
    d. seminiferous tubules  
    e. mesothelium
15. In the testes, the epithelial cells divide meiotically, producing __________ sperm cells.
   a. haploid    d. haplodiploid
   b. diploid    e. all of the above
   c. tetraploid

16. The aggregation of cells in the ovary that releases the egg is known as a __________.
   a. menses    d. estrogen
   b. follicle   e. period
   c. progesterone

17. Many types of plants and animals reproduce by a strategy that uses both sexual and asexual reproduction, known as __________.
   a. parthenogenesis    d. genetic recombination
   b. sporangia   e. alternation of generation
   c. oogenesis

18. The haploid cells that plants sometimes produce meiotically are called __________.
   a. oocytes    d. spores
   b. spermatocytes  e. zygotes
   c. seeds

19. An asexual mode of reproduction known as __________ occurs when part of the parent sprouts smaller offspring that then become separate individuals.
   a. budding    d. spreading
   b. sprouting   e. parthenogenating
   c. germinating

20. A form of asexual reproduction that produces genetically identical offspring is known as __________.
   a. germination    d. cloning
   b. gestation  e. parthenogenesis
   c. fragmentation

*Human Reproduction*

21. The sac in which the testes are located is the __________.
   a. seminal vesicle    d. scrotum
   b. prostate    e. Cowper’s sac
   c. epididymis
22. The production of sperm occurs inside the ________.
   a. epididymis  d. seminiferous tubules
   b. seminal vesicles  e. Cowper's gland
   c. prostate gland

23. Toward the top of each testis are some coiled tubes inside which sperm is stored; this area is known as the ________.
   a. seminal vesicle  d. seminiferous tubules
   b. sperm duct  e. epididymis
   c. prostate gland

24. When a male ejaculates, sperm moves from the epididymis through the sperm duct and is then mixed with secretions from the ________ and ________.
   a. prostate gland, thymus gland  d. Cowper's gland, urinary meatus
   b. vagina, seminal vesicle  e. seminal vesicle, prostate gland
   c. urethra, vulva

25. When sperm moves through the sperm duct and is mixed with the above secretions, it becomes known as ________.
   a. mucus  d. semen
   b. spermicidal jelly  e. urine
   c. prostaglandin

26. The ________ passes through the length of the center of the penis.
   a. ureter  d. vulva
   b. urethra  e. vagina
   c. urinary meatus

27. The passage leading from the uterus to the vulva is known as the ________.
   a. ureter  d. vulva
   b. urethra  e. vagina
   c. urinary meatus

28. The ________ is a muscular organ where the embryo develops.
   a. cervix  d. ovary
   b. sphincter  e. menopause
   c. uterus

29. The lower part of the uterus that extends into the vagina is the ________.
Questions to Think About

1. Define asexual reproduction and discuss the different types that exist.

2. Compare and contrast asexual and sexual reproduction: What are the benefits and shortcomings of each?

3. Describe with the use of illustrations both types of gametogenesis: spermatogenesis and oogenesis.

4. List the main human male reproductive structures (internal and external), and explain their function.

5. List the main female reproductive structures (internal and external), and explain their function.

6. Give the precise route that sperm takes from where it is formed to where it leaves the body.

7. Give the precise route that an egg takes from where it is formed to where it becomes an embryo, and then the path it takes when the baby is born.

8. Describe a complete menstrual cycle.
Cellular respiration is a series of chemical reactions that frees the energy in fat, protein, and carbohydrate food molecules, rendering it available to the cells (see Figure 5.1). Respiration is generally defined as the oxygen-requiring stage in these biochemical reactions. However, in certain instances, respiration also occurs without any oxygen; this is known as anaerobic respiration.

During respiration, as in photosynthesis (described in chapter 6), each chemical reaction is catalyzed by an enzyme. To break down glucose molecules, which are the stable end products of photosynthesis, ATP is needed to provide the activation energy to initiate the chemical processes that follow. ATP is one of the major energy-providing molecules that initiate biochemical reactions throughout the body. Because ATP, NADH₂, and similar molecules are essential to the maintenance of living systems, organisms need to ensure the constant supply of such energy sources. See Figure 5.2 for the role of enzymes in reducing activation energy and Figure 5.3 for the role of temperature in enzyme activity.

**Glycolysis**

Glycolysis is the first series of chemical reactions in cellular respiration, in which glucose is converted to pyruvate (pyruvic acid) and, depending on the
Figure 5.1. The major events involved in cellular respiration are illustrated in this flow diagram. First carbohydrates, fats, and proteins are digested into pyruvate and acetate molecules, producing ATP. The acetate molecules enter the Krebs cycle, where carbon dioxide ($CO_2$), hydrogen ions ($H^+$), and electrons ($e^-$) are produced. The energy from the hydrogen ions, which are protons, and the electrons is used to create ATP molecules in the cytochrome system. Then the hydrogen ions combine with the electrons to form water.
organism and the particular conditions involved, pyruvate is processed further into other critical end products (see Figure 5.4).

**Oxidation**, chemically defined as the loss of an electron, usually takes place through the addition of oxygen or the subtraction of hydrogen. The oxidation of glucose to pyruvate releases energy, most of which would be lost as heat if it were not conserved. To conserve the released energy so it may be harnessed to run the cell’s metabolism, the cell regulates its chemical reactions. Chemical reactions break down glucose in controlled incremental steps. Together, these steps are called glycolysis (meaning the *lysis*, or splitting, of glucose).
Glucose, a 6-carbon sugar, is first split into two 3-carbon fragments, one of which is pyruvate. The other fragment is then converted to pyruvate. Each of the intermediary steps involved in converting glucose to pyruvate occurs within the cell’s cytoplasm. The entire process can take place whether or not oxygen is present, because no molecular oxygen is used. This explains why glycolysis is sometimes referred to as anaerobic respiration. These steps are illustrated in Figure 5.5.

In the first step of glycolysis, one of the phosphate groups from ATP is added to glucose (see Figure 5.6 for an illustration of ATP’s structure). Then the glucose, with a phosphate group on the sixth carbon (hence glucose 6-phosphate) undergoes a rearrangement, retaining the same number of atoms and becoming another 6-carbon compound, fructose 6-phosphate. At this point, another ATP donates a phosphate group, and the previous compound becomes fructose-1,6-diphosphate, which is then split into two 3-carbon molecules, each having a phosphate group. One of these 3-carbon compounds, phosphoglyceraldehyde (PGAL), was an end product of photosynthesis before being converted into glucose. The other 3-carbon compound that resulted from splitting fructose biphosphate is converted into PGAL. So far, the glycolytic pathway has consumed two ATPs to convert glucose back into PGAL, which brings us back to the second-to-last step of photosynthesis, before the PGALs were converted into glucose.

The next step involves oxidation and phosphorylation (the addition of another phosphate group), converting the PGAL into 1,3-diphosphoglyceric acid. One high-energy phosphate group on each of the diphosphoglycerate molecules is then transferred to ADP, making more ATP molecules.

**Anaerobic Fermentation**

Many cells, particularly those in most plants and in some microorganisms, can obtain energy without oxygen by the anaerobic process of **fermentation** (also called anaerobic respiration). Without oxygen, the pyruvate will accept
hydrogen from NADH₂, freeing more NAD to accept hydrogen in other glycolytic reactions. When added to the pyruvate, the hydrogen will convert it into ethyl alcohol, a 2-carbon compound, and release carbon dioxide in the process. This anaerobic pathway converts pyruvate into alcohol (ethanol). In animals and some microorganisms, anaerobic respiration reduces (adds hydrogen to) pyruvate, producing energy and lactic acid (lactate is a 3-carbon com-

Figure 5.5. Krebs cycle.
pound). The anaerobic processes that form ethanol or lactate are called fermentation. For an illustration of them, see Figure 5.7.

If the energy released through a series of controlled glycolytic steps were released at once, the heat could cause a fire. This explains why cells use small, incremental steps, each releasing controlled energy either to synthesize ATP or to help attach hydrogen atoms to molecules of the hydrogen-carrying coenzyme NAD$^+$ (nicotinamide adenine dinucleotide) to form NADH$_2$. 
The enzymes that engage each glycolytic step are dissolved in the cytoplasm. For other carbohydrates to enter the glycolytic pathway, they too must first be converted to glucose. Enzymes then remove water molecules and restructure the phosphoglycerate molecules into phosphoenolpyruvate, which has a high-energy bond connecting the phosphate group. This last phosphate group is then used to phosphorylate ADP to ATP, converting phosphoenolpyruvate into the 3-carbon pyruvate.

![Diagram showing the structure of adenosine triphosphate (ATP).](Figure 5.6)

![Diagram showing anaerobic conversion of pyruvate (pyruvic acid) to ethanol and to lactate (lactic acid). This process is also called anaerobic respiration and alcoholic fermentation.](Figure 5.7)
Aerobic Respiration

In the presence of oxygen, the 3-carbon pyruvate molecules produced in glycolysis can be further oxidized. The aerobic respiration of pyruvate, which occurs within the mitochondria, takes place in two stages: the Krebs cycle (named for Hans Krebs of England, who discovered it in 1953) and oxidative phosphorylation.

Organisms that use glycolysis with respiration produce 19 times as much energy as do anaerobic species breaking down the same food. Before this efficient metabolic process evolved, there was anaerobic glycolysis. The development of the Krebs cycle (illustrated in Figure 5.8) increased the number of potential metabolic pathways and raised the number of ATPs produced to 38. The Krebs cycle, part of aerobic cellular respiration, is the most common pathway for the oxidative metabolism of pyruvate. After pyruvate is converted to acetate, coenzyme A (CoA) is attached to it, forming acetyl-CoA, which enters the Krebs cycle.

Cytochrome System

In the cristae of the mitochondria the cytochrome system transports hydrogen atoms from glycolysis and from the Krebs cycle. Via oxidation, energy is created in the form of adenosine triphosphate (ATP). When the carbon atoms of glucose molecules are oxidized, some of the resulting energy is used to add a phosphate to adenosine diphosphate (ADP), creating ATP. Most of the energy, however, remains in the high-energy carbon-hydrogen bonds and in the high-energy electron carriers NAD$^+$ and FAD. In the final stage of the oxidation of glucose, the high-energy-level electrons are passed sequentially in a controlled, step-by-step process to lower-energy-level electron carriers. A small amount of energy is released during each step that is used to form ATP from ADP. This phase of aerobic respiration is known as oxidative phosphorylation (see Figure 5.9).

The NADH and FADH$_2$ released during the Krebs cycle are transported to the series of electron carriers known as the electron transport chain. The main components of the electron transport chain are cytochromes (iron-containing protein molecules). At the end of the chain, the electrons are accepted by oxygen atoms, producing water.

In a simplified manner, aerobic respiration may be summarized in this formula:

\[
C_6H_{12}O_6 + 6O_2 \rightarrow 6CO_2 + 6H_2O + \text{energy}
\]
Figure 5.8. The Krebs (tricarboxylic acid) cycle shown with more detail than in Figure 5.5.
The steps represented in this equation occur in a coordinated process. These are illustrated in Figure 5.10.

**Respiration of Fats and Proteins**

As stated earlier, more than just carbohydrates can be fed into the respiratory chain of reactions. Other molecules may also be modified and metabolized. The first step in breaking down fats to produce glycerol and fatty acids is **hydrolysis**, in which the molecules are broken down through the addition of water. Glycerol, which is a 3-carbon compound, is converted into PGAL and fed into the glycolytic pathway. The fatty acids are then further broken down into carbon fragments, which are converted into acetyl-CoA and then fed into the respiratory pathway. When metabolized, gram for gram, fat yields a little more than twice as much energy as carbohydrates.

The hydrolysis of protein produces amino acids that may then be metabolized by several different means. One is **deamination**, or the removal of the amino group. In another method, amino acids are converted into pyru-
vic acid. Through other mechanisms, amino acids are converted into acetyl-CoA, while others are converted into compounds that can be used in the Krebs cycle. Gram for gram, the complete oxidation of protein yields about the same amount of energy as carbohydrates.

KEY TERMS

- activation energy
- aerobic respiration
- anaerobic respiration
- cellular respiration
- cytochrome system
- cytochromes
- deamination
- electron transport chain
- fermentation
- glycolysis
- hydrolysis
- Krebs cycle
- mitochondria
- nicotinamide adenine dinucleotide
- oxidation
- oxidative phosphorylation
- phosphoenolpyruvate
- phosphoglycerate

Figure 5.10. Summary diagram of the formation of ATP during the aerobic breakdown of glucose to carbon dioxide and water. The integration of the three processes—glycolysis, the Krebs cycle, and oxidative phosphorylation—is illustrated.
Multiple-Choice Questions

Glycolysis

1. Fats, proteins, and carbohydrates are reservoirs of energy that through a series of chemical reactions known as _______ can become available to the cells.
   a. respiration
   b. oxidation
   c. glycolysis
   d. transformation
   e. activation

2. In eukaryotes, all the respiratory steps except for glycolysis occur within the _________.
   a. nucleus
   b. ribosomes
   c. Golgi bodies
   d. lysosomes
   e. mitochondria

3. Glycolysis occurs in the _________.
   a. nucleus
   b. nucleoplasm
   c. nucleolus
   d. cytoplasm
   e. a, b, and c

4. Gram for gram, the complete oxidation of protein yields ________ energy as that of carbohydrates.
   a. about the same amount of
   b. about twice as much
   c. about three times as much
   d. one-half the amount of
   e. one-quarter the amount of

Anaerobic Fermentation, Respiration, and Cytochrome System

5. Many cells, particularly those in plants and in some microorganisms, can obtain energy without oxygen by the anaerobic process of _________.
   a. fermentation
   b. aerobic respiration
   c. deamination
   d. deoxidation
   e. transformation

6. The aerobic respiration of pyruvate occurs within the _________.
   a. nucleus
   b. cytoplasm
   c. mitochondria
   d. Golgi bodies
   e. lysosomes
7. With the aid of a complex of enzymes found in the mitochondrial matrix, acetate is broken down through a long series of chemical reactions known as ____________.
   a. the Krebs cycle    d. oxidation
   b. osmosis             e. mitochondrial cycle
   c. deamination

8. In the final stage of the oxidation of glucose, high-energy electrons are passed in a controlled series of steps to lower-energy-level electron carriers, with a small amount of energy released during each step; the energy is used to form ATP. This phase of aerobic respiration is known as ____________.
   a. oxidative phosphorylation  d. the tricarboxylic acid cycle
   b. the Krebs cycle              e. anaerobic fermentation
   c. the citric acid cycle

9. In the ____________, the 3-carbon pyruvic acid molecules produced through glycolysis can be further oxidized.
   a. presence of oxygen   d. lysosome
   b. absence of oxygen    e. ribonucleic acid
   c. nucleus

10. Pyruvate is passed to the ____________, where in eukaryotic cells all the respiratory steps except for glycolysis occur.
    a. lysosomes      d. Golgi apparatus
    b. infundibulum   e. mitochondria
    c. nucleus

11. Pyruvate is transported to the mitochondrial matrix where the 3-carbon compound is converted into the 2-carbon acetate. Then, with the aid of the complex of enzymes found in the mitochondrial matrix, the acetate begins the long series of chemical reactions known as ____________.
    a. the Krebs cycle    d. all of the above
    b. the citric acid cycle  e. none of the above
    c. the tricarboxylic acid cycle

12. During the Krebs cycle, the most important by-products are ____________ and several hydrogen-carrier molecules including ____________ and some ____________.
    a. ATP  d. all of the above
    b. NADH  e. none of the above
    c. FADH₂
13. Organisms that use glycolysis with respiration produce ________ as anaerobic species breaking down the same food.
   a. energy just as efficiently
d. energy three times as efficiently
b. energy less efficiently
e. energy nineteen times as efficiently
c. energy twice as efficiently

14. In the final stage of the oxidation of glucose, the high-energy-level electrons are passed sequentially in a controlled, step-by-step process to lower-energy-level electron carriers. A small amount of energy is released during each step and is used to form ATP and ADP. This phase of aerobic respiration is known as ________.
   a. the Krebs cycle
d. oxidative phosphorylation
b. the tricarboxylic cycle
e. all of the above
c. the citric acid cycle

15. The NADH and FADH₂ released during the Krebs cycle are transported to the series of electron carriers in the phase of anaerobic respiration known as oxidative phosphorylation. This series of electron carriers is known as ________.
   a. the electron transport chain
d. amination
b. the tricarboxylic cycle
e. deamination
c. the citric acid cycle

16. The hydrolysis of proteins through the removal of the amino group is one of several ways that proteins may be metabolized, producing amino acids; this method is known as ________.
   a. amination
d. glycolysis
b. deamination
e. transformation
c. carbolysis

Answers

1. a 5. a 9. a 13. e
2. e 6. c 10. e 14. d
3. d 7. a 11. d 15. a
4. a 8. a 12. d 16. b
Questions to Think About

1. Under what circumstances might cellular respiration occur in the presence of oxygen, and when might oxygen be unnecessary?

2. Although it has been stated that the oxidation of glucose to pyruvate releases energy, what exactly is meant by the term “oxidation”?

3. The first series of chemical reactions in cellular respiration, in which glucose is converted to pyruvate, is called glycolysis. Expand on what happens during this series of reactions.

4. Why are enzymes important in glycolysis?

5. How are both glycolysis and the Krebs cycle related?

6. Describe anaerobic fermentation; compare and contrast it to other ways cells have to obtain energy. Integrate anaerobic fermentation into the larger picture, explaining the role it plays in most plants and in some microorganisms.

7. How do ATP and ADP differ, and why are they important in cellular respiration?

8. What happens during the Krebs cycle?

9. What is the electron transport chain, what role do the cytochromes play in this chain, and where might such an electron transport chain exist? Why is it so important?

10. What types of substances can be fed into the respiratory chain of reactions? And what happens to them once they have been fed into this chain of reactions?
Every leaf is essentially a solar-powered carbohydrate factory where, fueled by the sun, raw materials such as carbon dioxide and water are transformed by the complex molecular machinery into stable, energy-rich, finished products that help run nature’s entire economy. This solar-powered process that makes carbohydrates is known as photosynthesis. It occurs in the leaves of higher plants, as well as in many other plant parts, especially in those that are green. Photosynthesis also occurs in a range of organisms other than higher plants, including algae and some bacteria and protists.

History

In 1772, Joseph Priestley, a British clergyman and chemist, demonstrated that when a plant or animal was kept alone in an airtight jar, it died. However, when a plant and an animal were put together in an airtight jar, both lived. Seven years later the Dutch physician Jan Ingen-Housz showed that sunlight was necessary for plants to produce oxygen although, like Priestley, he knew nothing about oxygen at the time and explained his results in another way. Then in 1782, a Swiss pastor and part-time scientist, Jean Senebier, showed that plants use carbon dioxide (CO₂) when they
produce oxygen ($O_2$). He suggested that $CO_2$ was converted to $O_2$ during photosynthesis.

Again, it should be stressed that Senebier didn’t know which gases were involved. Rather, he reported that the process was dependent upon a particular kind of gas, which he called “fixed air,” and which we now know as carbon dioxide. Then in 1804, the Swiss worker **Nicolas Theodore de Saussure** found that water is necessary for the photosynthetic production of organic materials. So by the early nineteenth century, the basic ingredients involved in photosynthesis were already known and could be put in the following equation (see also Figure 6.1).

\[
\text{green plants} \\
\text{carbon dioxide + water} \rightarrow \text{organic material + oxygen} \\
\text{light}
\]

In 1883, **T. W. Engelmann**, a German researcher, conducted an experiment that provided circumstantial evidence indicating that **chlorophyll**, the
green pigment of plants, might be important in photosynthesis. He studied the algae *Spirogyra* sp., which has distinctively long, spiral chloroplasts. With a prism, Engelmann directed specific wavelengths of light to different parts of the *Spirogyra*. He then introduced oxygen-requiring bacteria to the solution, expecting that when the *Spirogyra* was getting the best light for photosynthesis, the *Spirogyra* would release the most oxygen, and that would be where the bacteria would move, for the oxygen. The greatest numbers of bacteria clustered where the chloroplasts were absorbing the bands of red and blue light, not by the green wavelengths. From this, Engelmann deduced that oxygen was being produced where the red and blue wavelengths were being absorbed.

**Photosynthetic Pigments**

The reason most leaves look green is because while they absorb the light’s red and blue wavelengths, the green passes through, so that’s what we see. Since Engelmann’s experiments, it has been shown that in addition to the class of green pigments necessary for photosynthesis, which are known as the chlorophylls, there are also yellow, orange, and brown photosynthetic pigments, known as carotenoids and xanthophylls. Some plants have additional photosynthetic pigments, known as anthocyanins, which are stored in large vacuoles. When autumn approaches, certain sugars are converted to anthocyanin pigments that are red under acidic conditions and blue under alkaline conditions, contributing to the fall colors.

**Photosynthetic Autotrophs**

Unlike organisms known as heterotrophs, which require other plants and animals for their livelihood, autotrophs are organisms that subsist on the inorganic environment. Autotrophs manufacture organic compounds from molecules that are so small, they don’t have to be digested. Because the molecules taken in are small enough and sufficiently soluble to pass through the cell membranes, autotrophic organisms do not need to pretreat, break down, or digest their nutrients before taking them into their cells.

**Photosynthetic autotrophs** are among the most important and widespread organisms alive. They have elaborate systems that enable them, with the use of energy from the sun, to raise electrons to an excited state. When the electron is returned to its more normal state, a portion of its energy is transferred to a form where it may be used by the organism.
So green plants and other photosynthetic organisms create high-energy organic material through photosynthesis. As described previously, this involves converting carbon dioxide and water, in the presence of light, into carbohydrate, oxygen, and water. Photosynthesis is the ultimate source of all the energy-rich carbon compounds used by all organisms; it is responsible for the continual supply of atmospheric oxygen, without which all the aerobic organisms, those that use oxygen for all their oxidative processes, would not exist.

The only organisms that photosynthesize are the green plants and algae, some unicellular green flagellates (see chapters 2 and 16 for more about one-celled organisms with flagella), and two groups of bacteria. Each year these animals, through photosynthesis, release about one-half of all the oxygen that is currently present in the atmosphere. And at the same time, animals, through their respiratory processes, use that oxygen for their metabolism, and replace it with carbon dioxide, which in turn is recycled by the plants.

**Nutrients**

While animals eat plants, animals, or both, to obtain nutrients, the plants and many microorganisms sometimes obtain their nutrients through inorganic sources, such as the air, water, soil, and the sun. Not really food from a human perspective, the chemicals absorbed by plants and microorganisms are used for metabolic purposes and, therefore, can be categorized as nutrients.

In the same way that the concept of what constitutes food differs, depending on the type of organism being considered, the methods used by organisms to obtain their energy and nutrients also differ. Like all organisms, plants and microorganisms require carbon, oxygen, hydrogen, and nitrogen. In lesser amounts, they also require phosphorus, sulfur, potassium, calcium, magnesium, and iron, as well as trace elements such as molybdenum, boron, copper, and zinc. And certain algae need vanadium and cobalt.

Of the four major elements found in all organisms—carbon, oxygen, hydrogen, and nitrogen—the oxygen and hydrogen are readily obtained from the air or the water. Oxygen often enters plant tissue through the roots and leaves. Inorganic elements, including nitrogen, usually enter higher plants through the roots, and most plants obtain their carbon through the leaves, usually as carbon dioxide. Other essential elements pass through cell membranes by diffusion or active transport (both are defined in chapter 2), and some cells may ingest particulate matter by pinocytosis and phagocytosis (also defined in chapter 2).
Chloroplasts

As stated in chapter 2, plastids are the relatively large organelles in plant cells where nutrient storage and/or photosynthesis occurs. Chloroplasts, the plastids containing chlorophyll, are enclosed within an outer envelope composed of two membranes, an outer and inner membrane. Usually quite large and conspicuously green, chloroplasts can be seen under a light microscope.

In the chloroplasts are thin, flat, platelike photosynthetic membranes called lamellae, or thylakoids, located in a protein-rich solution called the stroma. These photosynthetic membranes are arranged in stacks, called grana, throughout the chloroplasts (see Figure 6.2). Within each chloroplast, all the photosynthetic membranes are connected, and they surround an interior space that contains hydrogen ions, which are necessary for the synthesis of ATP molecules. Within the thylakoid membranes are chlorophyll and other light-trapping photosynthetic pigments. These pigments are composed of the molecules involved in the electron transport system and the ATP and NADPH₂-synthesizing complexes. (See chapter 5.)

The photosynthetic thylakoid membranes are arranged in a way that creates considerably more surface area in relation to the total enclosed volume, a key ratio that allows the rapid buildup of hydrogen ions by the activities of all the membraneous surface area. The high relative surface area is also important in allowing the photosynthetic pigments to intercept much of the light energy passing through the leaf, or a specific structure containing the chloroplasts.

Figure 6.2. Electron micrograph of chloroplast from a corn plant.
The enzymes involved in moving carbon dioxide molecules into carbohydrate molecules are located in the protein-rich stroma surrounding the thylakoids. The ribosomes and DNA contained within the chloroplasts are also located within the stroma (see chapter 2). Generally, eukaryotic green algae cells contain between one and 40 chloroplasts. Prokaryotic cyanobacteria (also known as blue-green bacteria, and sometimes still called blue-green algae) contain photosynthetic membranes throughout their interior, rather than within distinct chloroplastic organelles.

**Chlorophyll and Other Photosynthetic Pigments**

There are several different types of chlorophyll molecules, all of which are evolutionarily related. They are referred to as chlorophyll \( a \), chlorophyll \( b \), and so on. Each is quite similar in structure, having two distinct parts (see Figure 6.3). A long nonpolar end is fat soluble and is anchored within the lipids composing the photosynthetic membranes. Lipids constitute important molecular components of cell membranes. Endoplasmic reticulum, cell membranes, membraneous envelopes surrounding the cell’s organelles, and the membranes within the chloroplasts are all largely composed of lipids, and

![Chemical structures of chlorophyll a and chlorophyll b.](image)

**Figure 6.3.** Chemical structures of chlorophyll \( a \) and chlorophyll \( b \).
it is within this membrane in the chloroplasts that the long nonpolar tail of the chlorophyll molecules are attached.

The other end of the chlorophyll molecules contains a complex ring structure with a magnesium ion at the center, which is the active site where the light energy is trapped.

In addition to the structure shown in Figure 6.3, there are actually three or four variations with slightly different absorption spectra. The absorption spectrum of a photosynthetic pigment refers to the different wavelengths of electromagnetic radiation—in this case, light, from the sun—that are absorbed by the specific pigment in question. Figure 6.4 illustrates the absorption spectra of chlorophyll b.

Because each photosynthetic pigment absorbs light most efficiently within a specific spectrum, plants have several different photosynthetic pigments, increasing the overall efficiency by capturing light energy from a wider range of wavelengths. For example, the carotenoids, another important group of accessory pigments found in all green plants, absorb energy that is then passed to the chlorophyll molecules, where it is used in photosynthesis. The carotenoids are long molecules consisting of chains of carbon and hydrogen (hydrocarbon chains) with many double bonds throughout and specific attached side groups, such as methyls, and usually there are ring structures at both ends (see Figure 6.5).

Oxygen molecules are reduced in intense light; this means electrons are usually added to the resulting free radicals, which in this case are oxygen molecules with an odd, or unpaired, electron. These radicals are extremely
Figure 6.5. Chemical structure of three closely related carotenoids: \(\alpha\), \(\beta\), and \(\gamma\)-carotene.
reactive because of their tendency to gain or lose electrons, and, therefore, they can react with and destroy other molecules. However, because the free radicals rapidly bind with the double bonds in the carotenoid molecules, they are prevented from destroying the chlorophyll.

If the light intensity is too strong, or sustained over too long a period, the backup system may be inadequate and the chlorophyll molecules will be destroyed by the free radicals. In many plants, chlorophyll is broken down in autumn before the onset of cold winter weather. At this time, before certain plants lose their leaves, the chlorophyll is digested and the magnesium and nitrogen are transported to the roots, where they will be stored until the following spring, when they are sent back up the plant and are used. It is the decomposition of chlorophylls that allows the yellows, oranges, and browns of previously masked carotenoids to become visible. And, as mentioned earlier, the colors from the xanthophylls and anthocyanins may also become obvious. Such color changes sometimes signal certain animals, such as birds, luring them in to eat the ripened fruit and distribute the seeds via their feces elsewhere.

In addition to the photosynthetic pigments discussed above, red algae and blue-green bacteria contain phycobilins, which absorb light energy from wavelengths outside the absorption spectra of chlorophyll $a$, and then they transfer the energy to chlorophyll $a$ for use in photosynthesis.

## Light Absorption

When light energy (photons) is absorbed by the photosynthetic pigments, which are located within the thylakoid membranes, the excess energy in these excited molecules is passed on to chlorophyll $a$ molecules. For instance, when light strikes a pair of chlorophyll molecules, the electron held between them absorbs this energy, raising it from its normal stable energy level to a higher energy state. This electron then jumps to another molecule. The chlorophyll molecules are left with a net positive charge, which is then neutralized with an electron that comes from either a nearby water-soluble molecule or an electron jumping from another photosynthetic pigment molecule, and the process occurs again.

The energized electrons coming off the chlorophyll molecules get passed from one pigment molecule to the next until they reach either of two specialized forms of chlorophyll $a$, called P680 or P700. The P is an abbreviation for pigment; P680 has a maximum absorption peak in the red light with a wavelength of 680 nm. P700 has a slightly longer absorption peak of about 700 nm (1 nanometer [nm] = 1 millionth of a millimeter
Both of these specialized chlorophyll molecules have light absorption peaks in the “long” wavelength end of the spectrum, where the energy is considerably less than at the shorter wavelength end of the spectrum. Higher-energy light with shorter waves is absorbed by other photosystem pigments and then passed down the energy gradient, eventually being trapped at the low-energy end by either P680 or P700. There are discrete photosystems, each of which contains about 200 molecules of chlorophyll a, about 50 molecules of carotenoid pigment, and one molecule of either P680 or P700. The former is called photosystem I and the latter is photosystem II.

Passing the Electrons

As the light energy is converted into excited electrons that are passed down the chain of pigment molecules, a series of chemical reactions, known as redox reactions, is triggered. Redox is short for reduction and oxidation reactions; reduction means the addition of an electron (storing energy), and is explained more fully at the beginning of chapter 5; oxidation means the removal of an electron (releasing energy). Since an electron moving from one molecule to another continually takes energy from one molecule and adds it to another, it follows that as one molecule is reduced, another is oxidized.

This electron transport system ultimately stores light energy two different ways. Electrons are taken from P680 or P700 molecules by strong electron acceptors (referred to either as Z or as FRS—ferredoxin-reducing substance). Z passes electrons to ferredoxin, another iron-containing electron acceptor. And when the electrons reach the outer surface of the thylakoid membrane, the stroma, they are passed to the electron acceptor, a hydrogen-carrying coenzyme NADP (nicotinamide adenine dinucleotide phosphate). (NADP is also known as TPN, triphosphopyridine nucleotide.) The NADP retains a pair of energized electrons, and, in this state, an NADP pulls two hydrogen protons, H⁺, from water to form NADPH₂.

Instead of using the notation NADPH₂, texts often use NADPH + H⁺, which indicates that in addition to the NADPH, a hydrogen ion was also added. Either form designates reduced NADP (when the hydrogens are added on, so too are electrons, maintaining a neutral charge).

The source of electrons constantly moving through the system is the accumulation of hydrogen ions in the interior space of the thylakoid mem-
branes, known as the hydrogen ion reservoir. Some of these hydrogen ions are produced by splitting water molecules, and this is where the waste product, oxygen, is produced.

\[ 2\text{H}_2\text{O} \rightarrow 4\text{H}^+ + 2\text{e}^- + \text{O}_2 \]

The NADPH\textsubscript{2} is valuable because of its great reducing potential. When it reduces another molecule by donating its electrons (at the same time it gives up its hydrogens), and the amount of energy it releases is about 50 kcal of energy per mole (a kcal is a kilocalorie, which is 1000 calories; a mole is a unit of measurement that always contains the same number of elementary units. That number, by definition, is \(6.02 \times 10^{23}\), which is called Avogadro’s Number).

In photosystem II, the photosynthetic pigments trap the energized electrons that get passed to the **electron acceptor Q**, which passes them through a chain of acceptor molecules. While the electrons move along this chain, some energy is released that is used to synthesize ATP from ADP. ATP is a high-energy compound that provides energy for most of the work done by the cell. ATP is a nucleotide, a 5-carbon sugar molecule with a phosphate group and a purine attached; the purine can be either adenosine or guanylate. Nucleotides are the building blocks that make up nucleic acids. Two prominent nucleic acids are DNA (deoxyribonucleic acid) and RNA (ribonucleic acid).

The energy supply required for making the ATP molecules that do the cell’s work ultimately comes from the sun, via photosynthesis. Respiration releases the energy from the food molecules manufactured during photosynthesis that store the energy from the sun.

To synthesize ATP, ADP must be joined to an inorganic phosphate group P. In reverse, ATP is converted to ADP plus an inorganic phosphate group and energy. This process is illustrated in Figure 6.6.

![Figure 6.6](image.png)

**Figure 6.6.** The transfer of the ATP molecule’s terminal high-energy phosphate to a glucose molecule. This energizes the glucose and converts it to glucose phosphate, which is important in additional chemical reactions.
The adenine and the ribose sugar complex compose the adenosine unit. Attached are three phosphate groups; the last two are connected by high-energy bonds. When broken, the resulting products are ADP and a phosphate group, as well as the released energy. Each may be summarized by the following shorthand.

\[
\text{ATP} = \text{adenosine} - P - P - P
\]

enzyme

\[
\text{ATP} \rightarrow \text{ADP + P + energy}
\]

enzyme

\[
\text{ADP + P + energy} \rightarrow \text{ATP}
\]

The sequence of events following the movement of electrons through the photosynthetic system may be summarized as follows.

\[
\text{H}_2\text{O} \rightarrow \text{photosystem II} \rightarrow \text{Q} \rightarrow \text{carrier chain} \rightarrow \\
\text{photosystem I} \rightarrow Z \rightarrow \text{ferredoxin} \rightarrow \text{NADPH}_2 \rightarrow \text{carbohydrate}
\]

**Noncyclic Photophosphorylation**

*Noncyclic photophosphorylation* is the process of synthesizing NADPH\(_2\) in which the high-energy chlorophyll molecule initially donates electrons and then accepts them when in a low-energy state. This photophosphorylation is called noncyclic because the same electrons are not continually passed around the system; rather an outside source is required. The following equation summarizes this chemical reaction:

\[
2\text{ADP} + 2P + 2\text{NADP} + 2\text{H}_2\text{O} + \text{light energy} \rightarrow 2\text{ATP} + 2\text{NADPH}_2 + \text{O}_2
\]

**Cyclic Photophosphorylation**

In the *photosynthetic phosphorylation* process, the light energy-driven addition of phosphate groups described above, part of the overall set of reactions is known as *cyclic photophosphorylation*. Chlorophyll acts as both
an electron donor and acceptor. The electrons are passed from molecule to molecule in a chain of reactions. Each step of the way, the electron loses some of its energy. Finally, when the electrons return to the chlorophyll molecules, they have lost their extra energy.

The light strikes the chlorophyll molecules in photosystem I, causing the electrons to become excited. In turn, the electrons then pass along to the electron acceptor molecule Z, which passes them to other acceptor molecules. Each step follows a downward energy gradient. The released energy fuels the synthesis of ATP from ADP and inorganic phosphate. Eventually the electrons are returned to the chlorophyll molecules where they started. The term “cyclic” photophosphorylation refers to this cycling of electrons.

Carbon Fixation and Carbohydrate Synthesis

That light energy is captured and used to make high-energy molecules of ATP and NADPH₂ has been explained above. These energy-rich compounds help synthesize carbohydrates (carbohydrate synthesis), which are the major end products of photosynthesis. The photosynthetic processes in which ATP and NADPH₂ are synthesized occur in the presence of light.

Then, with these energy-rich compounds, the synthesis of carbohydrates is carried out in either light or darkness. Cells furnished with the proper biochemical apparatus can synthesize carbohydrates at any time, just as long as they are healthy cells, functioning within the proper temperature range, and are furnished with CO₂, ATP, and NADPH₂.

Both ATP and NADPH₂ fuel the synthesis of carbohydrates. CO₂ is pushed up an energy gradient and converted into a series of intermediate compounds until a 3-carbon sugar, PGAL (phosphoglyceraldehyde) or glyceraldehyde 3-phosphate, is formed. See Figure 6.7, which illustrates how these processes work together to synthesize this carbohydrate. Some of the PGAL is used to make ribulose, the 5-carbon sugar that combines with CO₂, making a 6-carbon sugar that is promptly broken into two 3-carbon sugars, or PGA (phosphoglyceric acid), which is converted into energy-rich PGAL.

Much of the PGAL goes back into more ribulose to combine with the CO₂ molecules. Some PGAL goes straight into the metabolism of the cell, and some, through a series of steps, is combined and rearranged to form the 6-carbon sugar, glucose, which most people recognize as the final product of photosynthesis.
Figure 6.7. Carbohydrate synthesis. Carbohydrates are made from water and CO₂ via the carbon-fixation cycle. Through this cycle, three molecules of CO₂ produce one molecule of glyceraldehyde 3-phosphate, and this process consumes nine molecules of ATP and six molecules of NADPH.

The glucose is then available to be broken down for its energy, which is released and used in the cell’s metabolic processes. Other glucose molecules can be used to synthesize additional types of molecules such as fats, or they can be strung together to make more complex carbohydrates, such as sucrose, starch, or cellulose. Sucrose, a water-soluble disaccharide, is the sugar transported in solution through the vascular tissue of plants. Starch is the insoluble carbohydrate that is commonly stored in parts of plants such as the roots.

Leaves

Most of a plant’s surface area contributing to the photosynthetic process occurs in the leaves. Hundreds of millions of years of natural selection have shaped leaves into efficient structures that maximize their exposure to light, control their gas exchange, minimize water loss, and help move water, minerals, and carbohydrates up from the roots and to other parts of the plant.

About 10 percent of all the photosynthesis that occurs is the product of higher plants, those that we regularly see around us, and the other 90 percent is the product of algae, most of which occur in the ocean. However, it is the
leaves of terrestrial plants that are presented in transverse section in most biology courses and texts, and Figure 6.8 carries on the tradition. Other related structures are described in chapter 18.

**KEY TERMS**

- anthocyanins
- ATP
- autotrophs
- carbohydrate synthesis
- carbon fixation
- carotenoids
- chlorophyll
- chloroplasts
- cyclic photophosphorylation
- electron acceptor Q
- Engelmann, T. W.
- free radicals
- glucose
- grana
- heterotrophs
- Ingen-Housz, Jan
- lamellae
- NADP
- noncyclic photophosphorylation
- oxidation
- P680
- P700

**Figure 6.8.** Three-dimensional diagram of a leaf section, illustrating external and internal structures.
Multiple-Choice Questions

History, Chloroplasts, Pigments, Autotrophs, and Nutrients

1. The process fueled by the sun, where carbon dioxide and water are transformed into carbohydrates is known as __________.
   a. respiration
   b. oxidation
   c. reduction
   d. carbosynthesis
   e. photosynthesis

2. The following investigator demonstrated in 1772 that a plant alone in an airtight jar will die, and an animal alone in an airtight jar will die. However, when a plant and an animal are both placed together in an airtight jar, both survive.
   a. Hooke
   b. Schwann
   c. Schleiden
   d. Priestly
   e. Senebier

3. In 1779, the following researcher showed that sunlight was necessary for plants to produce oxygen:
   a. Hooke
   b. Schwann
   c. Priestly
   d. Senebier
   e. Ingen-Housz

4. In 1782, the part-time scientist __________ showed that plants use carbon dioxide when they produce oxygen.
5. In 1804, _______ found that water is necessary for the photosynthetic production of organic materials.
   a. Priestly  
   b. Senebier  
   c. Ingen-Housz

6. In 1883, the following researcher conducted an experiment that provided circumstantial evidence indicating chlorophyll, the green pigment in plants, might be important to photosynthesis:
   a. Priestly  
   b. Senebier  
   c. Ingen-Housz

7. The following are photosynthetic pigments:
   a. chlorophyll $a$ and $b$  
   b. xanthophylls  
   c. anthocyanins  
   d. carotenoids  
   e. all of the above

8. Based on their mode of nutrition, the following category of organisms subsists on the inorganic environment, taking in small molecules that do not have to be digested, from which they manufacture organic compounds:
   a. inorganotrophs  
   b. organotrophs  
   c. homotrophs  
   d. heterotrophs  
   e. autotrophs

9. The relatively large organelles in plant cells where nutrient storage and/or photosynthesis occur are known as _________.
   a. thylakoids  
   b. lamellae  
   c. chlorophyll  
   d. plastids  
   e. none of the above

10. The plastids containing chlorophyll are called _________.
    a. thylakoids  
    b. lamellae  
    c. chloroplasts  
    d. all of the above  
    e. none of the above

11. The thin, flattened sacs inside the chloroplasts are called _________.
    a. thylakoids  
    b. lamellae  
    c. stroma  
    d. a and b  
    e. a and c
12. The stacklike groupings of the photosynthetic membranes located inside the chloroplasts are known as ________.
   a. grana  
   b. stroma  
   c. plastids
   d. chloroplasts
   e. all of the above

13. Surrounding the thylakoids is a protein-rich solution, the ________, which contains enzymes involved in moving carbon dioxide molecules into carbohydrate molecules.
   a. grana  
   b. stroma  
   c. plastids
   d. chloroplasts
   e. none of the above

**Chlorophyll, Light Absorption**

14. The green pigments necessary for photosynthesis are known as ________.
   a. xanthophylls  
   b. carotenoids  
   c. chlorophylls
   d. anthocyanins
   e. all of the above

15. There are several different types of chlorophyll molecules; each is quite ________.
   a. similar  
   b. different  
   c. red
   d. blue
   e. orange

16. Chlorophyll molecules have two distinct parts. There is a long nonpolar end that is soluble and is anchored within the lipids composing the photosynthetic membranes. The other end of the chlorophyll molecules contains a complex ring structure with a ________ ion at the center, which is the active site where the light energy is trapped.
   a. iron  
   b. cadmium  
   c. calcium
   d. manganese
   e. magnesium

17. ________ refer(s) to the different wavelengths of electromagnetic radiation that are absorbed by the specific pigment in question.
   a. x-rays  
   b. gamma rays  
   c. ionizing radiation
   d. prismatic spectrum
   e. absorption spectra
18. The _______ are an important group of accessory pigments found in all green plants. There is evidence that they absorb energy, which is then passed to the chlorophyll molecules that are used in photosynthesis.
   a. free radicals      d. carotenoids
   b. phycobilins        e. adenoids
   c. nucleotides

19. Chlorophyll may be broken down in the autumn before the onset of winter. At this time some plants digest their chlorophyll to save the _______ and _______ atoms, which are then transported and stored in their roots.
   a. calcium, iron      d. chlorine, potassium
   b. hydrogen, oxygen    e. carbon, iodine
   c. nitrogen, magnesium

20. In addition to the photosynthetic pigments found in most advanced plants, red algae and blue-green bacteria contain _________, which absorb light energy from wavelengths outside the absorption spectra of chlorophyll a and then transfer this energy to chlorophyll a to be used in photosynthesis.
   a. carotenoids        d. phycobilins
   b. xanthophylls       e. none of the above
   c. anthocyanins

**Light Absorptions, Passing the Electrons**

21. When light is absorbed by the photosynthetic pigments that are located within the ________, the energy in these excited molecules is passed on to chlorophyll a molecules.
   a. nucleus            d. adenoids
   b. endoplasmic reticulum e. none of the above
   c. thylakoid membranes

22. The energy supply required for making the ATP molecules, which do the cell's work, either comes from photosynthesis, which captures and stores the sun's energy in food molecules, or it comes from ________, the process that breaks down food molecules, releasing their energy.
   a. respiration         d. refaction
   b. transpiration       e. inspiration
   c. ingestion
23. Cyclic photophosphorylation and noncyclic photophosphorylation may occur in ___________.
   a. the dark                           d. all of the above
   b. the light                         e. none of the above
   c. roots

24. ATP and NADPH₂ are synthesized in the ___________.
   a. dark                              d. all of the above
   b. light                             e. none of the above
   c. roots

25. With ATP and NADPH₂, the synthesis of carbohydrates can be carried out. Unlike photophosphorylation, carbohydrate synthesis can occur in the ___________.
   a. dark                              d. all of the above
   b. light                             e. none of the above
   c. bark

Answers

1. e  8. e  15. a  22. a
2. d  9. d  16. e  23. b
3. e  10. c  17. e  24. b
4. c  11. d  18. d  25. a
5. e  12. a  19. c
6. d  13. b  20. d
7. e  14. c  21. c

Questions to Think About

1. Give a brief history of how the basic fundamentals of photosynthesis were first discovered.

2. What are the different photosynthetic pigments, and why are there different ones?

3. Describe the differences between autotrophs and heterotrophs.

4. List some of the most important nutrients to a plant, and tell where they are most likely to come from.
5. How does chlorophyll work?

6. Where is chlorophyll usually located, and why?

7. What is noncyclic photophosphorylation?

8. What is cyclic photophosphorylation?

9. How do plants synthesize carbohydrates?
Fluid Environment of the Earliest Cells

The intracellular conditions of most organisms are remarkably uniform, indicating they have changed little throughout evolutionary history. Because maintenance of a stable internal environment, known as **homeostasis**, is critical to the well-being of the organism, regulatory mechanisms are carefully controlled. In chapter 8, on the endocrine system, the hormones that help regulate this carefully mediated series of feedback systems are discussed.

All life-forms are thought to share a marine origin because the fluids found inside most cells have a salt concentration comparable to that of salt water. The reasoning goes that if cells first evolved in a marine environment, then they probably were adapted to a high salt concentration, both inside and out. As it turns out, the majority of all unicellular eukaryotes, as well as invertebrates and vertebrates inhabiting salt water, are composed of cells whose internal environment closely resembles the concentration of the salt water they are bathed in. Of the hundreds of thousands of organisms that do not live in the oceans, most have high salt concentrations in their cellular fluids, as well as high concentrations of other elements found in salt water.

In contrast to the majority of freshwater environments, the ocean presents a stable environment with regard to salt concentration, pH, and temper-
nature. Most organisms are very sensitive to even slight changes in their external environment and are unable to survive seemingly minor changes in their surrounding medium. Just a slight variation in the blood’s potassium concentration, for instance, is enough to stop the heart, and a small increase in magnesium will block all nervous activity.

Plant Cells vs. Animal Cells

Algal cells regulate only their intracellular fluids, whereas the cells of some higher plants and most animal cells regulate the fluids both inside and outside their cell membranes. In most animals, approximately equal amounts of fluid are found both intra- and extracellularly (inside and outside the cells), while most of the fluids found in multicellular algae occur intracellularly. Part of this contrast is attributable to the inherent difference between how algae and animals regulate their fluids. In higher plants (those with vascular tissues, e.g., xylem and phloem), the fluids found inside the vascular tissues are essentially continuous with the water outside the plant. Water continually flows from outside the roots, right through the porous root hairs. Animal tissues, in contrast, are separated from the environment by membranes that help regulate the extracellular fluids.

The fluids bathing the cells inside most animals are usually isosmotic (isotonic) with the cells; that is, the fluids inside and outside of the cells have about the same osmotic pressure. Within solutions, osmotic pressure moves fluids across membranes from where the solute (the particles or molecules in solution) are less concentrated to where they are more concentrated, until the osmotic pressure on both sides of the membrane is the same (isosmotic). A large relative osmotic pressure shift, or even in some cases just a slight one, could cause serious problems leading to death (see Figure 7.1). To cope with such a potential shift, many organisms have specialized physiological mechanisms that help them adjust to changes in osmotic pressure; some will be discussed below.

When the intracellular fluids are considerably less concentrated or hypoosmotic, water is moved out of the cell and the cell shrinks. When the intracellular fluids are considerably more concentrated or hyperosmotic relative to the extracellular fluids, animal cells work constantly to remove inflowing fluids. If the cell cannot remove enough fluid, eventually it will burst due to so much internal pressure.

Generally, plant cells lack the same mechanisms for such rapid removal of material across their membranes; however, most plant cells have a hard cell wall that can withstand the internal pressure that would rip an animal cell
apart. So once a plant cell expands to its maximum limit, it remains intact and resists further fluid intake.

When the extracellular fluids surrounding plants become hyperosmotic relative to the fluids inside the cells, the cell walls keep their shape while the cells lose water. Instead, the cell membrane shrinks, pulling away from the cell wall. Such a cell is plasmolyzed, and this process is called **plasmolysis**.

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**Figure 7.1.** Osmosis is a specialized type of diffusion involving a semipermeable membrane that permits the passage of some types of molecules but not others. When a cell, or in the case of most laboratory experiments, a cellophane bag, containing a solution is placed inside another solution, the flow of fluids either in or out of the bag is described with these terms: 1) when the concentration of the solutions in and out of the bag are the same, the osmotic pressures are the same, and they are **isotonic**; 2) when the osmotic pressure inside the bag is greater and the fluid flows out through the membrane, the cell shrinks as the fluid moves from the **hypertonic solution** where osmotic pressure is greater to the surrounding solution where it is lower; and 3) when the **hypotonic solution** has lower osmotic pressure than the surrounding fluid, the fluids flow into the cell and in this figure the membrane bursts. The before and after effects that occur in time are shown in the “Initial” and “Later” columns of this figure.
Animals must excrete nitrogenous wastes that result from the breakdown of protein. Terrestrial plants, however, have a greater capacity to convert the toxic wastes that most animals have to excrete into forms that are useful. This is why only animals excrete such nitrogenous wastes as urine and related substances (which are discussed below). If land plants did not have this capacity, they might poison the soils they grow on.

### Invertebrate Excretion

#### Contractile Vacuoles

Many unicellular organisms simply pass their excess water, salts, and nitrogenous wastes across their cell membranes into the surrounding medium. However, some protozoans have a specialized excretory organelle, the **contractile vacuole**, that fills with liquid and then moves to the cell membrane, where the contents are released from the cell (or excreted). Rather than being involved in excretion of nitrogenous wastes, contractile vacuoles seem to be primarily involved in eliminating excess water that enters the cell.

#### Flame Cells

Flatworms such as planaria, flukes, and tapeworms usually have two or more networks of thin tubes running the entire length of their bodies. Together, these constitute the closest thing to the most primitive, multicellular excretory systems that occur in the lower invertebrates. Some of these tubes open to the body’s surface through minute pores. Inside these tubes are tufts of cilia that beat continuously in a manner that, when viewed under the microscope, looks like a flickering flame, which is why they are called **flame cells** (see Figure 7.2). Some metabolic wastes are excreted through this flame cell system, but for the most part, as with the contractile vacuoles of protozoans, flame cells seem to be primarily involved in regulation of water balance. The majority of metabolic wastes produced by flatworms are excreted straight from their tissues into their gastrovascular cavity and then out their mouth.

#### Nephridia

Unlike flatworms, which lack a circulatory system, annelids (segmented worms) have a closed circulatory system. Therefore, it has been possible for
an excretory system to evolve that removes material from the blood, rather than straight from the tissues. Annelids are compartmentalized into many body segments, and each segment is usually equipped with a pair of specialized excretory organs, nephridia, which are closely associated with both blood capillaries and pores that open to the outside of the body. The nephridia excrete wastes that they filter from the blood. In addition to these wastes, annelids also pass unused waste products not absorbed in their alimentary wastes canal, through their anus.

**Malpighian Tubules**

Insects are much more closely related to annelids than to vertebrates, but their excretory systems are completely different from either group, primarily because they have an open circulatory system. It appears that the similarities between nephridia and kidneys are not due to annelids and vertebrates being closely related; they are not. Rather, their excretory systems’ similarities appear to stem from both groups having closed circulatory systems. Insects probably evolved from organisms with closed circulatory systems. The closed circulatory system was lost as an open circulatory system predominated, requiring a completely different excretory system.

Their excretory organs are known as Malpighian tubules. As waste products accumulate in the hemolymph (or blood) inside the open sinuses, the blood is continuously filtered through a system of tubules that removes the nitrogenous wastes that have been converted into uric acid crystals. The tubules doing the filtering connect the open sinuses with the hind gut, where they deposit the waste materials. In the hind gut there is even more
resorption of body fluids, so some insects pass out feces that amount to little more than a dry powder (or little, dry pellets).

**Kidneys**

Unlike annelids, vertebrates don’t have excretory organs in each body segment; in fact, vertebrates aren’t segmented, and they have just one pair of kidneys. Kidneys are large areas where the smaller functional units, known as nephrons, are congregated. There are approximately one million nephrons in a human kidney; each constantly filters blood. All the filtrate eventually is excreted in the urine.

The blood passes through the **renal artery** (the artery that goes into the kidney). The artery branches into smaller arterioles and then into a small spherical ball of capillaries called the **glomerulus**, which is surrounded by the part of the filtering system called **Bowman’s capsule** (or renal capsule, or nephric capsule). When the blood is in the glomerulus, it is under pressure, and, since the capillary walls are so thin, much of the plasma passes from the capillary into the capsule. This fluid then passes into the long tube (the nephron—see Figure 7.3). Each section of the nephron is named according to function: filtering out or absorbing specific materials. The first part of the nephron closest to Bowman’s capsule is the **proximal convoluted tubule**, the loop in the nephron is called the **loop of Henle**, and the top of the loop on the other side is called the **distal convoluted tubule**. Then the filtered fluid passes into the **collecting duct**, into larger collecting ducts, and then into the **ureter**, which takes the urine from each kidney to the **bladder**, where the urine is stored until it is passed through the **urethra** and excreted from the body. All the loops of Henle, each with the same architecture, function as simple mechanical filters; the force that operates them is **hydrostatic pressure**. In addition to removing dilute nitrogenous wastes and excess water, kidneys are also instrumental in regulating the relative concentrations of specific **inorganic ions** in the blood plasma (such as sodium, potassium, and chloride).

This type of kidney probably arose in marine vertebrates, and then helped the first terrestrial vertebrates filter their blood while resorbing much of the water. It has been found that vertebrates inhabiting extremely arid environments have highly specialized kidneys with very long loops of Henle that have a remarkably great capacity to resorb much of the water back into the bloodstream while filtering out just the absolutely necessary materials. These animals have amazingly concentrated urine. Even though mammalian kidneys are well adapted to terrestrial life, they require so much water that usually other vertebrates, such as reptiles, are far more numerous in arid environments. The reasons are discussed below.
Figure 7.3. Nephrons are located in the portions of the kidney termed “cortex” and “medulla.” Location of a nephron (left) and its structures (right) are illustrated.
Excretion, Salt and Water Balance

The process of ridding the body of excess water, salts, and nitrogenous wastes such as ammonia, urea, and uric acid (see Figure 7.4), as well as other useless or toxic substances, is termed excretion. In addition to releasing dangerous metabolites, or otherwise useless materials, excretion helps organisms maintain their salt and water balance.

When carbohydrates and fat supplies are exhausted, the body converts nitrogenous compounds, such as amino acids and proteins, into energy, creating certain nitrogenous by-products. In addition, the body is constantly breaking down and rearranging the components of nitrogenous compounds when manufacturing specific proteins. One of the steps in such conversions involves removing an amino group (−NH₂), in a process called deamination. During deamination, the amino group is converted into ammonia (NH₃), a toxic waste product that must quickly be converted into a less toxic form.

Only when plenty of fresh water is available can nitrogenous wastes be sufficiently diluted that they won’t poison the animal. Then these wastes can be excreted as ammonia. Many small marine invertebrates pass their wastes across membranes into the surrounding sea water. This is accomplished in some animals without a specialized excretory system. Phagocytosis, for example, moves solid wastes across the body wall to the outside, or to the digestive tract, through which they pass and then are excreted. However, such an unspecialized system works in reverse when these organisms are placed in brackish or fresh water. Hypoosmotic media would deplete the organisms’ internal fluids, dehydrating them to the point of death, if there weren’t adequate compensatory processes.

When vertebrates moved from the water and began colonizing terrestrial habitats, there was no longer any osmotic advantage to maintaining high salt concentrations in their body fluids. Instead, most animals that are adapted to
living in fresh water and on land have considerably lower salt concentrations in their internal fluids than their marine ancestors. However, all these animals still have a rather salty internal environment compared to pure, fresh water. When they are placed in fresh water, their internal environment is hypertonic in relation to their surrounding medium (meaning their internal environment is saltier than the surrounding fresh water), so there is still a tendency for water to move into them or for salt to move out.

There are many other adaptations that help animals persist in otherwise osmotically inhospitable environments. Some animals, such as certain clams, close up. Others use evasive measures by swimming elsewhere. Many organisms have evolved methods by which they can regulate their internal osmotic concentrations; these animals are said to have the ability to osmoregulate. Some animals, such as most freshwater fish, constantly take in water, because their internal environment is saltier than the water they live in. These animals must constantly excrete the excess water, usually by maintaining a continual flow of extremely dilute urine. Much excess water is also removed through the gills. Salt, lost in the process, is replaced through the food that is eaten, and some salts are replaced by specialized salt-absorbing cells in the gills.

Oddly, it appears that the ancestors of most bony fish once lived in fresh water, and some then became marine. Some descendants of these bony marine fish have retained their relatively dilute bodies and are hypoosmotic relative to marine water. They constantly lose water and must counter dehydration and excessive salt uptake. Their relatively impermeable skin is usually covered with scales, which helps, but since there is so much area for water loss and salt intake through the surface area of the gills, it is a combination of such impermeable skin, with specialized salt-excreting cells in the gills, and the constant drinking of water that enables them to osmoregulate. Much of the nitrogenous waste is passed through the gills, but urine may also be produced by the kidneys.

Sharks also appear to have a freshwater ancestry, and yet they solved their osmotic problems differently. They combine ammonia with carbon dioxide, converting it to urea. But instead of excreting the urea, which in high concentrations is toxic to most other animals, they retain it in their blood, without any adverse effects. It is the urea that enables them to maintain a high osmotic concentration, thereby avoiding dehydration. Whatever salts sharks need to eliminate are excreted through specialized cells in the rectum. It may sound odd that a fish could become dehydrated, but without finely tuned osmoregulation, depending on the species and the environment, fish would easily become either dehydrated or bloated with too much water.

Freshwater animals remove salts by moving them from their tissues to the bloodstream and then out of the body. Highly specialized salt excretion cen-
TERS, such as in the gills of many fish, or in the noses or tear ducts of other animals, such as some lizards and birds, help.

Other Osmoregulatory Problems

When animals moved to land, some of the osmoregulatory problems encountered were those related to desiccation. Being on land obviously meant the animals were no longer bathed in an aqueous surrounding, so their entire body surface was vulnerable to water loss through evaporation. Some of the first terrestrial forms probably had protective coverings (such as scales) that reduced the potential water loss. Despite protection, however, their respiratory surfaces (lungs, trachea, throat, mouth, and nasal passages) were susceptible to water loss. And additional water was lost through urine and feces. With such a great potential for water loss, few organisms would survive very long without replenishment. So organisms dealt with these problems by drinking fresh water and eating foods containing water, as well as through the oxidative breakdown of nutrients, since water is one of the by-products of cellular respiration.

Some fish and most amphibians and mammals have an adaptation that deals with the ammonia in a manner that conserves water. Like sharks, they combine ammonia with carbon dioxide, converting it to urea. But unlike sharks, the urea is toxic unless in very dilute concentrations. The urea is released into their blood, and then many fish pass the urea directly from their blood out through their gills. Most mammals rely more on their kidneys for the removal of urea.

Unlike animals that lay shelled eggs, the terrestrial animals that lay eggs in water, such as amphibians, are able to use urea as a nitrogenous metabolite because such wastes will readily diffuse into the surrounding water. Mammals also have embryos that develop in an aquatic medium not enclosed inside a shell, so they also deal with their nitrogenous wastes by excreting diluted urea.

Because urea still requires some water that otherwise might have been used for other purposes, it is even better for some animals to produce uric acid, which is very insoluble and can be excreted without being diluted, draining the body of water. Apparently, uric acid is necessary for some egg layers, because it can remain inside an egg shell without any toxic effects that would disrupt the embryo’s metabolism.

Birds and reptiles convert the ammonia into uric acid, which is released into the blood and removed by the kidneys. Although uric acid is a more complex compound than urea, it does not have to be watered down into a more dilute form to be safe. Therefore, excreting uric acid conserves water, which is especially important for those reptiles that inhabit arid environments. The uric acid crystals excreted by these animals account for their whitish excrement.
KEY TERMS

ammonia
bladder
Bowman's capsule
collecting duct
contractile vacuole
deamination
distal convoluted tubule
excretion
flame cells
glomerulus
homeostasis
hydrostatic pressure
hyperosmotic
hypoosmotic
inorganic ions
isosmotic
kidneys
larger connecting ducts
loop of Henle
Malpighian tubules
nephridia
nephrons
osmoregulate
osmotic pressure
phagocytosis
plasmosis
proximal convoluted tubule
renal artery
urea
ureter
urethra
uric acid
uric acid crystals
urine

SELF-TEST

Multiple-Choice Questions

Homeostasis, Excretion, and Osmoregulation

1. The fluids bathing the internal environment of most animals' cells is usually _________ with the fluid inside the cells.
   a. isosmotic
   b. hyperosmotic
   c. hypoosmotic
   d. hypertonic
   e. hypotonic

2. When plant cells lose water and the cell membrane shrinks, pulling away from the cell wall, such a cell is termed _________.
   a. turgid
   b. in turgor
   c. plasmolyzed
   d. hypertoned
   e. hypotoned
3. The first step in the conversion of nitrogenous compounds into glucose involves the removal of an amino group, which is termed __________.
   a. the bends  
   b. indigestion  
   c. amination  
   d. nitrogen fixing  
   e. deamination

4. During deamination, the amino group is converted into __________.
   a. ammonia  
   b. urine  
   c. uric acid  
   d. urea  
   e. amide ions

5. Mammals combine ammonia with carbon dioxide, forming the less toxic nitrogenous compound __________.
   a. urea  
   b. uric acid  
   c. amide ions  
   d. feces  
   e. excrement

6. Birds and reptiles convert the ammonia into __________.
   a. urea  
   b. uric acid  
   c. amide ions  
   d. water  
   e. nitrogen

7. Certain freshwater animals remove salts from their tissues into the bloodstream, from which they are then passed from the body via the __________.
   a. kidneys  
   b. gills  
   c. nose  
   d. tear ducts  
   e. any or all of the above

8. Some protozoans have a specialized excretory organelle known as the __________ that fills with liquid that is then carried to the cell membrane where the contents are released.
   a. flame cell  
   b. bladder  
   c. urethra  
   d. contractile vacuole  
   e. Malpighian tubule

9. Flatworms and tapeworms usually have a primitive, multicellular excretory system of __________.
   a. kidneys  
   b. Malpighian tubules  
   c. flame cells  
   d. nephridia  
   e. glomeruli

10. Each body segment of an annelid worm is usually equipped with a pair of specialized excretory organs known as __________.
11. Each functional unit in a kidney is called a __________.
   a. nephron               d. ureter
   b. flame cell            e. urethra
   c. bladder

12. Insect excretory systems involve organs known as __________.
   a. flame cells               d. kidneys
   b. nephrons                  e. ureters
   c. Malpighian tubules

**Answers**

1. a               4. a               7. e               10. b
2. c               5. a               8. d               11. a
3. e               6. b               9. c               12. c

**Questions to Think About**

1. Explain what it means for a cell to be isosmotic, hyperosmotic, and hypoosmotic with regard to the surrounding medium.

2. Why is ammonia converted into either urea or uric acid in most organisms?

3. What are the different methods animals have to cope with nitrogenous wastes?

4. Trace the movement of nitrogenous waste removal through a kidney from the renal artery to the ureter.
Hormones

The endocrine system consists of a series of tissues, glands, and cells found throughout the body that secrete certain chemicals. When active, these chemicals, called hormones, exert specific effects on specific cells and tissues. Some hormones have the potential to significantly affect other parts of an organism’s body.

Both plants and animals produce hormones. Those produced by plants emanate primarily from where most of the growth occurs, such as in the buds, seeds, new shoots, and at the root tips. The plant hormone-producing areas have other functions as well. In animals, the sole function of these hormone-producing tissues, or endocrine glands, is hormone production. In animals, hormones are distributed through the body via the circulatory system. In vascular plants, hormones are transported by the phloem from the site of synthesis to where they are used.

Plant Hormones

Plant hormones have been of considerable interest to researchers because of their many potential practical applications. For instance, when storing fruits, vegetables, and grains, it would be helpful to understand what makes them
remain dormant for long periods of time. And because some hormones stim-
ulate the rapid growth of specific plant parts, such as the seeds, or even spe-
cific parts of a seed, by manipulating plant hormones it is possible to increase
the value of a cereal crop considerably.

**Auxins**

The **auxins** represent one of the most widely understood groups of plant
hormones. They have been shown to be important in controlling cell elonga-
tion in plant stems, especially with regard to varying types of stimuli. For
instance, light reduces the auxin supply to the side of a plant it strikes. Since
the plant has more auxins on the shaded side, the cells grow faster there,
causing the stem to bend toward the light. This bending toward light is
known as the **phototropic response**.

Plant **tropisms** usually refer to the turning or bending of a plant part in
response to a particular stimulus, such as light, gravity, water, or other nutri-
ents, producing different growth patterns. One such tropism in which aux-
ins are implicated is **geotropism**, which has to do with the direction plant
parts grow in response to gravity. A negative geotropic response involves a
shoot growing away from the direction in which gravity pulls.

Shoot tips are not only sensitive to light, but they can also detect gravity.
When there is an unequal distribution of gravitational pull on all sides, they
increase the concentration of auxins on the lower side. This stimulates the cells
on the lower side to elongate faster than the cells on the upper side, which gets
the plant to grow up again. Roots, unlike shoots, have a positive geotropic
response. That is, they turn toward the pull of gravity. Root growth direction
is also affected by the concentration gradient of water and specific nutrients.

Auxins are also involved in the inhibition of lateral buds. Those auxins
produced in the terminal bud, the bud at the tip of the shoot, move down
the shoot and inhibit the development of the nearby buds, while also stimu-
lating the stem to elongate.

It has been demonstrated that the rapid growth of many types of fruit is
stimulated by auxins released from the pollen grains that fertilized the ovule
(egg), and that, as the seeds develop, they continue to produce more auxins.
Auxins are also involved in preventing leaves, flowers, and fruits from falling
off the plant. Then, when it is time, hormonal changes, such as those trig-
gered by shorter daylength, colder temperatures, or drier conditions, can
stimulate the growth of what are known as **abscission layers**, which result
in specific plant parts falling off.
In addition to being important in cell elongation and in forming abscission layers, auxins are also involved in cell division. In the early spring, when the auxins move down from the buds, they stimulate the cambium to divide, forming a new layer of xylem. Toward autumn, the buds produce less auxin until eventually the production of new buds, leaves, and xylem slows down or stops. Toward the end of winter, renewed auxin production stimulates the resumption of growth.

Gibberellins

The gibberellins, another group of plant hormones, probably function in conjunction with, rather than separately from, the auxins. Gibberellins have a dramatic effect on stem elongation, particularly on those plants that are normally known for their “dwarf” varieties. Unlike auxins, gibberellins do not produce the bending movements typical of the phototropic and geotrophic responses of shoots and roots. Gibberellins do not inhibit the growth of lateral buds, and they don’t prevent leaf abscission. While auxins stimulate the cambium to produce new xylem cells, gibberellins stimulate the cambium to produce new phloem cells. Gibberellins have also been implicated in ending seed dormancy, and they have been shown to induce some biennials, which normally take two years to flower, to flower during their first year of growth. Gibberellins also affect the time when plants flower, depending on both timing and duration of the dark periods of a day (see the section on photoperiodism below).

Cytokinins and Inhibitors

Both auxins and gibberellins have been shown to affect cell division, though they appear to work in conjunction with other substances more directly involved in this process. The cytokinins are the compounds known to promote cell division. Other compounds, known as inhibitors, are important in inhibiting or blocking cell division activity, thereby maintaining the dormancy of buds, seeds, and shoots.

One such hormone is abscisic acid, which has been shown to be involved in inducing abscission. Abscission is caused from the growth of thin-walled cells that result in the falling of a leaf or fruit from the plant. Abscisic acid has also been implicated in plant dormancy, stomatal closure, and growth inhibition.
**Ethylene**

Ethylene is a very volatile compound that has a number of different activities in plants. This plant hormone is involved in fruit ripening. It contributes to leaf abscission and to lateral bud inhibition. In addition, it has been shown that when some trees are attacked by herbivorous insects, ethylene is released, which may trigger nearby trees to manufacture chemicals that will protect them from the insects. Ethylene is also involved in the plant’s aging process.

**Photoperiodism**

The response by an organism to the duration and timing of light and dark is known as **photoperiodism**. Some plants respond to precise daylight periods. It has been found that rather than day length, it is the length of the night that is critical. But since this was discovered after the following terms were coined, they are still with us.

**Short-day plants** flower when the day length is below a certain critical value, generally resulting in a plant’s blooming either during the spring or the fall. **Long-day plants** bloom when the day length exceeds a specific critical value, which is usually during the summer. And **day-neutral plants** can bloom anytime and may respond to other cues besides the length of the daylight or darkness.

Depending on the particular species, a certain day length causes the leaves to manufacture the hormone **florigen**, which moves to the buds and causes flowering. When leaves are exposed to other specific photoperiods, they destroy florigen, and therefore the plants don’t flower. It is not precisely known how gibberellins affect flowering, but it appears to differ with species. In some, the effect of gibberellins is indirect. In others, the gibberellins seem to work in conjunction with florigen to induce flowering. It has been found that plants possess a sensitive pigment, **phytochrome**, which responds to the presence or absence of light by measuring the time lapse between the onset of darkness until the next exposure to light. Phytochrome is coupled with florigen synthesis.

**Animal Hormones**

Hormones are important to animals as well as plants. Among invertebrates and vertebrates, hormones are involved in the regulation of growth, devel-
opment, and homeostasis. Specific animal organs produce hormones that travel, usually via the blood, to other organs where they coordinate certain bodily functions. In animals, the release of hormones is usually triggered by nervous stimuli.

There are two basic groups of organs that secrete specific substances into the body. These are the **exocrine glands**, which secrete their products into ducts, which then carry the secretions to the body surface or into the body cavity. Digestive, mucous, sebaceous, and sweat glands are included in this category.

Glands in the other group secrete their products into the general area around the secretory cells, and from this area, the secretions pass into the blood capillaries. These endocrine glands are ductless. They include the adrenals, pancreas, pineal, parathyroids, ovaries, testes, thymus, and thyroid. It is the endocrine glands that produce hormones, which are a protein, an amine, or a steroid (see Figure 8.1).

![Figure 8.1. Location and general appearance of important hormone-secreting glands in humans.](image-url)
Regardless of the type of chemical, all hormones, whether protein, amine, or steroid, stimulate cellular changes in target cells, in a target organ, or in a group of organs. Or the hormone may affect the activities of all the cells in the body. See Table 8.1 for a list of human hormones and their functions.

**Digestion (Gastrointestinal Tract)**

Passing through the mucosal region of the pyloric sphincter, meat stimulates the release of gastrin, a hormone that stimulates the gastric glands to secrete gastric juice, which starts digesting the meat. Both the stomach and intestine produce gastrin. One is called stomach gastrin and the other, intestinal gastrin.

Fats stimulate the wall of the duodenum to release enterogastrone, a hormone that inhibits the secretion of gastric juice. When stimulated by acidic food coming from the stomach, the mucosal cells of the small intestine release the hormone secretin. Secretin stimulates the secretion of pancreatic juice. The small intestine, when stimulated by acids and fats, releases the hormone cholecystokinin (pancreozymin), which stimulates the gallbladder to release bile. Bile aids in fat digestion. It is produced by the liver, stored in the gallbladder, and released into the duodenum.

**Histamine**

Damaged tissues release histamine, which dilates, or relaxes, the muscles in the walls of blood vessels, thereby making them more permeable to their contents and enabling more white blood cells and antibodies to move into the damaged area to fight infection.

People with certain allergies, such as hay fever, may develop a reaction that causes the nasal mucosa to release histamine. This dilates the nasal blood vessels so that fluids escape from both the blood vessels and the mucosal glands, thus causing a runny nose. This is why such people take antihistamines.

**Pancreas**

The pancreas aids digestion by producing pancreatic digestive enzymes. In addition, the pancreas contains islet cells, or islets of Langerhans, which produce the hormone insulin. This hormone reduces the concen-
<table>
<thead>
<tr>
<th>Source</th>
<th>Hormone</th>
<th>Functions</th>
<th>Deficiency</th>
<th>Excess</th>
</tr>
</thead>
<tbody>
<tr>
<td>Thyroid gland</td>
<td>Thryoxine</td>
<td>Stimulates metabolism: regulates general growth and development</td>
<td>Cretinism</td>
<td>Graves' disease</td>
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<td></td>
<td>Calcitonin</td>
<td>Lowers blood calcium</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Parathyroid</td>
<td>Parathormone</td>
<td>Increases blood calcium; decreases blood phosphate</td>
<td>Muscle spasms</td>
<td>Calcium deposits</td>
</tr>
<tr>
<td>Pancreas</td>
<td>Insulin</td>
<td>Lowers blood glucose</td>
<td>Diabetes</td>
<td>Hypoglycemia</td>
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<td></td>
<td>Glucagon</td>
<td>Increases blood glucose</td>
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<tr>
<td>Adrenal medulla</td>
<td>Epinephrine (Adrenalin)</td>
<td>Increases metabolism in emergencies</td>
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<td></td>
<td>Norepinephrine (Noradrenalin)</td>
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<tr>
<td>cortex</td>
<td>Glucocorticoids and related hormones</td>
<td>Controls carbohydrate, protein, mineral, salt, and water metabolism</td>
<td>Addison's disease</td>
<td>Cushing's syndrome</td>
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<tr>
<td>Pituitary</td>
<td>Thyroid stimulating hormone</td>
<td>Stimulates thyroid gland function</td>
<td></td>
<td></td>
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<tr>
<td>anterior</td>
<td>Adrenocorticotropic hormone (ACTH)</td>
<td>Stimulates adrenal cortex</td>
<td>Hypoglycemia</td>
<td>Cushing's syndrome</td>
</tr>
<tr>
<td></td>
<td>Growth hormone</td>
<td>Increases body growth</td>
<td>Dwarfism</td>
<td>Gigantism, acromegaly</td>
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<tr>
<td></td>
<td>Gonadotrophic hormones</td>
<td>Stimulates gonads</td>
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<td></td>
<td>Prolactin</td>
<td>Milk secretion</td>
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<tr>
<td></td>
<td>Vasopressin (ADH)</td>
<td>Water retention by kidneys</td>
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<td></td>
<td>Oxytocin</td>
<td>Milk production</td>
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<td>Testis</td>
<td>Testosterone (Androgens)</td>
<td>Secondary sex characteristics, sperm production</td>
<td>Sterility</td>
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<tr>
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<td>Progesterone</td>
<td>Secondary sex characteristics</td>
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<td></td>
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<td>Prepares uterus for pregnancy</td>
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<td>Ovary</td>
<td>Estrogens</td>
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<tr>
<td></td>
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<tr>
<td>Hypothalamus</td>
<td>Hypothalamic releasing and inhibiting hormones</td>
<td>Releases of hormones from anterior pituitary gland</td>
<td></td>
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<tr>
<td>Kidney</td>
<td>Renin</td>
<td>Vasoconstriction</td>
<td></td>
<td>Increases blood pressure</td>
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<tr>
<td></td>
<td>Erythropoietin</td>
<td>Production of red blood cells in bone marrow</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gut wall</td>
<td>Digestive hormones</td>
<td>Digestion of food</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Thymus gland</td>
<td>Thymosin</td>
<td>Maturation of lymphocyte white blood cells</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
tration of glucose in the blood. Too much insulin in one’s system, from the rare condition of an overactive pancreas, can produce insulin shock, during which the blood sugar level falls so low that a person may become unconscious and die.

More common is the insulin deficiency, known as diabetes, that results in the inability of the liver and muscles to control the conversion of glucose into glycogen. Sometimes the liver produces too much glucose from glycogen, depleting all its resources and making the body use its proteins and fats. Diabetes often leads to chronic problems affecting many aspects of one’s well-being.

The pancreas also secretes glucagon. This has the opposite effect of insulin, causing the amount of glucose in the blood to increase.

**Adrenals and Kidneys**

At the anterior (top) end of each kidney is an adrenal gland (sometimes called a suprarenal gland), which is composed of the outer adrenal cortex and the inner adrenal medulla. The cortex produces over 50 different hormones, not all of which are active. All are steroids, as are the hormones produced by the gonads (ovaries and testes). The cortical hormones, those produced by the adrenal cortex, are grouped according to their function. One group, the glucocorticoids, contains hormones that regulate carbohydrate and protein metabolism. Another group, the mineralocorticoids, regulates salt and water balance. A third group, the gonadocorticoids, consists of certain male and female sex hormones, the estrogens and androgens.

The adrenal medulla secretes adrenalin (epinephrine), as well as noradrenalin (norepinephrine). Adrenalin decreases insulin secretion, and it also stimulates pulse and blood pressure. In addition, it stimulates the conversion of glycogen into glucose (in the liver), which is then released into the blood. Adrenalin also increases oxygen consumption and the flow of blood to the skeletal muscles (those that move the body), while decreasing the blood flow to the smooth muscles (those involved in digestion). Many of these reactions, which cumulatively are often referred to as the fight-or-flight response, occur when the body is subjected to pain, fear, anger, or other stress. Noradrenalin has similar effects in that it helps to mobilize the body during times of stress.

The kidneys secrete the protein renin, which reacts with a blood protein to form the hormone hypertensin (also called angiotonin). Hypertensin
stimulates the constriction of small blood vessels, increasing blood pressure. This seems to be a response that kidneys use to compensate for reduced blood flow due to blocked arteries. The higher blood pressure can overcome such temporary blockages, allowing the kidneys to filter the necessary amount of blood. Kidneys also secrete erythropoietin, a hormone that stimulates red blood cell production.

**Thyroid**

In humans, the thyroid gland, located just below the larynx, around the front and sides of the trachea, produces the hormone thyroxin, an amino acid altered with four iodine atoms. Thyroxin’s primary function involves the regulation of metabolic activity by increasing the rate at which carbohydrates are burned. Also, it stimulates cells to break down proteins for their energy rather than using them to build new tissues.

Iodine is necessary for proper thyroid function; an insufficient amount in the diet produces a condition known as hypothyroidism, resulting in a decrease in energy. Children with this condition can have developmental problems. Hypothyroidism can be treated with more iodine in the diet, or with thyroxin.

**Hyperthyroidism** is due to a thyroid that produces too much hormone, resulting in an increased metabolic rate, higher than normal body temperature, high blood pressure, and weight loss. Elevated thyroid activity can be inhibited with the prescribed treatment of recently discovered drugs.

The thyroid gland releases two other hormones. Triiodothyronin is similar to thyroxin, except that it is much stronger. Thyrocalcitonin (sometimes called calcitonin) is quite different from the previous two hormones, both structurally and functionally; it helps control the blood calcium level.

**Parathyroids**

On the thyroid’s surface are four small pealike organs known as the parathyroids, which are functionally distinct from the thyroid. They produce the hormone parathormone, which regulates the calcium-phosphate balance between the blood and other tissues. A calcium deficiency caused by hypoparathyroidism results in nervous twitches, spasms, and convulsions.
Hyperparathyroidism leads to the demineralization of bone tissue, rendering the bones highly susceptible to fracture.

Thymus

Located in the upper chest and lower neck, the thymus gland is composed of tightly packed lymphocytes. These white blood cells are held in place by fibrous tissue. The thymus is most active from infancy to puberty, after which it atrophies, only to enlarge again in old age. The gland produces thymosin, a hormone that stimulates plasma cells in the spleen, lymph nodes, and other lymphoid tissues to function immunologically. Two of the main types of lymphocytes, the B cells and T cells, are produced in the bone marrow and then migrate to lymphoid tissues. Those that end up in the thymus gland become thymus-dependent lymphocytes, or T cells. It may be the thymosin that affects these cells, enabling them to destroy antigens (foreign microbes and substances).

Pituitary

In the brain is the small gland known as the pituitary, also called the hypophysis, which consists of two lobes: the anterior lobe and the posterior lobe. Both are attached via a stalk, the infundibulum, to the hypothalamus, which is located just above the pituitary. (See Figure 8.2.) The anterior pituitary, also called the adenohypophysis, produces many hormones. Most control the activities of other endocrine glands (see Figure 8.2).
Figure 8.3. Of these hormones, prolactin stimulates female mammary glands to produce milk. **Growth hormone** (somatotrophic hormone, STH) is important in regulating growth. **Melanocyte-stimulating hormone** (MSH) triggers pigment molecule dispersion in the pigment-containing cells, often called melanophores in some lower vertebrates such as fish, frogs, and lizards. MSH increases skin pigmentation by stimulating the dispersion
of melanin granules in mammal melanocytes; mammals, however, lack melanophores.

**Thyrotropin**, also called **thyrotropic hormone**, stimulates the thyroid. **Adrenocorticotropic hormone** (also known as adenocorticotropic hormone, adrenocorticotropic hormone, and adenocorticotropic hormone) (ACTH) stimulates the adrenal cortex. **Follicle-stimulating hormone** (FSH) and **luteinizing hormone** (LH) act on the gonads. In females, FSH initiates the development of an ovum each month. In males, FSH stimulates the testes to produce more sperm. In females, LH stimulates the ovary to release the developed ovum. It also stimulates the **corpus luteum** in the ovary to secrete **progesterone**, which prepares the uterus for receiving the embryo.

The posterior lobe, or **neurohypophysis**, contains neuron fibers that connect with the hypothalamus. The cell bodies of these neurons produce two hormones: **oxytocin** and **antidiuretic hormone** (ADH). Oxytocin stimulates the contraction of the uterus as well as the contractile cells around the ducts in the mammary glands. Antidiuretic hormone, also called **vasopressin**, stimulates the kidneys to absorb more water and return it to the blood, thereby decreasing the urine volume. Alcohol inhibits the secretion of ADH, increasing urine output.

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**Hypothalamus**

The hypothalamus receives nervous impulses from the body’s sense organs and then responds by secreting **releasing factors** into the blood. The releasing factors, which are hormonelike substances, stimulate the anterior pituitary to secrete specific hormones.

The onset of puberty, the sequence of events that transforms a child into a young adult, begins when the hypothalamus secretes **follicle-stimulating hormone-releasing factor**, which signals the anterior pituitary to secrete follicle-stimulating hormone and **interstitial cell-stimulating hormone** (ICSH), both of which are hormones that stimulate the **gonads** (testes and ovaries). Hormones released by the gonads are referred to as **gonadotropic hormones**. (See Figure 8.3.)

In the female, the menstrual cycle and ovarian cycle are controlled by the follicle-stimulating hormone—releasing factor (FSHRF) and the **luteinizing hormone—releasing factor** (LHRF). Follicle-stimulating hormone–releasing factor stimulates the anterior pituitary to release FSH, which stimulates follicular development and **estradiol** secretion by the follicles.

Luteinizing hormone–releasing factor stimulates the anterior pituitary to release LH, which stimulates the development of ovarian follicles, leading to
ovulation. In addition, it stimulates the production of the female growth and maturation hormones, estrogens and progesterone.

**Ovaries**

In the **ovaries** are jackets of cells surrounding the potential egg cells. These are known as follicles. They release estrogens, which are involved in the development and maintenance of the uterus and breasts. Estrogens are also involved in fat distribution and deposition, voice pitch, broadening of the pelvis, and hair growth.

The corpus luteum, which is formed from the follicle after ovulation, secretes both estrogens and progesterone, which prepare the **endometrium**, the internal layer of the uterus, for implantation of an embryo, and it helps prepare the breasts for milk production.

On average, from the first period, or **menses (menarche)**, to **menopause**, the termination of menstrual cycles, the **menstrual cycle** lasts 28 days. The menstrual cycle can be divided into the menstrual phase (menses), the preovulatory phase, ovulation, and the postovulatory phase. The main events that occur in the ovary and uterus with regard to the above hormones are illustrated in Figure 4.10 on page 82.

During birth, estrogen stimulates the contractions of the uterine muscles, and progesterone inhibits these muscular contractions. Oxytocin is thought to be involved in uterine contractions. Another hormone, **relaxin**, which is secreted by the ovaries and placenta during pregnancy, helps loosen some of the connections between the pelvic bones, making them more flexible to enlarge the birth canal when the baby is being born. This occurs to a lesser extent in humans than in many other mammal species.

**Testes**

Follicle-stimulating hormone stimulates the seminiferous tubules to begin spermatogenesis. Interstitial cell-stimulating hormone assists the seminiferous tubules to develop mature sperm and also stimulates the interstitial cells in the **testes** to secrete **testosterone**.

Just prior to birth, testosterone stimulates the descent of the testes into the scrotum. Testosterone also controls development, growth, and maintenance of the male sex organs. At puberty, testosterone stimulates the secondary male sex characteristics such as the development of more muscle, more body hair, and deepening of the voice. The **anabolic steroids** used by many
athletes to increase muscle mass are artificially manufactured forms of testosterone. One of their possible side effects may be male sterility.

KEY TERMS

abscisic acid
abscission layers
adenohypophysis
adrenal cortex
adrenal gland
adrenal medulla
adrenalin
adrenocorticotropic hormone
anabolic steroids
anterior lobe
antidiuretic hormone
antihistamines
auxins
bile
cholecystokinin
corpus luteum
cortical hormones
cytokinins
day-neutral plants
diabetes
diabetic
endocrine glands
endocrine system
endometrium
enterogastrone
erythropoietin
estrogen
ethylene
exocrine glands
florigen
follicle-stimulating hormone
follicle-stimulating hormone–releasing factor
gastric glands
gastric juice
gastrin
geotropism
gibberellins
glucagon
glucocorticoids
gonadocorticoids
gonadotrophic hormones
gonads
growth hormone
histamine
hormones
hyperparathyroidism
hypertensin
hyperthyroidism
hypoparathyroidism
hypophysis
hypothalamus
hypothyroidism
infundibulum
inhibitors
insulin
insulin shock
interstitial cell-stimulating hormone
islet cells
islets of Langerhans
long-day plants
luteinizing hormone
luteinizing hormone–releasing factor
melanocyte-stimulating hormone
menarche
menopause
menses
menstrual cycle
mineralocorticoids
neurohypophysis
noradrenalin
norepinephrine
ovaries
oxytocin
pancreas
pancreatic juice
pancreozymin
parathormone
parathyroids
photoperiodism
phototropic response

Phytochrome
pituitary
posterior lobe
progesterone
prolactin
relaxin
releasing factors
renin
secretin
short-day plants
steroids
testes
testosterone
thymosin
thymus gland
thyrocalcitonin
thyroid gland
thyrotropic hormone
thyrotropin
thyroxin
triiodothyronin
tropisms
vasopressin

SELF-TEST

Multiple-Choice Questions

*Introduction, Plant Hormones, Auxins, Gibberellins, Cytokinins*

1. Chemicals that exert specific effects on target tissues are called

   a. hormones
   b. auxins
   c. gibberellins
   d. cytokinins
   e. all of the above
2. The following group of plant hormones, __________, has been shown to be important in controlling cell elongation in plant stems, as well as in roots, and is also involved in the phototropic and geotropic responses.
   a. cytokinins
d. ethylenes
b. gibberellins
e. all of the above
c. auxins

3. A plant __________ is the term usually used to describe the turning or bending of a plant part in response to a particular stimulus.
   a. tropism
d. all of the above
b. gibberellin
e. none of the above
c. auxin

4. The following group of plant hormones, __________ has a dramatic effect on stem elongation, particularly on plants that are normally “dwarf” varieties.
   a. gibberellins
d. all of the above
b. auxins
e. none of the above
c. cytokinins

5. While both the auxins and gibberellins have been shown to be involved in stimulating cell division, apparently they work in conjunction with other substances more directly involved in this process. The group of compounds that promote cell division is called __________.
   a. inhibitors
d. cytokinins
b. ethylenes
e. all of the above
c. phytochromes

Animal Hormones, Digestion (Gastrointestinal Tract), Histamine

6. The following are examples of endocrine glands:
   a. adrenals, pancreas, pineal, mucous
d. sweat, pancreas, pineal, ovaries, thymus
   b. parathyroids, ovaries, testes, sweat
e. parathyroids, testes, thymus, thyroid, adrenals
   c. thymus, thyroid, adrenals, testes, mucous

7. Endocrine glands produce hormones that are __________.
   a. proteins
d. proteins and amines
   b. steroids
e. proteins, steroids, and amines
c. amines

8. Certain hormones can stimulate a __________.
9. The stomach and intestine produce stomach ________ and intestinal ________, hormones carried by the blood to the ________ glands, which then secrete ________.
   a. enterogastrone, secretin, cholecystokinin, pancreatic digestive enzymes
   b. gastrin, enterogastrone, cholecystokinin, pancreatic digestive enzymes
   c. islet cells, insulin, glucose
   d. a and b
   e. all of the above

10. Damaged tissues release ________, which dilates the muscles in the walls of the blood vessels, making them more permeable to their contents, enabling more white blood cells and antibodies to move into the damaged area where they can fight infection.
   a. histamine
   b. antihistamine
   c. insulin
   d. glucagon
   e. cortisone

Pancreas, Adrenals and Kidneys, Thyroid

11. The pancreas functions not only in aiding digestion by producing pancreatic digestive enzymes but also contains ________ that produce the hormone ________, which reduces the concentration of ________ in the blood.
   a. islet cells, insulin, glucose
   b. islets of Langerhans, insulin, glucose
   c. adrenal glands, norepinephrine, glycogen
   d. a and b
   e. all of the above

12. An insulin deficiency, known as ________, results in the inability of the liver and muscles to properly control the conversion of glucose to glycogen.
   a. diabetes
   b. cretinism
   c. hypothyroidism
   d. hypertensin
   e. hyperthyroidism
13. The pancreas releases ________, which has the opposite effect of ________, causing the amount of blood glucose to increase.
   a. insulin, glycogen           d. glucagon, insulin
   b. insulin, glucagon          e. none of the above
   c. glycogen, insulin

14. On top of each kidney is an ________.
   a. adrenal gland               d. suprarenal gland
   b. adrenal cortex              e. all of the above
   c. adrenal medulla

15. Each adrenal gland is composed of ________ and ________.
   a. an outer adrenal cortex, an inner adrenal medulla
   b. an outer adrenal medulla, an inner adrenal cortex
   c. a thyroid gland, a parathyroid gland
   d. an anterior pituitary gland, a posterior pituitary gland
   e. none of the above

16. ________ hormones regulate carbohydrate and protein metabolism, salt and water balance, and some sexually related functions as well.
   a. cortical                      d. gastrointestinal
   b. pancreatic                   e. digestive
   c. thyroid

17. The adrenal medulla secretes ________.
   a. adrenalin                      d. norepinephrine
   b. epinephrine                   e. all of the above
   c. noradrenaline

18. The kidneys secrete the protein renin that reacts with a blood protein, forming the hormone ________, which stimulates the contraction of small blood vessels, increasing blood pressure.
   a. hypertensin                   d. a and b
   b. angiotonin                    e. all of the above
   c. erythropoietin

19. An amino acid that has been altered by the addition of four iodine atoms and is the primary hormone produced by the thyroid gland is ________.
20. Iodine is necessary for proper thyroid function. With insufficient amounts in the diet, the condition ________ may develop, resulting in a decrease in energy.
   a. hyperthyroidism                        d. iodinemia
   b. hypothyroidism                       e. parathyroidism
   c. diabetes

21. Parathormone is the hormone produced by the parathyroids that regulates the ________ balance between the blood and other tissues.
   a. potassium-phosphate               d. sodium-phosphate
   b. calcium-sodium                e. calcium-phosphate
   c. potassium-sodium

22. Hyperparathyroidism leads to ________.
   a. a deficiency of calcium            d. convulsions
   b. nervous twitches                   e. the demineralization of bone tissue
   c. spasms

23. Hypoparathyroidism is or results in ________.
   a. a deficiency of calcium            d. convulsions
   b. nervous twitches                   e. all of the above
   c. spasms

24. The thymus is composed of ________.
   a. tightly packed lymphocytes            c. T cells
   b. lymphocytes held in place by fibrous tissue   d. all of the above
   e. none of the above

25. The hormone that stimulates female mammary glands to produce milk is ________.
   a. adrenocorticotropic hormone       c. progesterone
   b. luteinizing hormone               d. prolactin
   e. follicle-stimulating hormone
26. The corpus luteum in the ovary secretes ___________.
   a. adrenocorticotropic hormone
   b. progesterone
   c. antidiuretic hormone
   d. oxytocin
   e. vasopressin

27. The following hormone stimulates the kidneys to absorb more water, returning it to the bloodstream, thereby decreasing the urine volume:
   a. antidiuretic hormone
   b. progesterone
   c. oxytocin

28. Contraction of the uterus is stimulated by ___________.
   a. antidiuretic hormone
   b. vasopressin
   c. oxytocin

29. The hypothalamus receives nervous impulses from the body's sense organs and then responds by secreting hormonelike substances, known as ___________, into the blood.
   a. anticoagulants
   b. blood thinners
   c. ammonium ions

Ovaries, Testes

30. The menstrual cycle and ovarian cycle are controlled by follicle-stimulating hormone–releasing factor and luteinizing hormone–releasing factor, both of which originate in the ___________.
   a. anterior pituitary
   b. posterior pituitary
   c. infundibulum
   d. hypophysis
   e. hypothalamus

31. Along with estrogens, ___________ prepares the endometrium (the internal layer of the uterus) for implantation of an embryo, and it helps prepare the breasts for milk secretion.
   a. progesterone
   b. follicle-stimulating hormone–releasing factors
   c. luteinizing hormone–releasing factor
   d. vasopressin
   e. oxytocin

32. ___________ are involved in the development and maintenance of female reproductive structures such as the uterus and breasts, as well as being involved in fat distribution and deposition, voice pitch, broadening of the pelvis, and hair growth.
33. _________ stimulates the seminiferous tubules to begin spermato-
genesis.
   a. follicle-stimulating hormone  
   b. testosterone  
   c. relaxin  
   d. estrogen  
   e. luteinizing hormone

34. Just prior to birth, _________ stimulates the descent of the testes into the scrotum.
   a. follicle-stimulating hormone  
   b. testosterone  
   c. relaxin  
   d. estrogen  
   e. luteinizing hormone

Answers

1. e  
2. c  
3. a  
4. a  
5. d  
6. e  
7. e  
8. e  
9. e  
10. a  
11. d  
12. a  
13. d  
14. e  
15. a  
16. a  
17. e  
18. d  
19. c  
20. b  
21. e  
22. e  
23. e  
24. d  
25. d  
26. b  
27. a  
28. c  
29. c  
30. e  
31. a  
32. a  
33. a  
34. b

Questions to Think About

1. What are hormones, and how are they delivered from where they are produced to their target area?

2. What is the difference between endocrine and exocrine glands?

3. What are two groups of plant hormones, and how do they affect plants?

4. What is a plant's photoperiod?

5. Describe how hormones affect diabetes.

6. Compare the similarities and differences of male and female sex hormones.
The Brain and the Nervous System

The Brain

Evolutionarily, the vast majority of organisms above the level of the primitive invertebrate phyla have evolved sense organs in the anterior portion (front end) of their bodies that respond to the high concentration of incoming information there. The most anterior ganglion, or enlarged, organized, integrative mass of nervous tissue, is called the brain. It is the brain that is responsible for processing most of the incoming information.

In many species of invertebrates, the brain is not much larger than the other ganglia located along the rest of the longitudinal nerve cords (see Figure 9.1). The brain of an invertebrate usually has considerably less dominance over the rest of the nervous system, and therefore the body, than is true for a vertebrate brain in the same size category. The brain in most lower vertebrates is not capable of significantly more complex tasks than most invertebrate brains. But the early vertebrate brains reflect evolutionary trends that led to many of the brain developments that have helped distinguish the vertebrates from other groups of organisms.

In the higher invertebrates as well as in the vertebrates, the brain functions in coordination with, or in place of, the many localized, segmented ganglia that are usually little more than a stimulus-and-response apparatus. This large
accumulation of nervous tissue receives and transmits sufficiently large amounts of data to give it considerable control over the rest of the organism. The brain also makes it possible for many of these organisms to learn.

**Membranes Covering the Brain**

The brain is protected by a system of membranes, the meninges. Outside the meninges is the hard, bony covering protecting the head, called the skull. The cranium is the part of the skull covering the brain. One of the three layers of meninges, the dura mater, lies just under the skull; it is tough and fibrous. Just under the dura mater is the meninge that resembles a cobweb, the arachnoid. And just over the brain is the pia mater, which is tightly molded around it. Between the pia mater and the arachnoid is cerebrospinal fluid, which acts as a protective cushion, protecting the brain from mechanical injury. Cerebrospinal fluid also fills the central canal that penetrates the entire length of the spinal cord and extends all the way into the brain, where it forms a series of cerebrospinal fluid-filled compartments, known as ventricles.

**The Vertebrate Brain and Its Evolution**

Even the most primitive vertebrate brain has three principal divisions; each is also found in the advanced vertebrate brain. The most anterior of these three divisions is called the forebrain. It is composed of the olfactory...
lobes, cerebrum, thalamus, hypothalamus, and pituitary. The midbrain connects the forebrain with the hindbrain. It is composed largely of the optic lobes. The hindbrain consists of the cerebellum and the medulla oblongata. These parts of the brain are described below, and they are illustrated both on page 153 (Figure 8.3) and on page 168 (Figure 9.2).

**Forebrain**

The forebrain includes two olfactory lobes (or bulbs) that are always associated with the sense of smell. The posterior part of the forebrain consists of three other main structures. The thalamus is the major sensory integrative area in the forebrain of lower vertebrates. In higher vertebrates, it also integrates some of this information, but these functions have largely, through evolutionary history, become relegated to the cerebrum. The cerebrum, a major part of the forebrain, is the main center for controlling sensory and motor responses, as well as memory, speech, and most factors associated with intelligence. Underneath the cerebrum, near the thalamus, is the hypothalamus, which controls visceral functions such as blood pressure, body temperature, hostility, hunger, pain, pleasure, reproductive behavior, thirst, and water balance.

**Midbrain**

During evolutionary history, in several of the more advanced lineages, the forebrain increased in relative size and importance. Accordingly, the midbrain decreased in relative size and importance (see Figure 9.2). The most important parts of the midbrain are the specialized areas known as the optic lobes. These are the visual centers connected to the eyes by the optic nerves.

**Hindbrain**

The anterior portion of the hindbrain became enlarged and specialized as the cerebellum, which controls balance, equilibrium, and muscular coordination. The ventral portion of the hindbrain, the medulla oblongata, became increasingly specialized as the center of control for such visceral functions as heartbeat and breathing. This is the part of the brain that connects the nerve tracts from the spinal cord to the rest of the brain.

For the most part, gray matter consists of cell bodies and synapses. In
Figure 9.2. The brains of five vertebrates (not drawn to scale) illustrate varying sizes of different parts.
fish, gray matter is primarily involved in relaying information from the olfactory lobes to the brain. The synapses connecting these neurons act as little more than relays, moving about the neuronal impulses without much integration. Amphibians have more gray matter, indicating that their cerebrum functions less as a simple conduit and more as an integrator of incoming information.

Concomitant with this expansion of internal gray matter, the gray matter moved from the inside part of the brain to the surface, where it is called the cerebral cortex. In amphibians and many reptiles, this surface layer of the brain is involved in smell. In some cases, its role expanded to the point where it may have become involved in the control of emotions. Certain advanced reptiles developed an additional component to the cortex, the neocortex, which expanded in primitive mammals into a covering over most of the forebrain. The neocortex of more advanced mammals increased in size and became folded, or convoluted, which increased its surface area. As this occurred, the ancestral (olfactory) part of the cortex was relegated to a more internal position in the forebrain.

Both birds and mammals evolved from reptiles, but birds evolved from reptiles without a primitive neocortex. The result has been that modern birds do not have the large, convoluted cerebral cortex found in higher mammals. Since it was another part of the bird cerebrum that grew in size, it is thought that this distinctly different origin of most of the modern cortex of birds accounts for the differences in their behavior. Birds rely more on innate responses. To a greater extent, mammals appear to develop much of their behavior depending on their experiences. However, when dealing with behavior, there is considerable overlap between and among different groups of organisms.

Originally the midbrain was important as a coordinating center. Later the thalamus area of the forebrain took over much of this function. Eventually the neocortex of higher mammals preempted much of the midbrain and thalamus control, relegating the midbrain to little more than a link between the forebrain and the hindbrain. The midbrain of modern advanced vertebrates does have some control over several reflexes and minor eye functions, and there is a degree of interaction with emotional responses.

Even though researchers have made great progress in understanding which parts of the brain are involved with specific functions, we still have very little understanding of how the brain accomplishes each of these functions. For instance, although we might know which region of the brain contains certain memories, we cannot yet say with any degree of certainty how memories are made, nor can we say what they are made of or, for that matter, how they are retrieved and integrated.
The Nervous System

Neurons are among the most excitable cells in the body. As a group, they respond to a wide range of electrical, chemical, thermal, or mechanical stimuli, transmitting messages to one another, to muscles, and to endocrine organs (hormone-secreting glands). Together, all the neurons and their supporting cells (glial cells) compose the central nervous system.

Neurons do not exist in sponges (phylum Porifera) or more primitive organisms. The first neurons appear among the coelenterates (phylum Cnidaria or Coelenterata), which include jellyfish, hydra, and anemones. Of all living organisms, coelenterates have the simplest nervous arrangement, with only two types of nerve cells: receptor-conductor cells (those with receptor sites at the tips of the sensory nerve endings that respond to the stimuli and pass it on through the long part of the neuron, called the axon) and effector cells (those that contract when the stimulus reaches them). They have none of the alternative types that allow for the increased flexibility of response typical of higher organisms.

As the neurons in a nervous system increase in number, so does the complexity of behavioral responses an animal can have. Since one neuron communicates with nearby neurons, which in turn communicate with other neurons, the total number of possible neuron connections increases exponentially as the total number of neurons increases.

A roundworm (phylum Nematoda) is an organism that moves very little. It has only about 160 neurons. The leech (phylum Annelida), slightly more mobile, has about 13,000 nerve cells. An octopus (phylum Cephalopoda), which has considerable control over its movements and behavior, has over 1 billion neurons. And humans (phylum Chordata) have more than 10 billion neurons.

Evolution of the Nervous System

Nerve Net

Although many groups of lower invertebrates lack a nervous system, cnidarians do possess a simple nervous system termed a nerve net. A connected network of neurons without any apparent central control, the nerve net depends on the strength of the original stimulus to transmit a generalized reaction throughout the other neurons. The result may be contraction of
other cells that later relax, leading to varying responses that enable simple organisms to maintain rather complex life histories.

**Directed Movement**

The cnidarians such as jellyfish, sea anemones, and coral represent a successful group of organisms, but radial symmetry, where the organism has similar parts radiating in a regular pattern from the center, turned out to be an evolutionary dead end. The flatworms (phylum Platyhelminthes), however, represent a significant advance in neural organization. Flatworms have a distinct top and bottom, front and back, head and tail. Rather than being sessile (attached to the substrate) or drifting about at random, flatworms control the direction of their movement; they have directed movement.

Among those species groups with directed movement, natural selection has favored the clustering of neurons in the anterior region, where the incoming information may be processed before being passed on to other neurons. Such neuronal clusters are known as ganglia (singular: ganglion).

The evolutionary trend toward the construction of animals with a body axis and directed movement (and away from the basically spherical) led to the neuronal development of the anterior region. This directed and lateral arrangement is termed bilateral symmetry. The anterior region of such organisms is the head. The large ganglion in the head that maintains considerable control over much of the entire nervous system, and therefore over much of the body, is often referred to as the brain. Such organisms are said to have a centralized nervous system.

**Neurons**

Neurons are the only cells that transmit signals or nervous impulses; glial cells appear to provide nutrition to the neurons. Neurons are usually only a few micrometers in diameter, and most are quite small, though some extend from the spinal cord to the fingertips. Depending on the type of neuron, the long part is usually called the axon and the thicker part is the cell body, which contains the cell’s nucleus. Dendrites are the short, branching projections extending from the cell body. They conduct nervous impulses toward the cell body. Axons usually conduct impulses away from the cell body, although they can also carry impulses toward it. In this case, however, there is no effect on an effector organ or cell. Bundles of neurons are called nerves.
Cnidarian nerve fibers are more primitive than the generalized neurons described above; they are not differentiated into dendrites and axons. The impulses are conducted in either direction, moving at random throughout the nerve net.

Neuronal responses include the ability to add up many incoming signals and integrate the information. Together, this is accomplished with three basic types of neurons. **Sensory neurons** carry information about environmental change to such integration centers as the brain or spinal cord. **Interneurons** (or **association neurons**) are the major components of the integration centers. They relay messages from one neuron to another. Most neurons in complex animals fall into this category. **Motor neurons** carry the impulses away from the integration centers to muscles or glands (see Figure 9.3).

Associated with the neurons are different types of glial cells. Together, all the glial cells are known as the **neuroglia**, which account for at least half of the nervous system’s volume. Some axons are encircled by one type of glial cell, the **Schwann cell**, which provides nutrition to the neuron (see Figure 9.4). Some Schwann cells’ plasma membranes envelop certain axons and nerves. Such membranes are called **myelin sheathing**. This resembles fatty insulation and seems to be related to increasing the rate at which the nervous impulses are conducted along the axons. The **nodes of Ranvier** occur at intervals along such axons. These are constricted junctions where one Schwann cell ends and the next begins.

In the brain and spinal cord, much of the nervous tissue consisting of myelinated axons is called **white matter** because the myelinated axons are

![Figure 9.3](image-url) **Figure 9.3.** Sensory, association, and motor neurons and their relationships.
The Brain and the Nervous System

Figure 9.4. A nerve cell and associated Schwann cells with microstructural details.

whitish in appearance. Much of the brain’s nervous tissue, lacking a fatty sheath surrounding the axons, is called gray matter.

Nervous Impulse

Both the endocrine system (hormone-secreting glands and their products) and the nervous system control many of the body’s activities by regulating and integrating much of what an organism does throughout its life. One of the major functional differences between the nervous system and the endocrine system is the speed with which the nervous system reacts. A nervous impulse can travel through an entire organism in a fraction of a second, while hormones (which move through the blood) elicit a slower response.
The speed at which a nervous impulse can travel through myelinated nerves is about 200 km/sec. Nervous impulses traveling through nonmyelinated nerves travel about half as fast (100 km/sec).

Changes in the physical or chemical environment (i.e., due to motion, sound, light, heat, or chemicals) can be converted into nervous impulses. The environmental change is known as the stimulus, and the neuronal response is the neural impulse. When part of the nervous system receives a neural impulse, it may respond by sending another impulse to the appropriate effectors. Many effectors are muscles, which respond by contracting. However, there are many other types of effectors, such as photoreceptor cells or glandular cells. In addition, the nervous impulse may reach another neuron, which triggers it to the next neuron, and so on, although such an impulse may eventually dissipate to the point that it can no longer elicit a response from an effector.

A neural impulse is triggered by a change in the neuron’s electrical charge, which is the result of rapid movement of certain ions. Like most other living cells, neurons have an asymmetric distribution of ions across their plasma membranes. The interior of a resting neuron (one that is not transmitting an impulse) contains more negatively charged ions than the outside of the cell, where there are more positively charged ions. This uneven distribution of electrical energy, which might be described as an electrical potential difference across the membrane, is usually referred to as membrane potential and is critical to the neuron’s ability to transmit an impulse along its entire length.

A resting neuron has a net negative charge inside the axon, primarily from negative chloride ions (Cl\(^{-}\)), and a higher concentration of positively charged sodium ions (Na\(^{+}\)) outside the neuron. Inside the neuron, along with the negative chloride ions, are positively charged potassium ions (K\(^{+}\)). Upon a neuronal stimulus of sufficient strength, a response, or a nervous impulse, is initiated. The intensity of stimulus required to activate this kind of response is called threshold.

At the site where the neuron is stimulated, the membrane initially becomes more permeable to sodium ions, which then rush across the membrane to the inside of the cell, momentarily producing a slightly more positive charge inside the cell relative to the outside. The membrane also becomes a little more positively charged relative to the outside of the cell. This change in membrane potential is called depolarization.

After the neuron becomes more permeable to sodium ions, it becomes more permeable to potassium ions. So in the fraction of a second following the influx of sodium ions, potassium ions rush out of the cell. This exit of positively charged ions restores the charge inside the cell as well as that of the
membrane to its initial negative charge. Once depolarization has been initiated at one end of a neuron, it passes down the entire length of the neuron. This sequence of events is known as a nervous impulse.

This wave of depolarization, also called an action potential, rapidly passes down the entire length of the axon. This is why an action potential is termed “all or nothing.” Then, immediately following the inrush of sodium ions and the outflow of potassium ions, the sodium ions are pumped back out of the nerve cell and the potassium ions are pumped back in (against their concentration gradient, so energy is required to fuel the active transport). The pump that restores the original ionic balance is the sodium-potassium pump. It does this after a very brief refractory period, when the neuron can’t conduct a neural impulse (see Figures 9.5 and 9.6).

Upon reaching the end of the neuron, which is called an axon ending or axon terminal, the action potential stimulates the release of chemicals known as neurotransmitters, which travel across the synapse (a short gap) to the next neuron (see Figure 9.7). If the neurotransmitters fit into the receptor sites (see Figure 9.7), these chemicals trigger a new depolarization, and then another action potential travels along the next neuron too (see Figure 9.8).

## Synapse and Neurotransmitters

The term “synapse” is used to describe both the junction between a neuron and another neuron and that between a neuron and the cell it acts upon. The gaps between the cells at the synapse are about 20 nanometers wide (a nanometer is a unit of length equal to one billionth of a meter). There are synapses between the ending of an axon and a dendrite, between an axon and a cell body, and sometimes between two axons. Most neurons synapse (connect; synapse can also be used as a verb) with a number of neurons, although many also synapse with other cells such as muscles and glands. The axons produce neurotransmitters, which cross the synapse and are picked up at receptor sites on the dendrites of an adjoining neuron.

The difference between inhibition or stimulation depends on the amount and type of neurotransmitter as well as on the type of receptor site. Over 10 different neurotransmitters have been identified to date; these include acetylcholine, dopamine, glutamate, gamma-aminobutyric acid, histamine, nitric oxide, noradrenalin, and serotonin. Each affects the response of a neuron. Normally, neurotransmitters are rapidly broken down enzymatically following release. But tranquilizers, caffeine, nerve gas, many insecticides, and curare (the chemical used in poison arrows in South America) can interfere with neurotransmitters—by stimulating or retarding neurotransmit-
Figure 9.5. Initiation and transmission of a nerve impulse as illustrated along a small section of a neuron: (a) resting nerve fiber; (b) impulse begins with depolarization of cell membrane as sodium ions (Na$^+$) move into the cell and potassium ions (K$^+$) move out; (c) the wave of depolarization moves along the nerve fibers; (d) after the impulse passes, the membrane repolarizes by pumping out the sodium ions.
Figure 9.6. This graph illustrates the change in a nerve fiber’s membrane potential when measured at one location along the neuron during a nerve impulse. The movement of sodium ions into the cell depolarizes it, and the movement of potassium ions out of the cell repolarizes it.

Figure 9.7. A synapse, the junction between two neurons. Neurotransmitters are released from synaptic vesicles and picked up by receptor sites.
ter production, by binding to a receptor site, or by affecting the enzymes that normally destroy the neurotransmitters.

**Reflex Arcs**

A reflex arc is usually based on a small group of neurons where the entire neural impulse totally circumvents the brain. The most simple reflex arc contains a sensory neuron, an association neuron, and a motor neuron that synapses with an effector cell. Each reflex arc contains one sensory neuron, sometimes extremely long, such as those running from a large mammal’s foot to its spinal cord. The cell bodies of these sensory neurons are always located in ganglia (dorsal-root ganglia) just outside the spinal cord (see Figure 9.9). The axons enter the spinal cord dorsally, where they connect (synapse) with several association neurons in the gray matter of the spinal cord. Then they synapse with a motor neuron (also in the gray matter of the spinal cord) and exit the spinal cord ventrally. Figure 9.9 uses a cross section of a spinal cord to illustrate the path of a reflex arc.
Some of the association neurons directly synapse with motor neurons that run back to where the sensation originated. The advantage of a reflex arc is speed. The signal is sent straight back, causing an immediate response, such as a knee-jerk reaction, an eye blink, or the formation of a tear drop. Reflex arcs are not the result of any conscious control. However, it is possible for some association neurons to synapse with other association neurons that pass up the spinal cord to the brain, where the information is relayed to other centers. There it may then be possible to consciously inhibit part of the reflex or add to it.

Still other reflexes may be far more complex than the simple knee-jerk reaction. Two such examples are breathing and the control of one’s heartbeat. Though largely involuntary, it is possible to exert a considerable amount of conscious control over these behaviors. All the nerves connecting with the spinal cord contain sensory and motor neurons and are called mixed nerves. Not all the nerves intercept with the spinal cord. Humans have 12 pairs of nerves that directly connect with the brain. These are known as the cranial nerves; some cranial nerves contain just sensory or just motor neurons, and others are mixed.

### Organization of the Nervous System

The brain and spinal cord compose the central nervous system. The somatic nervous system conducts nervous impulses that have already been processed away from the central nervous system to the skeletal muscle tissue.
Figure 9.10. Autonomic nerves innervate smooth muscles found in organs and glands. Most organs receive innervation from sympathetic and parasympathetic portions of the nervous system.
The somatic nervous system is under voluntary control. All the parts of the nervous system, excluding the brain and spinal cord, are collectively known as the **peripheral nervous system**.

The **autonomic nervous system** consists of the nerves that carry nervous impulses from the central nervous system to the heart (cardiac muscles), to the muscles in the digestive system (smooth muscles), and to the glands (see Figure 9.10). All of these muscles and glands contract and function involuntarily. The autonomic nervous system is subdivided into two parts, the **sympathetic** and **parasympathetic systems**. These function in opposition to one another; the first inhibits organs, while the latter usually excites organs.

**KEY TERMS**

- action potential
- arachnoid
- association neurons
- autonomic nervous system
- axon
- bilateral symmetry
- brain
- cell body
- central nervous system
- cerebellum
- cerebral cortex
- cerebrospinal fluid
- cerebrum
- cranial nerves
- cranium
- dendrites
- depolarization
- directed movement
- dorsal-root ganglia
- dura mater
- effector cells
- forebrain
- ganglia
- glial cells
- gray matter
- hindbrain
- hypothalamus
- interneurons
- medulla oblongata
- membrane potential
- meninges
- midbrain
- motor neurons
- myelin sheathing
- neocortex
- nerve net
- nerves
- nervous impulse
- neural impulse
- neuroglia
- neurons
- neurotransmitters
Multiple-Choice Questions

The Brain and its Membranes

1. The brain is protected by a membranous system known as the __________.
   a. ventricles
   b. olfactory sheaths
   c. oblongata
   d. meninges
   e. medullas

2. The __________ is the part of the skull that covers only the brain.
   a. dura mater
   b. arachnoid
   c. pia mater
   d. cerebrospinal fluid
   e. cranium

3. The spinal cord has a central canal that extends into the brain, becoming a series of hollow compartments called __________, which are filled with cerebrospinal fluid.
   a. ventricles
   b. dura mater
   c. pia mater
   d. meninges
   e. thalamus
4. The first of the meninges that lies just under the skull, and is tough and fibrous, is the ___________.
   a. dura mater  
   b. pia mater  
   c. ventricle  
   d. thalamus  
   e. gray matter

5. Between the pia mater and the arachnoid is the ___________ that bathes the entire region, providing a cushion to protect the brain from mechanical injury.
   a. albumin  
   b. ovalbumin  
   c. lymph  
   d. cerebrospinal fluid  
   e. protoplasm

6. The part of the brain that consists of the olfactory bulbs, cerebrum, thalamus, hypothalamus, and pituitary is the ___________.
   a. forebrain  
   b. midbrain  
   c. hindbrain  
   d. medulla oblongata  
   e. cerebellum

7. The cerebellum and the medulla oblongata are part of the ___________.
   a. forebrain  
   b. midbrain  
   c. hindbrain  
   d. thalamus  
   e. hypothalamus

8. The ___________ is (are) the major sensory integrative area in the forebrain of lower vertebrates.
   a. olfactory bulbs  
   b. medulla oblongata  
   c. cerebellum  
   d. thalamus  
   e. hypothalamus

9. The ___________ control(s) visceral functions such as blood pressure, body temperature, hostility, hunger, pain, pleasure, reproductive behavior, thirst, and water balance.
   a. thalamus  
   b. hypothalamus  
   c. medulla oblongata  
   d. olfactory bulbs  
   e. cerebrum

10. The ___________ control(s) balance, equilibrium, and muscular coordination.
    a. thalamus  
    b. hypothalamus  
    c. gray matter  
    d. olfactory bulbs  
    e. cerebellum
11. The part of the brain that consists of cell bodies and synapses is known as
   the ___________.
   a. optic nerves  
   b. optic lobes  
   c. olfactory bulbs  

12. Together, all the neurons and their supporting cells comprise the
   ___________.
   a. glial cells  
   b. myelin sheathing  
   c. nerve net  

13. Among members of the phylum Cnidaria, the connected network of neu-
   rons that creates the nervous system lacking central control is known as a
   ___________.
   a. central nervous system  
   b. ganglion  
   c. brain  

14. Flatworms have a top and bottom, a front and back, a head and tail; this
   type of body construction is known as ___________.
   a. radial symmetry  
   b. lateral symmetry  
   c. bilateral symmetry  

15. All groups of animals above the evolutionary level of sponges have a
   ___________.
   a. radially symmetrical arrangement  
   b. bilaterally symmetrical arrangement  
   c. nerve net  
   d. tentacle  
   e. nervous system  

16. Receptor cells ___________ stimuli.
   a. receive  
   b. conduct  
   c. speed up  
   d. slow down  
   e. circulate  

17. Conductor cells are specialized for ___________ stimuli.
   a. conducting  
   b. stopping  
   c. slowing down  
   d. speeding up  
   e. associating
18. Effector cells are usually _________ or _________.
   a. muscle, bone  
   b. bone, gland  
   c. gland, muscle  
   d. none of the above  
   e. all of the above

19. As the entire nervous system became more complex in terms of its increased flexibility of response, there was a trend toward _________.
   a. specialization  
   b. cephalization  
   c. minimization  
   d. maximization  
   e. a and b

20. The part of a neuron that contains the cell's nucleus is the _________.
   a. axon  
   b. dendrite  
   c. cell body  
   d. glial cell  
   e. myelin sheath

21. _________ are usually short, branching projections extending from the cell body.
   a. perikaryons  
   b. dendrites  
   c. cell bodies  
   d. Schwann cells  
   e. axons

22. In terms of total volume, all the glial cells account for about _________ of the nervous system.
   a. 10 percent  
   b. 25 percent  
   c. 50 percent  
   d. 75 percent  
   e. 100 percent

23. All of the _________ are known as the neuroglia.
   a. glial cells  
   b. neurons  
   c. axons  
   d. cell bodies  
   e. dendrites

24. Some of the axons are encircled by _________ that provide nutrition and perhaps other forms of support.
   a. Schwann cells  
   b. cell bodies  
   c. perikaryons  
   d. nerves  
   e. nodes of Ranvier

25. The _________ resemble(s) a coiled, fatty insulation around certain axons.
   a. myelin sheath  
   b. nodes of Ranvier  
   c. ganglia  
   d. sensory neurons  
   e. association neurons
26. Myelinated axons are _________ in appearance.
   a. greenish  
   b. reddish  
   c. bluish  
   d. grayish  
   e. whitish

27. The junction between two or more neurons is called a _________.
   a. neural impulse  
   b. neurotransmitter  
   c. synapse  
   d. dorsal-root ganglion  
   e. nervous junction

28. The movement of the electrical impulse across the synapse requires specific chemicals known as _________.
   a. neural impulses  
   b. neurotransmitters  
   c. synapse jumpers  
   d. dorsal-root ganglia  
   e. nervous junction chemicals

29. Bundles of individual axons are called _________.
   a. synapses  
   b. sensory neurons  
   c. nerves  
   d. gray matter  
   e. association neurons

30. Each reflex arc contains one sensory neuron that has its cell body located just outside the spinal cord in the _________.
   a. motor neuron  
   b. association neuron  
   c. spinal cord  
   d. dorsal-root ganglion  
   e. gray matter

31. All the parts of the nervous system, excluding the brain and spinal cord, are collectively known as the _________.
   a. afferent system  
   b. efferent system  
   c. peripheral nervous system  
   d. somatic nervous system  
   e. autonomic nervous system

32. The ________ consists of nerves that carry nervous impulses from the central nervous system to the smooth muscles, the heart muscle, and to the glands.
   a. afferent system  
   b. efferent system  
   c. peripheral nervous system  
   d. somatic nervous system  
   e. autonomic nervous system

33. The parasympathetic system usually _________ an organ.
   a. inhibits  
   b. excites  
   c. carries lymph to  
   d. carries lymph from  
   e. a and b
34. The sympathetic system usually __________ the particular organ.
   a. inhibits            d. carries lymph from
   b. excites            e. a and b
   c. carries lymph to

**Answers**

1. d  
2. e  
3. a  
4. a  
5. d  
6. a  
7. c  
8. d  
9. b  
10. e  
11. e  
12. d  
13. e  
14. c  
15. e  
16. a  
17. a  
18. c  
19. e  
20. c  
21. b  
22. c  
23. a  
24. a  
25. a  
26. e  
27. c  
28. b  
29. c  
30. d  
31. c  
32. e  
33. a  
34. b

**Questions to Think About**

1. What protective layers envelop the vertebrate brain?
2. How are vertebrate brains similar in basic construction?
3. What do the terms “forebrain,” “midbrain,” and “hindbrain” refer to?
4. What is the difference between gray and white matter?
5. What are three basic types of neurons?
6. Define myelin sheathing and explain its function.
7. What is the all-or-nothing principle?
8. Shortly after being released, what normally happens to neurotransmitters?
9. How does a nervous impulse pass down a neuron?
Bones and Muscles

Bones

Muscles and bones work together. The bones make up the skeletal system, which provides structural support, sites for muscle attachment, and organ protection. Osseous tissue, or bone, as it is more often called, consists of cells and collagen fibers interspersed in a matrix of intercellular material containing calcium phosphate and calcium carbonate, which are responsible for hardness. Together, these substances account for two-thirds of the weight of bones, while the collagen fibers, which reinforce the tissue, account for the other third.

In addition to bone, another important connective tissue in most skeletal systems is cartilage, which, unlike bone, is both firm and flexible. Bone is usually considerably harder and more brittle. Most sharks and rays have skeletal systems composed of all cartilage and no bone. Some other “primitive” groups of fish have less bone than cartilage in their skeletal systems. In most other vertebrates, however, cartilage is located only where firmness and flexibility are needed, such as in joints, nose, ears, larynx, and trachea. During the development of the skeletal system of these vertebrates, embryos begin with cartilaginous skeletons. Gradually most of the cartilage is replaced by true bone.
Depending on the construction of the particular bony tissue, it can range in consistency from being completely spongy to being very compact. The spongy bone contains many spaces filled with marrow (which is either composed of fat or involved in the production of blood cells). In the case of relatively lighter animals such as birds, the spaces may be filled with air sacs. Compact bony tissue is thicker and usually involved in support. Such bones can resist considerable weight and stress.

Compact bones are penetrated by blood vessels and nerves through small narrow openings, some of which are known as Haversian canals, whose microscopic structure is identified by the characteristic concentric rings of bony tissue surrounding them. These rings are composed of cells that were involved in producing the bony tissue. Spongy bone doesn’t contain Haversian systems, nor does cartilage. Materials are exchanged through the blood vessels and bone cells that penetrate the Haversian canals. This is the only way for materials to move to and from the cells living throughout bony tissue.

The main bones in the human body, from head to toe, are as follows. The fused bones creating the cranium compose the skull; the lower teeth are located in the mandible, or jaw. The collar bone is the clavicle. The “wings” in the upper back are called scapulas. The bone connecting all the ribs in the middle of the chest is the sternum. The ribs are connected in the back to the vertebral column (backbone), which is composed of vertebrae. The vertebrae in the neck are called cervical vertebrae; thoracic vertebrae articulate with the ribs; lumbar vertebrae descend from the thoracic vertebrae to the pelvis; and together, the fused bones in the pelvis compose the sacrum. The tail is composed of caudal vertebrae. In humans, the “tail” is called the coccyx (see Figure 10.1).

The bone in the upper arm is the humerus, and the two bones in each lower arm are the radius and ulna. The wrist bones are called carpals. At the base of the fingers, located within the part of the hand known as the palm, are the metacarpals, and the smaller bones extending out to the fingertip are the phalanges.

The largest bones in the body are the femurs, the thigh bones that connect the upper leg with the pelvis. The distal end of each femur is attached to the lower leg. The upper and lower legs meet at the knee covering that is the kneecap, or patella. Each lower leg has two long bones, the tibia and fibula. The little bones in the ankle area are the tarsals; then come the metatarsals. The rest of the bones extending to the toe’s tips are, like the fingers, called phalanges (see Figure 10.2). Note similarities between the human and pigeon skeleton (Figure 10.3).

Some bones are held together with fused, immovable joints, such as the
sutures located between several skull bones. Other joints are movable and are held together with ligaments, the flexible tissues that connect bones and cartilage. Similarly, tendons are flexible tissues connecting muscles to bones. The end of a muscle attached to the bone nearest to the axis of the body (proximal) is known as the origin. The muscle end attached to the farther bone (distal), such as in the hand or foot, is known as the insertion. In Fig-

Figure 10.1. The human spinal column consists of 33 bones. Within the spinal column is the spinal cord, branching from which are 33 pairs of spinal nerves that emerge from between the bones.
Figure 10.4, for example, the biceps originates on both the humerus and the scapula, and it inserts on the radius.

The movements of different parts of the body all depend on muscular contraction, on the location of the origins and insertions, and on the type of joint involved. Muscles usually work antagonistically. That is, when one group of muscles contracts, it will pull part of the body one way. Alternately,
when the antagonistic group of muscles contracts, it will pull the same body part in the other direction. The biceps and triceps in Figure 10.4, for example, form an antagonistic muscle pair.

**Muscles**

Muscles are composed of **muscle cells**, which look like long, thin fibers (often 3 centimeters long). They are also called **muscle fibers** and **myofibers**. Three types of muscles have been recognized in vertebrates. These are **smooth**, or **visceral, muscle**; **skeletal muscle**; and **cardiac**, or **heart, muscle**. Smooth muscles line internal organs such as the intestines and bladder. They also line the walls of the arteries and veins and many ducts and tubes found throughout the body. For the most part, the smooth mus-
cles contract involuntarily (they are innervated by the autonomic nervous system). Together, these muscle fibers form thin broad sheets.

Responding to conscious control, the skeletal muscles are commonly called the voluntary muscles (they are innervated by the somatic nervous system). Skeletal muscles move the arms and legs, back, face, jaw, and eyes, as well as many other parts of the body. Skeletal muscle cells (fibers) are coenocytic (contain many nuclei) and, when viewed microscopically, are crossed by many thin dark lines, which is why they are called striated muscles. Together, many skeletal muscle fibers form bundles, which are wrapped in connective tissue to form muscles.

Like skeletal muscle cells, cardiac muscle fibers have striations. They are also multinucleate, but they are innervated by the autonomic nervous system. The heart has a pacemaker, which spontaneously begins each heartbeat. This specialized area located in the wall of the right atrium (see Figure 12.4, page 225) is called the sinoatrial node. Once the heart begins to contract, the impulse spreads to the node lying near the atrium between both ventricles, which is called the atrioventricular node; once stimulated, it initiates the ventricular contraction.

Unlike vertebrate muscle, all insect muscles are striated, even those lining the internal organs. Many other invertebrates, however, have both smooth and striated muscle, and some have only smooth muscle. One of the key differences between each of these muscle types is that striated muscle contracts very rapidly but, unlike smooth muscle, cannot be held in the contracted position for very long.

Smooth muscle cells are connected to (thus innervated by) two nerve fibers. When one fiber is stimulated, the muscle cell contracts, and, when the other is stimulated, the muscle cell relaxes. Sometimes, as is the case with involuntary muscle contraction, such as that in the throat when swallowing, the contractions constituting the wave of peristalsis may occur without direct nervous stimulation.

**Muscle Contraction**

Like nerve cells, muscle cells contract either entirely or not at all. When a muscle receives a nervous stimulus, the actual response, or how strongly the muscle contracts and how much work it can do when contracting, depends on the number of muscle cells stimulated. That, in turn, depends on the strength of the initial stimulus. Not all of the individual muscle fibers are alike; some respond to stronger stimuli than others. By increasing the stimulus, more muscle fibers contract until all contract, and that is the maximal
stimulus. After reaching a maximal stimulus, any increase in stimulus will not elicit a stronger muscular contraction.

When a muscle is stimulated, a certain base level of electricity is necessary to produce a simple twitch, and, after this contraction, it takes a brief interval for the muscle to contract and then relax before it can contract again. The time taken to contract is the contraction period, and the time taken to relax before the muscle can contract again is the relaxation period. The amount of time from when the initial stimulus is administered until the contraction begins is called the latent period. Together, the latent, contraction, and relaxation periods constitute a single simple muscle twitch.

If a muscle is not allowed to relax completely before being stimulated again, the next contraction stimulated by the same electrical input elicits a stronger response. If one continually stimulates the muscle, eliciting stronger and stronger contractions until the maximum contraction is reached, the period of increased contractions is called summation, and the leveling off to one sustained contraction is called tetanus. Afterward the muscle fatigues. Figure 10.5 shows a kymograph, which records the intensity of muscle contractions over time.

The energy required for muscle contraction is fueled by adenosine triphosphate (ATP), which is stored in the muscles until needed. ATP is a triple-phosphorylated organic compound that functions as “energy currency” in most organisms. The oxygen found in the muscles is stored in myoglobin, a compound quite similar to hemoglobin. The harder muscles have to work, the more oxygen they consume. Without enough available oxygen, working muscles continue to contract, deriving energy through a different biochemical pathway. This alternative, known as anaerobic respiration, causes a lactic acid buildup, via fermentation, which can be poisonous. Anaerobic respiration causes what is termed an oxygen debt, which means that after strenuous muscular activity, one breathes very deeply to acquire the needed oxygen to convert this potentially dangerous lactic acid to glycogen, which is a useful carbohydrate. (The concepts mentioned in this paragraph are explained in chapter 5.)

**Sliding-Filament Theory**

The contractile elements that make up most of the muscles’ bulk consist of two proteins, actin and myosin. Alone, neither protein will contract, but together, they form an actomyosin complex that, in the presence of ATP, will contract. An individual muscle fiber is composed of many long thin myofibrils, each of which looks like a long ribbon with alternating light
and dark bands. The wide light bands are the **I-bands**; they are composed of actin. In the middle of each I-band is a dark line called the **Z-line**. The broad dark bands, the **A-bands**, are composed of myosin. Each has a lighter **H-zone** through the middle. The unit from one Z-line to the next, along a single myofibril, is called a **sarcomere** (see Figure 10.6).

When the muscle contracts, the actin and myosin slide together with the light and dark areas overlapping. When the muscles relax, the proteins slide apart again (see Figure 10.7).

The contraction of a muscle fiber depends on the depolarization of a polarized, resting cell. A nerve carries an electrical signal that stimulates a
Figure 10.6. The muscle elements described in the text are illustrated, with this breakdown of a muscle's organization.
transmitter at the neuromuscular junction. This stimulates a momentary reduction of polarization. The depolarized muscle cell admits an inflow of calcium ions ($\text{Ca}^{++}$). The repolarization phase depends on the outflow of potassium ions ($\text{K}^+$), and, at the same time, the calcium pump moves calcium ions back out of the cell. This wave of depolarization spreads across the nerve cell, stimulating the contractile elements to slide together.

**Exoskeleton**

So far only the **endoskeleton** has been discussed. That is the skeletal structure of animals with cartilage or bones inside the body. However, there are many organisms, far more than the total number of vertebrates (those organisms with an internal bony and/or cartilaginous skeleton), that have tough, hard external skeletons, or **exoskeletons**; some are even jointed.

Arthropods (including insects) have an exoskeleton, with the hard cover-
ing outside the body and all muscles and organs located internally. The hard outer covering is noncellular. Secreted by the epidermis (outer layer of skin), it prevents excessive water loss, acts as armor protecting the creature, and provides sites for muscle attachment that can withstand the pressure and weight of muscle contraction. These organisms have movable joints that bend when their antagonistic muscles are contracted.

**KEY TERMS**

- A-bands
- actin
- actomyosin complex
- anaerobic respiration
- atrioventricular node
- bones
- bundles
- calcium carbonate
- calcium ions
- calcium phosphate
- cardiac muscle
- carpals
- cartilage
- caudal vertebrae
- cervical vertebrae
- clavicle
- coccyx
- coenocytic
- collagen fibers
- contraction period
- cranium
- endoskeleton
- exoskeletons
- fatigues
- femurs
- fermentation
- fibula
- glycogen
- Haversian canals
- heart muscle
- humerus
- H-zone
- I-bands
- insertion
- knee
- kymograph
- lactic acid
- latent period
- ligaments
- lumbar vertebrae
- mandible
- marrow
- maximal stimulus
- metacarpals
- metatarsals
- muscle cell
- muscle fiber
- muscle twitch
- muscles
- myofiber
- myofibrils
- myoglobin
- myosin
- origin
- osseous tissue
- oxygen debt
- pacemaker
- patella
- pelvis
- peristalsis
- phalanges
- potassium ions
- radius
- relaxation period
- ribs
- sacrum
- sarcomere
- scapulas
- sinoatrial node
- skeletal muscle
- skeletal system
- skull
Multiple-Choice Questions

**Bones and Muscles**

1. Which of the following helps protect organs, provides sites for muscle attachment, and lends structural support?
   a. skeletal system  
   b. tendons  
   c. ligaments  
   d. heart muscle  
   e. bone marrow

2. In addition to bone, another kind of connective tissue comprising many skeletal systems, which is firm, though not as hard and brittle as bone, is ____________.
   a. calcium phosphate  
   b. calcium carbonate  
   c. cartilage  
   d. marrow  
   e. Haversian canals

3. Blood vessels and nerves penetrate compact bones through small narrow openings, some of which are known as ____________.
   a. ligaments  
   b. tendons  
   c. Haversian canals  
   d. tarsals  
   e. phalanges

4. Some bones are held together with fused joints, which are immovable, such as the ____________ located between several skull bones.
   a. tendons  
   b. ligaments  
   c. sutures  
   d. cartilage  
   e. ribs

**Muscle Contraction, Sliding-Filament Theory, and Exoskeleton**

5. Some individual muscle fibers respond to stronger stimuli than others, so the stimulus that makes all the muscle fibers contract is known as the ____________.
6. Sometimes, as is the case with involuntary muscle contraction, such as that in the throat when swallowing, the contractions comprising the wave of _________ may occur without direct nervous stimulation.
   a. sinoatrial contraction  
   b. maximal stimulus  
   c. peristalsis

7. The time a muscle takes to relax before the muscle can contract again is the _________.
   a. latent period  
   b. contraction period  
   c. fermentation period

8. The time a muscle takes to contract is the _________.
   a. contraction period  
   b. latent period  
   c. fermentation period

9. The instrument used to record the intensity of muscle contractions over time is a(n) _________.
   a. electrocardiogram  
   b. electroencephalogram  
   c. kymograph

10. Oxygen found in muscles is stored in _________, a compound quite similar to hemoglobin.
    a. plasma  
    b. white blood cells  
    c. kymoglobin

11. Without available oxygen, working muscles continue to contract, deriving energy through a biochemical pathway known as _________.
    a. anaerobic respiration  
    b. aerobic respiration  
    c. photosynthesis

12. Anaerobic respiration, via fermentation, causes _________.
    a. summation  
    b. latency  
    c. kymography
13. After strenuous muscular activity, one breathes very deeply to acquire the needed oxygen to convert the potentially dangerous lactic acid to
___________.
   a. actin   d. myofibrils
   b. myosin   e. glycogen
   c. actomyosin

14. The contractile elements that make up most of the muscles’ bulk consist of two proteins that alone will not contract but together form a(n) ________ that in the presence of ATP will contract.
   a. actin   d. myoglobin
   b. myosin   e. hemoglobin
   c. actomyosin complex

15. An individual muscle fiber is composed of many long _________.
   a. muscles   d. bones
   b. tendons   e. myofibrils
   c. ligaments

16. The unit from one Z-line to the next, along a single myofibril, is called a(n) _________.
   a. sarcomere   d. I-band
   b. A-band   e. actin
   c. H-zone

17. A depolarized muscle cell admits _________.
   a. calcium ions   d. sodium ions
   b. potassium ions   e. chloride ions
   c. magnesium ions

18. A tough or hard external skeleton is called a(n) _________.
   a. endoskeleton   d. chitin
   b. exoskeleton   e. cartilaginous skeleton
   c. arthropod

Answers
1. a  6. c  11. a  16. a
2. c  7. e  12. d  17. a
3. c  8. a  13. e  18. b
4. c  9. c  14. c
5. d  10. d  15. e
Questions to Think About

1. What substances are found in skeletal systems? Explain their function.
2. How do muscles work antagonistically?
3. The vertebral column is made of which types of vertebrae? Where are they located?
4. What are the three types of muscle found among vertebrates? Give an example of each.
5. What is the function of the pacemaker?
6. Describe muscle contraction and relaxation.
7. Explain the fundamentals of the sliding-filament theory.
Chemical reactions of all living cells occur in an aqueous environment, which explains why the materials within metabolically active cells are suspended or dissolved in water. In addition to the aqueous internal environment, the cells of many plants and animals are bathed in a nutritious extracellular environment, eliminating the need for specialized systems to move substances to and from these cells. The requirements of larger organisms, however, may differ considerably. Most large plants and animals have an internal transportation system that suits their particular life history. In general, the larger or more mobile the animal, the more complex and faster moving is the internal transport capability.

Both plants and animals have tubular transport systems for distribution of materials within their bodies. Most plants have two major pathways for internal transport: the phloem, which carries carbohydrates, and the xylem, which carries water and ions. Many animals have a system of arteries, veins, and lymphatic vessels, which carry blood and lymph, the protein-containing fluid that escapes from the blood capillaries. These transport systems are described in more detail below, after a discussion of some organisms that do without such special transport systems.
Organisms without Internal Transport Systems

The internal contents of many small, relatively simple organisms can be moved around without the complex of internal structures such as tubes, vessels, and special mechanisms observed in most higher organisms. Single-celled organisms such as bacteria and protists rely on diffusion as one of their major transport systems. It may or may not be fast, but, with such small distances involved, the random distribution resulting from diffusion of most microscopic substances throughout the internal space available seems to be an important, if not a primary, internal transport mechanism. Even in multicellular organisms, diffusion plays an important role at the cellular level.

While diffusion accounts for much of the movement of fluids and solutes within cells, intercellular diffusion accounts for movement between cells. This important mechanism helps move material from one cell to another in multicellular organisms. In plants, intercellular diffusion may be facilitated by plasmodesmata, the strands of protoplasm that penetrate cell walls and connect the cytoplasm of adjacent cells (see chapter 2).

Often, diffusion is a very slow process, one that is supplemented by other mechanisms. One process, known as cytoplasmic streaming, has been observed in many cells; the cytoplasm flows along what appears to be a definite route throughout the cell, moving substances many times faster than would otherwise be possible. Food vacuoles often move throughout a cell, distributing digested material to different parts of the cytoplasm.

Some plants, such as the bryophytes—which include the liverworts, hornworts, and mosses—lack vascular tissues, the efficient long-distance internal transport systems that are responsible for moving fluids throughout the bodies of higher plants. The absence of such tissues has probably limited the size attained by most of these plants for two reasons. First, when the vascular tissue xylem is present, it can also function as a major supportive tissue in vascular plants. Second, without an efficient internal transport system, the plants are unable to move materials rapidly around great distances, thus restricting the overall size.

There are other internal transport mechanisms possessed by multicellular organisms. These represent major alternatives to those found among the larger, more dominant plant and animal groups. Jellyfish, hydra, and planaria lack true circulatory systems, but they have other mechanisms that free them from relying completely on diffusion and intracellular transport. For instance, the gastrovascular cavity of the hydra penetrates each tentacle, enabling food particles to be absorbed by each tentacle cell. Planaria have branched gastrovascular cavities extending throughout their body; this system moves food particles to all their cells without the aid of a circulatory system.
Transport in Plants

The term “vascular,” when referring to specific tissues, has to do with those tissues concerned with tubular internal transport, such as the xylem and phloem in plants. The evolution of these tissues has enabled plants to develop greater heights, more specialized parts, and more highly integrated functions. It is widely believed that the successful exploitation of terrestrial environments by plants followed the evolution of these complex internal transport systems.

The vascular tissue is continuous throughout the plant, extending through the roots, stems, twigs, and leaves, as well as other parts of the organism. Here, for the sake of simplicity, the major components of this system will be considered individually.

Stems

The outermost tissue layer of the stem of herbaceous plants, those plants without woody parts, is called the epidermis. Inside this is the cortex, which sometimes is divided into two layers: the one just under the epidermis, called the collenchyma, and the innermost, the parenchyma. Just internal to the cortex is the vascular tissue.

Vascular tissue in some plants is arranged in bundles. In others it occurs as a continuous layer, like a cylinder, lying inside the cortex with the pith filling the innermost part of the stem. The pith is a storage area. Those plants with vascular tissue arranged in bundles tend to have no clear distinction between the cortex and the pith. Rather, the tissue throughout the interior part of the stem appears to be quite homogenous.

In other types of plants, the phloem lies outside the xylem, with a layer of tissue in between the two that is primarily involved with producing new cells through mitotic cell division. This middle layer is the lateral meristematic tissue, or cambium. After the initial growth phase of many plants, the cambium of herbaceous species ceases to produce more phloem and xylem cells. However, in other species, the cambium reactivates at the beginning of the next growing season and continues to produce more phloem cells on the outside and xylem cells on the inside. In some plants (e.g., trees), the old phloem can be seen flaking off. The bark includes all the tissues outside the vascular cambium. On the inside, the old xylem continually forms additional rings of tissue that gradually add to the stem’s or trunk’s diameter. These are the growth rings, which appear in cross section when a tree is sawed down. As years go by, more xylem gets laid down, more phloem con-
tinually flakes off, and the stem increases in thickness; eventually the bulk of the stem of the older plant is made primarily of xylem. This tissue is commonly called **wood**. Some plants also have a **cork cambium** located outside the cortex, which divides mitotically to create the most external tissue, known as **cork** (see Figure 11.1).

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**Conducting Tissues in Plants**

**Phloem**

As stated earlier, phloem carries carbohydrates, the products of photosynthesis, generally in the form of sucrose, from the leaves to the nonphotosynthetic parts of the plant. The conducting cells of the phloem are the **sieve elements**, which are joined together in vertical columns, creating the **sieve tubes**, each of which is joined at the end, at the **sieve plates**. Where the sieve elements meet, the sieve plates are perforated, facilitating the movement of intracellular contents from cell to cell.

Next to the sieve elements are companion cells that seem to be involved

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![Figure 11.1.](image)

Going from the inside to the outside of the stem, the tissues shown in this elderberry stem cross section are xylem, phloem, cortex, cork cambium, and cork. The vascular cambium consists of the first two layers of thin-walled cells on the phloem side of the xylem.
in maintaining the sieve elements. The companion cells are living when they mature, though they lose many of their organelles, including the nucleus, which disintegrates. For the sieve elements to remain functional, they have to retain an intact cell membrane, as well as their watery cytoplasm. The absence of most organelles in the phloem cells may promote the mass movement of water and solutes through the sieve tubes.

Most of the movement of the contents in the sieve tubes occurs from the regions where plant food is produced, to the sites of food storage or utilization, where the solutes and water move from the sieve tubes into the adjacent cells and into the xylem. In addition to carbohydrates, organic nitrogen compounds move through the phloem, being conducted not only downward but, depending on where the nutrients are needed, laterally and upward as well.

Xylem

Xylem is the plant tissue that carries water and ions from the roots to other parts of the plant. It is laid down along the inside of the cambium (lateral meristematic tissue). Unlike phloem, the xylem’s conducting cells, the tracheids and vessel elements are water-conducting cells with thickened cell walls. They die at maturity and become empty shells consisting of primary and secondary cell walls without a cell membrane, cytoplasm, nucleus, or any organelles. (The additional types of xylem cells are rarely mentioned in most introductory texts.)

Tracheids are long, narrow cells tapering at the ends where they come in contact and overlap with each other. The first tracheids to be laid down by the plant are characterized by ringlike or annular secondary cell wall thickenings and/or helical or spiral secondary cell wall thickenings that are capable of being stretched as the stems grow. In addition to having meristematic cells (capable of active cell division and differentiation into specialized tissues) that develop into phloem and xylem cells, the vascular cambium also has meristematic cells that develop into a system of cells oriented at a right angle to the stem’s axis. These structures, known as vascular rays, carry food from the sieve tubes radially into the cambium and xylem. And sometimes the rays store large quantities of carbohydrates.

Roots

Most roots are storage organs, holding minerals and carbohydrates for future use, but they also function as a holdfast, stabilizing the plant (see Figure 11.2).
And, of course, they have the vascular tissues, xylem and phloem. Much of the absorption of water and minerals takes place through the root hairs, which are thin outgrowths of epidermal cells. They lack the waxy cuticle that protects other thin structures, such as leaves. In many species, a symbiotic relationship exists between the plant’s root hairs and the filamentous elements of specific fungi, which form the mycelium. The product of such a close association is known as mycorrhizae.

**Root Pressure**

There seem to be several mechanisms that account for the upward movement of fluids and solutes from one cell to the next. Root pressure is responsible for some of the movement of water across the root tissues. It appears to be a function, in part, of simple diffusion along a concentration gradient. However, more seems to be involved. Some other pressure besides simple diffusion is present since the water in the xylem is more concentrated than that in the protoplasm of the endodermal tissue (the tissue surrounding the vascular cylinder). In other words, if simple diffusion alone were involved, the water probably wouldn’t just move into the xylem, but also out of it. In addition, the downward hydrostatic pressure exerted by the column of water standing in the xylem would be expected to force the water out of the vascular tissue and into the surrounding root tissues. Instead, as it actually happens, water goes in and up the xylem.

The force exerted by the roots disappears when the roots are killed or deprived of oxygen. It has been found that the respiratory production of ATP is necessary to provide the energy to create a solute gradient that is at least partially responsible for the movement of water through the root. In addition to the osmotic pressure and the use of semipermeable membranes, facilitated diffusion and active transport may also be involved.
Cohesion Theory

Another hypothesis, the **cohesion theory**, sometimes called the **transpiration theory**, states that water is pulled from above. According to this concept, water is lost through **transpiration**, the escape of water vapor from the aerial parts of the plant, and is replaced by the fluids in adjoining cells, creating an osmotic gradient that draws water from the xylem in the veins of the leaf to the adjacent tissues. The removal of water from the xylem for other uses, such as in photosynthesis, growth, or other metabolic processes, would also contribute to the draw, bringing more water up the xylem. This theory is strengthened by knowledge of the great cohesive forces that exist between individual water molecules.

This system is not, however, run simply by suction, which usually results from the removal of air, but by the removal of water, creating a pull that affects all the water below. Suction alone could not pull so much water to such heights.

The inside of the thin tubes may be made of a material to which water molecules adhere. This, in conjunction with the annular and the spiral secondary cell wall thickenings, probably accounts for part of the necessary architecture that allows water to rise, in some cases as high as hundreds of feet, defying most projections of what would otherwise be possible. In addition, the internal design may also reduce the water’s downward hydrostatic pressure.

Another factor is the considerable weight of the atmosphere resting on the ground. The atmosphere creates enough pressure to account for pushing water up the xylem some 32 feet (almost 10 meters). Together, atmospheric pressure, combined with the effects related to transpiration, the adhesive forces that prevent the water column from breaking under the pressure of gravity, capillary action that draws water up the thin tubes resulting from the adhesion of the water molecules to the inside of the xylem, the cohesion of the water molecules to each other, and the intricate design of the vessel’s interior seem to account for a good portion of the upward movement of water observed in the xylem. The cohesive strength of water has been experimentally shown to be equivalent to the pressure exerted by 25 to 30 atmospheres.

Movement of Inorganic Solutes

Through the xylem, such **inorganic ions** as calcium, phosphorus, and sulfur are transported up from the roots to the leaves. Although phosphorus ions
are capable of moving up through the xylem and down through the phloem, calcium ions are not nearly so mobile in the phloem and cannot be transferred from dying leaves to newer ones. Plants therefore have to obtain a steady supply of new calcium ions from the soil.

Different substances move through the sieve tubes at different speeds; sugar moves through some phloem 40,000 times faster than when diffusing through a liquid. The direction of movement through any given sieve tube reverses periodically, sometimes with the contents in neighboring sieve tubes moving in the opposite direction. Obviously, the transport through living phloem cells is unlike that through the dead xylem cells.

It has been suggested that materials are carried through the phloem cells by cytoplasmic streaming. Materials move from phloem cell to phloem cell through the sieve plates by diffusion; active transport may also be involved. Then they are carried to the other end of the cell by the cytoplasmic streaming, and then diffuse out again. Cytoplasmic streaming involves movement in both directions. This could explain the suggestion that some materials move in one direction, while others move in the opposite direction, through any given cell, though this has yet to be proven. Facilitated diffusion may also be involved.

Another hypothesis involves pressure flow of water and solutes through the sieve tubes along a turgor-pressure gradient. Known as the mass-flow hypothesis, it states that cells such as those in the leaves contain high concentrations of osmotically active substances such as sugar. So water diffuses into these and adjacent cells, raising the internal pressure, or turgor. This pressure forces substances from one cell to the next, pushing solutes farther up the plant. Since the sugars are being used up in the metabolically active areas, such as those that are actively growing, as well as in the cells that are sequestering the sugars in storage organs, osmotic concentrations are lowered in the nearby sieve tubes. Therefore, they lose water and turgor pressure. This results in a mass flow of the contents of the sieve tubes from the regions under high pressure. Such regions include leaves, where sugars are being made, and storage organs such as the roots, from which sugars exit during the spring when they are in transit to where they are needed. The contents then move to areas of low pressure, such as those regions where reserves are being rapidly consumed—where cells are growing or where materials are being put into storage. As presented, this system depends on the massive uptake of water at one end, because of high osmotic concentrations, and on the massive loss of water at the other end, because of the low osmotic concentrations that are due to the constant depletion of sugar and other solutes.
**Multiple-Choice Questions**

**Internal Transport Systems**

1. Most plants have the following major pathways for internal transport:
   a. arteries and veins
   b. arteries, veins, and lymphatic vessels
   c. xylem, phloem, arteries, and veins
   d. trachea, dorsal longitudinal vessels, xylem, and phloem
   e. xylem and phloem
2. Many animals have the following major pathways for internal transport:
   a. xylem and phloem
d   b. arteries, veins, xylem, and phloem
c. arteries, xylem, and phloem

d. arteries, veins, and lymphatic vessels
e. lymphatic vessels, xylem, and phloem

3. Single-celled organisms such as bacteria and protists rely on ______________ as their major transport system(s).
   a. diffusion
d   b. xylem and phloem
c. arteries and veins

   e. all of the above

4. In plants, intercellular diffusion may be facilitated by ____________.
   a. food vacuoles
d   b. microtubules
c. cytoplasmic streaming

   e. all of the above

5. The following often move throughout a cell, distributing digested material to different parts of the cytoplasm:
   a. endoplasmic reticulum
d   b. microtubules
c. food vacuoles

   e. all of the above

**Transport in Plants**

6. Some plants, such as the bryophytes, which include the liverworts, hornworts, and mosses, ____________.
   a. have vascular tissues
d   b. lack vascular tissues
c. have gastrovascular cavities

   e. have xylem and phloem

7. When referring to specific plant tissues, the following term concerns those involved with internal transport:
   a. cortex
d   b. collenchyma
c. parenchyma

   e. vascular

8. It is thought that the evolution of vascular tissue enabled plants to develop ____________.
   a. greater height
d   b. more specialized parts
c. more highly integrated functions

   e. all of the above
9. When plants have vascular tissue, it is usually found in the _________.
   a. roots
d. leaves
   b. stems
  c. twigs

10. The outermost tissue layer of an herbaceous plant's stem is called the _________.
    a. epidermis
d. parenchyma
    b. cortex
e. pith
    c. collenchyma

11. Directly inside the vascular cambium is the _________.
    a. cortex
d. collenchyma
    b. pith
e. parenchyma
    c. xylem

12. Just outside the cork cambium is the _________.
    a. cork
d. vascular tissue
    b. collenchyma
e. pith
    c. parenchyma

13. Those tissues concerned with tubular internal transport, such as xylem and phloem, are called _________.
    a. epidermis
d. pith
    b. collenchyma
e. vascular
    c. parenchyma

14. In between the phloem and the xylem lies the _________.
    a. lateral meristematic tissue
d. a and b
    b. vascular cambium
e. a, b, and c
    c. apical meristematic tissue

15. In some plants, especially trees, the old ________ can be seen flaking off, as the old bark.
    a. xylem and phloem
d. a and b
    b. phloem, cortex, and cork
e. all of the above
    c. xylem

16. Next to the sieve elements are ________ that seem to be involved in maintaining the sieve elements.
    a. sieve tubes
d. all of the above
    b. sieve plates
e. none of the above
    c. companion cells
17. ________ carries carbohydrates, usually in the form of sucrose, from the leaves to the nonphotosynthetic parts of the plant.
   a. xylem  
   b. phloem  
   c. arteries  
   d. veins

18. ________ is the plant tissue that carries water and ions from the roots to other parts of the plant.
   a. xylem  
   b. phloem  
   c. sieve tube  
   d. sieve plate

19. ________ is the vascular tissue laid down on the inside of the cambium (lateral meristematic tissue).
   a. xylem  
   b. phloem  
   c. sieve tube  
   d. sieve plate

20. Unlike the phloem, the xylem’s conducting cells, the ________ and ________, die at maturity and become empty shells consisting of primary and secondary cell walls, without a cell membrane, cytoplasm, nucleus, or any organelles.
   a. sieve tubes, sieve elements  
   b. sieve plates, sieve tubes  
   c. companion cells, sieve elements  
   d. vessel elements, sieve tubes

21. ________ are long, narrow cells with tapering ends that overlap where they come in contact with each other. The first to be laid down are characterized by annular and/or spiral secondary cell walls that are involved in moving fluids up through the xylem tissue.
   a. tracheids  
   b. vessel elements  
   c. companion cells  
   d. sieve tubes

22. ________ that grow later in the plant’s life have numerous pits which allow water and dissolved substances to move from cell to cell.
   a. tracheids  
   b. vessel elements  
   c. companion cells

23. ________ are more specialized than tracheids, from which they probably evolved, and are found primarily in the more advanced, flowering plants.
24. In the xylem are clusters of parenchyma cells forming __________, that instead of running laterally up and down the plant, as do the other internal transport cells, run radially, and facilitate lateral movement of materials.
   a. tracheids
   b. vessels cells
   c. companion cells

25. Much of the absorption of water and minerals takes place through the __________, which are thin outgrowths of epidermal cells.
   a. vascular rays
   b. tracheids
   c. companion cells

26. When the roots are killed or are deprived of oxygen, the force that seems to be pushing fluids up, known as __________, is no longer present.
   a. root pressure
   b. cohesion pressure
   c. transpiration pressure

27. The __________ theory states that water is pulled from above.
   a. cohesion
   b. root
   c. transpiration

28. According to the cohesion theory, water is lost through __________, and it is replaced by the fluids in adjoining cells, creating an osmotic gradient that draws water from the xylem in the veins of the leaf to the adjacent tissues.
   a. cohesion
   b. transpiration
   c. insulation

29. __________ can only account for pushing water up xylem about 32 feet.
   a. cohesion pressure
   b. transpiration pressure
   c. endodermal pressure
### Answers

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### Questions to Think About

1. Describe the conducting tissues of plants.

2. What are the theories concerning how materials are conducted throughout plants?

3. What is the function of the roots, and how do they work?
Like most plants and invertebrates, vertebrates also have a system that delivers nutrients, minerals, and dissolved gases to and from each of their cells and then carries off the metabolites such as carbon dioxide and nitrogenous wastes. Methods of internal exchange used by plants and some invertebrates were discussed in the preceding chapter. This chapter is primarily concerned with the circulatory systems of insects and vertebrates.

Higher animals usually have a **closed circulatory system** through which their blood circulates, meaning it is repeatedly cycled throughout the body. Blood is the medium that flows through the tubes (arteries, veins, and capillaries), carrying specialized cells, proteins, nutrients, dissolved gases, and metabolites throughout the body. The force maintaining the flow is usually provided by the pumping action of a hollow muscular organ; sometimes there is more than one of these organs, known as **hearts**, that receive blood in one end and pump it out the other.

The one-way pumping action is often accompanied by a series of one-way **valves** that help regulate the movement of blood through a designated route. An **open circulatory system** is characterized by large open sinuses through which the blood flows. Two major groups of animals with open circulatory systems are the mollusks (snails, clams, squid, and octopuses) and
arthropods (spiders, ticks, mites, centipedes, millipedes, insects, crabs, and lobsters). In addition to a large open sinus, open circulatory systems also have hearts and vessels.

Animals as varied as annelids (which include earthworms) and vertebrates (fish, amphibians, reptiles, birds, and mammals) have vessels, without an open sinus; such circulatory systems are termed closed. Before discussing closed circulatory systems, open circulatory systems will be described, using insects as the major example.

Insects: Open Circulatory Systems

By definition, virtually any physiological system common to the insects is evolutionarily successful since insects account for over 80 percent of all living species. Since insects are in many respects the most important group of invertebrates, and since their circulatory system is so different from ours, it is important to evaluate what makes their system so effective.

Although one of the main functions of the closed circulatory system is to deliver oxygen to all the tissues, that is not always the primary role of the open circulatory system. Unlike other organisms, insects have a tracheal system, a network of hollow tubes that delivers air through pores in the body wall, or exoskeleton, to the cells throughout the insect’s interior. For this reason, the insect’s circulatory system does not deal with oxygen exchange (see Figure 12.1).

Without lungs, and with tracheae, the insect’s circulatory system has evolved from the ancestral system, which was probably closed, to something new that utilizes an open internal body cavity, the haemocoel.

Insect Blood

Insect blood, haemolymph, is composed of water, plasma, minerals, nutrients, waste products, and blood cells, or haemocytes. Since oxygen is brought to the tissues through small pores in the exoskeleton, it is clear that the insect’s circulatory system differs considerably from that of animals with lungs. Because these systems are so different, it is necessary to explain how the insect’s circulatory system works and why its design differs so much from the vertebrate’s closed circulatory system.

The blood enters a dorsal longitudinal vessel, which is sometimes called the heart, through openings called the ostia, when the vessel is relaxed. When the heart contracts, the blood exits through the anterior opening into
Figure 12.1. On the left is the countercurrent exchange mechanism as it works in fish gills. When water and oxygen flow into the gills in opposite directions (top), oxygen will move into the blood. The exchange would be much less efficient if both were to flow in the same direction (bottom). On the right is the insect respiratory system. Insects don’t breathe through their mouths; air enters the spiracles and moves to the tissues through the tracheae.
the head region. Beyond the dorsal longitudinal vessel, insects have no arteries, veins, or capillaries. The blood simply circulates throughout the haemocoel (see Figure 12.2).

The blood, composed of haemocytes, is bathed in the plasma that carries the nutritive materials secreted from the digestive system to tissues where they are metabolized. Haemocytes appear to be involved in the formation of connective tissue and are also concerned with the activation of certain glands. They have also been shown to assist in coagulation and epidermal regeneration.

Metabolic wastes and dead cells have to go somewhere. Since they cannot be passed out through the tracheae, they are passed into the haemocoel, where some are consumed by haemocytes through phagocytosis. The larger particles, such as metazoan, or multicellular, parasites, cannot be consumed by the haemocytes; however, through a process called encapsulation, they are enclosed in a sheath or capsule, and may be stored or eventually passed out through the alimentary canal.

Those waste products that cannot be consumed, recycled, or encapsulated and stored somewhere enter the alimentary canal through the Malpighian tubules. These are blind sacs that absorb water, salt, and nitrogenous wastes at one end, where they are bathed in blood. At the other end of the sacs the contents are passed into the hindgut, from which they continue into the rectum. Here water is resorbed and the drier waste, or fecal matter, is excreted.

The blood of many arthropods and mollusks contains an oxygen-carrying pigment called hemocyanin, which is like the hemoglobin found in vertebrate blood. Unlike hemoglobin, hemocyanin never occurs in cells but is dissolved in the plasma of those animals that have it. Another difference

![Figure 12.2. Open circulatory system of a grasshopper illustrating blood flow from the dorsal longitudinal vessel.](image-url)
between these two similar oxygen-carrying substances is that hemocyanin contains copper while hemoglobin contains iron.

Circulation in Vertebrates

Insect hearts and other invertebrate hearts are simpler versions of the more complex hearts that evolved in the vertebrates. In vertebrates, the blood is enclosed within a system composed of a heart and vessels. The heart pumps the blood into large arteries, which branch into smaller arteries (arterioles), which branch into the smallest blood vessels, the capillaries (see Figure 12.3). It is through the thin walls of the capillaries that nutrients, gases, hormones, waste products, and other molecules are exchanged between the blood and the interstitial fluids that surround and bathe the body’s cells. After passing through the capillaries, the blood passes into small veins, or venules, which merge into larger veins. Eventually, these return all the blood to the heart. Therefore, this system, which is known as the cardiovascular system, circulates blood throughout the body, bringing it to and from the capillaries, where the blood is able to accomplish its primary functions.

In addition to the similarities, there are differences that exist among the major groups of vertebrates (fish, amphibians, reptiles, birds, and mammals). From group to group, there is increasing separation between the left and right sides of the heart. This separation decreases the amount of mixing between the oxygenated, or oxygen-rich, blood returning from the gills or the lungs, and the deoxygenated, or oxygen-poor, blood returning to the heart from the tissues.

Fish hearts have four internal chambers arranged linearly, with one-way valves between each chamber. The blood comes in one end and is pumped out the other. There is no mixing of oxygenated and deoxygenated blood in the fish heart because the aerated blood flows straight from the gills to the rest of the body without first returning to the heart (see Figure 12.1 on page 221).

Amphibians have a heart composed of three different compartments or heart chambers, known as a three-chambered heart. Two of the chambers are called atria and one is called a ventricle. The deoxygenated blood flows from the body to the heart, first entering a chamber known as the right atrium, which acts as a storage chamber. The thin-walled atrium expands when the blood enters. Then the valves open to allow the blood to flow into the ventricle, a thicker chamber whose walls are made of muscle. When the muscle fibers contract, the blood is forced out through the pulmonary
artery to the lungs. From there the oxygenated blood flows back to the heart, entering the storage chamber on the other side, called the left atrium. The blood flows back to the muscular ventricle, which then contracts and pumps the oxygenated blood to the rest of the body.

The only problem with this heart design is that there is some mixing of the oxygenated and deoxygenated blood in the ventricle, despite certain compensating devices, such as the grooves in a frog’s heart that channel blood flow and minimize mixing.

Like the amphibians, reptiles have two atria. But rather than just one ventricle, there are two. These ventricles are not completely divided in most reptiles, although the ventricular division is complete in all bird and mammal hearts. This is why bird and mammal hearts are said to be four-chambered

**Figure 12.3.** Rate of blood flow to capillary bed (top) is regulated by the constriction or relaxation of the arteriole’s sphincter muscle. The exchange of water, nutrients, gases, wastes, and other chemicals occurs between the capillary’s blood and the surrounding tissue cells (bottom).
(two atria, two ventricles). It is the four-chambered heart that has enabled only birds and mammals to possess completely separate circulation paths for arterial and venous blood.

The human heart, with a right atrium and right ventricle, as well as a left atrium and left ventricle, essentially has two separate hearts inside one (see Figure 12.4). Both beat simultaneously. Blood circulates through a human in the following way. After flowing through all parts of the body except the lungs, the deoxygenated blood enters the heart via the right atrium. From the right atrium the blood is forced through the tricuspid valve into the right ventricle. The right ventricle pumps the deoxygenated blood through the pulmonary semilunar valve into the pulmonary artery, which divides into two main branches, each entering one of the lungs. In the lungs, the pulmonary arteries branch into arterioles, which connect with the capillary beds in the walls of the alveoli, the small air sacs throughout

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**Figure 12.4.** Cross section of a human heart showing the four chambers (two atria and two ventricles). The left ventricle has the thickest, most muscular walls, and this is the chamber responsible for pumping blood through the aorta.
the lungs. This is where gas exchange occurs. Upon inhalation, the oxygen passes from the lungs into the thin layer of fluid lining the alveoli, and then it is picked up by the hemoglobin inside the red blood cells. Also at the alveoli, carbon dioxide is released from the blood to the air in the lungs, which is then exhaled.

From the capillaries in the lungs, blood passes into small veins and then into the larger pulmonary veins, two of which lead from each lung back to the heart, where they empty into the left atrium. The blood then passes through the bicuspid valve (also called the mitral valve) and enters the left ventricle. The left ventricle contracts and pumps the blood through the aortic semilunar valve into the aorta, the artery that carries the oxygenated blood out of the heart and to all parts of the body except the lungs (see Figure 12.4).

Oxygenated blood passes through arteries that lead to smaller arteries, then to arterioles, then to capillaries, where oxygen, nutrients, hormones, and other substances move from the blood to the cells. It is through the capillary walls that waste products such as carbon dioxide and nitrogenous wastes are picked up. Other substances enter the blood through the capillary walls, such as hormones that are secreted by specific tissues and nutrients that are released from the intestine and liver. During one’s life, due to the pumping action of the heart, blood continually circulates throughout the body, maintaining the constant exchange of materials.

After passing through the capillary beds in the tissues, the blood moves into venules and on to larger veins. These eventually empty into the anterior vena cava, which collects all the blood from the head, neck, and arms, and the posterior vena cava, which drains the blood from the rest of the body. The anterior and posterior vena cavas empty into the right atrium, completing one cycle.

The portion of the circulatory system that travels from the heart to the lungs and back is known as the pulmonary circulatory system. The other portion, which carries blood from the heart to the rest of the body and back, is called the systemic circulatory system (see Figure 12.5).

**Capillaries**

Unlike the walls of the larger vessels, capillaries are only one cell thick. Made of endothelial cells, which together compose the endothelium, capillaries form a tube that encloses a passageway, or lumen, that is about six micrometers in diameter, wide enough to allow the passage of one red blood
cell at a time. While the blood moves through the capillaries, gases, hormones, and other materials diffuse both in and out of the blood and the surrounding tissues through the cytoplasm of the endothelial cells, as well as through junctions between individual endothelial cells. In addition to diffusion, active transport and **pinocytosis** (cells engulfing small amounts of liquid or very small particles) probably help ferry dissolved materials across the endothelium.

**Lymphatic System**

At the arteriolar end of the capillaries, the hydrostatic pressure exceeds the osmotic pressure, forcing some of the water and its dissolved gases, minerals, and protein molecules through the capillary wall, or endothelium. As the blood passes along inside the capillaries, the hydrostatic pressure gradually decreases to the point where it is less than the surrounding osmotic pressure. This allows much of the surrounding fluid that was previously forced out to reenter the blood capillaries. The relatively small amount of fluid that doesn’t reenter is brought back to the bloodstream by the **lymphatic system**.

The lymphatic system drains protein-containing fluids that weren’t reabsorbed from the blood capillaries. These escaped fluids become the
Figure 12.6. Ninety percent of the blood plasma that leaks from the tissues passes back into the veins. The remaining 10 percent passes into the lymphatic system, where it circulates somewhat haphazardly, before being returned to the venous system.
lymph upon being absorbed by the lymph capillaries. Lymphatic capillaries are located in the interstitial spaces between cells where the fluids accumulate. These capillaries are slightly larger and more permeable than the blood capillaries. The lymph capillaries converge into larger lymph vessels, the lymphatics, which resemble veins but have thinner walls and more valves. The lymphatics just underneath the skin usually run parallel to veins, while those in the viscera generally follow arteries (see Figure 12.6).

In addition to returning fluids back to the bloodstream, the lymphatic system is also an important factor in the absorption of fat from the intestines. On the other hand, blood seems to be much better at absorbing sugars and amino acids from the intestines.

Located along the major lymph vessels is a series of nodes known as lymph nodes, or lymph glands. These are composed of a matrix of connective tissue harboring phagocytotic cells that filter out and cleanse the lymph by ingesting bacteria, cell fragments, and entire cells. The lymph glands then return these wastes to the blood, where they are carried to the lungs, kidneys, and sweat glands that eliminate them from the body. In addition, these wastes are detoxified when they pass through the liver. When the body is fighting infection, the lymph nodes become swollen and more sensitive.

In addition to filtering and processing lymph, the lymph nodes, along with other lymphoid tissues such as the spleen, thymus, and tonsils, are the sites where certain white blood cells, known as lymphocytes, are formed.

The lymph is moved by a process similar to that which moves blood through the veins. A series of one-way valves work in conjunction with the pressure applied by the contraction of nearby skeletal muscles that constantly squeeze the lymph forward in a one-way direction. Some animals, though no mammals, have specialized pumping devices, or lymphatic hearts, located along the major lymphatic vessels to move the lymph along. Ultimately the lymph is emptied into the left and right subclavian veins, both located in the base of the neck.
capillary beds
cardiovascular system
chambers
closed circulatory system
deoxygenated blood
dorsal longitudinal vessel
encapsulation
endothelial cells
endothelium
haemocoel
haemocytes
haemolymph
heart chambers
hearts
hemocyanin
interstitial fluids
left atrium
left ventricle
lumen
lungs
lymph
lymph nodes
lymphatic system
lymphatics
lymphocytes
Malpighian tubules
mitral valve
open circulatory system
ostia
oxygenated blood
phagocytosis
pinocytosis
posterior vena cava
pulmonary artery
pulmonary circulatory system
pulmonary semilunar valve
pulmonary veins
right atrium
right ventricle
spleen
systematic circulatory system
thymus
tonsils
tracheal system
tricuspid valve
valves
veins
ventricle
venules
white blood cells

**SELF-TEST**

**Multiple-Choice Questions**

**Open Circulatory Systems and Insect Blood**

1. Animals with open circulatory systems include the following:
   a. spiders and insects  
   b. crabs and lobsters  
   c. snails and clams  
   d. a and b  
   e. a, b, and c
2. Animals with closed circulatory systems include the following:
   a. annelids
d   b. fish, amphibians, and reptiles
c. birds and mammals

3. Unlike most organisms, insects have a network of hollow tubes to carry
   air through pores perforating the body wall to the cells throughout their
   interior; these tubes are called __________.
a. exoskeleton
d. ostia
b. tracheids
e. osteids
c. tracheae

4. Insects have blood that circulates through an open internal body cavity
   known as the __________.
a. haemolymph
d. endometrium
b. pericardium
e. haemocoel
c. endocardium

5. Insect blood, the __________, is composed of water, plasma, minerals,
   nutrients, waste products, and blood cells, or __________.
a. lymph, nematocysts
d. thromboplasma, thrombo-
   cytes
b. lymphocytes, erythrocytes
e. lymph, erythrocytes
c. haemolymph, haemocytes

6. In insects, blood enters a __________, which is often called the heart,
   through openings, or __________.
a. ventral tube, pores
c. dorsal longitudinal vessel,
b. ventral longitudinal vessel,
   ostia
d. atrium, ducts
   lenticels
e. ventricle, Malpighian tubules

7. Waste products enter an insect’s alimentary canal through blind sacs
   known as __________.
a. ostia
d. lenticels
b. Malpighian tubules
e. dorsal longitudinal vessels
c. pores

8. The blood of many arthropods contains an oxygen-carrying pigment,
   __________, that is like that found in vertebrate blood.
a. hemoglobin
d. albumin
b. hemocyanin
e. anthocyanin
c. gammaglobulin
Circulation in Vertebrates

9. Fish hearts have _________ internal chambers arranged linearly.
   a. one                        d. four
   b. two                        e. six
   c. three

10. Amphibians have a _________ chambered heart, with _________ atria
    and _________ ventricles.
    a. two, one, one                  d. four, two, two
    b. three, one, two               e. four, one, three
    c. three, two, one

11. Reptiles have _________ atrium(a) and _________ ventricle(s).
    a. one, one                      d. two, two
    b. two, one                     e. two, three
    c. one, two

12. The ventricular division is complete in the following hearts:
    a. birds                        d. amphibians
    b. mammals                     e. a and b
    c. reptiles

13. In the human heart, when the blood is forced from the right atrium to
    the right ventricle, it moves through the _________.
    a. tricuspid valve              d. mitral valve
    b. pulmonary semilunar valve   e. aortic semilunar valve
    c. bicuspid valve

14. When the blood moves from the right ventricle into the pulmonary
    artery, it passes through the _________.
    a. tricuspid valve              d. mitral valve
    b. pulmonary semilunar valve   e. aortic semilunar valve
    c. bicuspid valve

15. The left ventricle contracts and pumps blood through another valve,
    called the _________, into the artery called the _________.
    a. mitral valve, right vena cava   d. aortic semilunar valve, aorta
    b. bicuspid valve, inferior vena  e. aortic semilunar valve, inferior vena cava
    c. mitral valve, superior vena cava

16. After passing through the capillary beds in the tissues, the blood moves
into venules and on to larger veins, which eventually empty into the

17. The portion of the circulatory system traveling from the heart to the
lungs and back is known as the ____________, and the other portion of
the circulatory system carrying blood from the heart to the rest of the
body and back is called the ____________.

- systemic circulation, pulmonary circulation
- pulmonary circulation, systemic circulation
- cardiac circulation, systemic circulation

**Capillaries and Lymphatics**

18. In humans and other vertebrates, the blood is enclosed within a system
composed of a heart and vessels, where the heart pumps the blood into
large __________ that branch into smaller __________ that branch into
the smallest blood vessels, the __________.

- arteries, arterioles, capillaries
- veins, venules, capillaries
- capillaries, arterioles, arteries

19. Capillary walls are the following number of cells thick:
- one
- two
- three
- four
- five

20. The walls of the capillaries are made of __________.

- endothelial cells
- endometrium cells
- endomyseum cells

21. Escaped fluids from the blood capillaries become the __________ upon
being absorbed by the __________.

- lymph, lymph capillaries
- blood, blood capillaries
- plasma, plasma capillaries
- plasma, lymphatic nodes
22. Located along the major lymph vessels is a series of nodes known as 

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<tbody>
<tr>
<td>a. plasma nodes</td>
<td>d. a and b</td>
</tr>
<tr>
<td>b. lymph nodes</td>
<td>e. b and c</td>
</tr>
<tr>
<td>c. lymph glands</td>
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</tbody>
</table>

23. Lymph glands do the following:

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</thead>
<tbody>
<tr>
<td>a. filter out and cleanse the lymph</td>
<td>d. contain cells that ingest cell fragments and dead cells</td>
</tr>
<tr>
<td>b. harbor phagocytotic cells</td>
<td>e. all of the above</td>
</tr>
<tr>
<td>c. contain cells that ingest bacteria</td>
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**Answers**

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<tr>
<td>1. e</td>
<td>7. b</td>
<td>13. a</td>
<td>19. a</td>
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<tr>
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<td>9. d</td>
<td>15. d</td>
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<tr>
<td>4. e</td>
<td>10. c</td>
<td>16. d</td>
<td>22. e</td>
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<td>5. c</td>
<td>11. d</td>
<td>17. b</td>
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<tr>
<td>6. c</td>
<td>12. e</td>
<td>18. a</td>
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**Questions to Think About**

1. Describe the similarities and differences between closed and open circulatory systems.

2. How does the insect tracheal system affect its circulatory system?

3. What is the role of the Malpighian tubules, and how do they interact with the circulatory system of what kinds of animals?

4. Compare and contrast several different types of hearts.

5. Follow the entire route of blood through a vertebrate circulatory system.

6. What is the function of a lymphatic system?
Like muscle, bone, cartilage, and nerves, blood is a type of tissue, a collection of similar types of cells and the associated intercellular substances that surround them. Tissues are categorized into four main types: (1) epithelium, (2) muscle, (3) nervous, and (4) connective. Connective tissue includes blood, lymph, bone, and cartilage. In the case of blood and lymph, the base substance, or matrix, is a liquid. What differentiates these two from other tissue types is that they are not stationary. Blood is a fluid flowing through blood vessels throughout the body (lymph runs through the lymph vessels).

Blood accounts for about 8 percent of a human’s total body weight, amounting to an average of four to six liters per adult (over a gallon), depending on individual size. Blood is thicker (more viscous) and slightly heavier than water. And, depending on the organism, blood is usually slightly warmer than the animal’s body temperature. While the core body temperature of most humans is 37°C (98.6°F), their blood is about 38°C (100.4°F). Blood pH is slightly alkaline, ranging from about 7.35 to 7.45. Its salt (NaCl) concentration normally varies from about 85 to 90 ppt (parts per thousand), or two to three times the concentration of sea water, which is usually about 34 ppt.

Plasma is the fluid portion of both blood and lymph. Fifty to 60 percent
of the blood volume consists of plasma. When the proteins involved in clotting are removed from blood plasma, the remaining liquid is called serum. The plasma, which is over 90 percent water, carries a variety of ions and molecules. In addition to salts and proteins, there are many nutrients such as amino acids, fats, and glucose. There are also dissolved gases such as carbon dioxide, as well as antibodies, hormones, enzymes, and certain waste products such as urea and uric acid. The relative amount of plasma in the blood depends upon the species, the sex, the organism’s health when being examined, and a host of other variables. The remaining 40 to 50 percent of the blood volume is composed of cells and cell fragments that can be divided into three main categories: red blood cells, or erythrocytes; white blood cells, or leukocytes; and platelets, or thrombocytes, which are fragments of cells.

**Erythrocytes (Red Blood Cells)**

The cells in human blood are often referred to as corpuscles. Of these, 99 percent are red blood cells, which are involved in the transportation of oxygen throughout the body. Quite distinct in appearance, they are biconcave,
flattened disks (see Figure 13.1). Birds and reptiles have red blood cells that retain their nucleus throughout the life span of each red blood cell, but when mammalian erythrocytes mature, their nuclei disintegrate.

It has been surmised that the loss of the nuclei adds to the cells’ oxygen exchange capacity. The biconcave design of red blood cells increases the surface area so that hemoglobin, the iron-containing protein that functions in oxygen transport, can fill almost the entire volume of the mammalian red blood cells, enabling them to interact more effectively in a deoxygenated medium. Having an average life span of about three to four months, red blood cells are then consumed by the liver. New red blood cells are constantly made by the red bone marrow.

The concentration of cells and particulate matter in the blood plasma, known as the hematocrit value, is usually about 40 to 45 percent. If the concentration falls below this level, the individual is in danger of not having enough red blood cells or hemoglobin to supply the proper amount of oxygen to maintain cellular respiration. When the blood’s concentration of red blood cells, or the red blood cell’s concentration of hemoglobin, drops below normal, a person becomes anemic; the condition is known as anemia. Symptoms often include a general pallor (pale appearance) and lack of energy.

### Blood Types

Everyone has highly individualized blood that is directly attributable to proteins and other genetically determined factors located both on the surfaces of red blood cells and in the plasma bathing the red blood cells. Of all the blood groups (there are over 300), the most widely known is the ABO group. When doctors first began giving blood transfusions (transferring blood from one person to another), it became apparent that while many patients’ lives were saved, many other patients died almost immediately. It took years until it was learned that there were different blood types, some of which are incompatible.

When incompatible blood types are mixed, the blood of the patient receiving the transfusion clumps and clots, blocking capillaries, clogging organs, and causing strokes. We now understand that some erythrocyte surfaces contain genetically determined types of proteins known as the agglutinogenic proteins, which function as antigens, substances that stimulate the body to produce antibodies against it. Antibodies are proteins that inactivate or destroy antigens. Antigens, in the form of proteins on the red blood cell surface, and plasma antibodies both account for different blood types.
The ABO group depends on the combination of two alleles at a chromosomal locus, which can be AA, AB, BB, AO, BO, or OO. These are the result of two different antigens, A and B, and their absence, which is called O. Two AA or one A without another antigen (AO) produce type A blood. BB and BO produce type B blood. When A and B are found together, the blood is called type AB, and when neither occurs, the blood is type O.

Blood type A contains antibody B (anti-B); blood type B contains anti-A; blood type O contains anti-A and anti-B; and blood type AB contains neither anti-A nor anti-B. When antibodies are present in the plasma of one blood type, they will react with the antigens on the erythrocytes of another blood type, resulting in clumping. Therefore it is always best, when getting a blood transfusion, to obtain the same blood type of blood as your own. Because type O can be given to people with any blood type, it is often called the universal donor. Unless a transfusion is going to be massive, usually types A or B can be given to a person with type AB. Likewise, people with types A or B can receive blood from a person with AB. Table 13.1 shows the antigens and antibodies found in each blood type, and which types of blood can act as a donor during a blood transfusion.

Rh

The Rh system derives its name from rhesus monkeys among which it was first studied. Like the ABO system, the Rh system is based on agglutino-

<table>
<thead>
<tr>
<th>Blood Type</th>
<th>Antigens on the Erythrocytes</th>
<th>Antibodies in the Plasma</th>
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<tbody>
<tr>
<td>A</td>
<td>A</td>
<td>anti-B</td>
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<tr>
<td>B</td>
<td>B</td>
<td>anti-A</td>
</tr>
<tr>
<td>AB</td>
<td>A and B</td>
<td>None</td>
</tr>
<tr>
<td>O</td>
<td>None</td>
<td>anti-A and anti-B</td>
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<table>
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<th>Donor/Receiver Relationships</th>
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<td>A</td>
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<tr>
<td>B</td>
</tr>
<tr>
<td>AB</td>
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<tr>
<td>O</td>
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gens on the erythrocyte surface. People with erythrocytes having the Rh agglutinogens are called Rh⁺; those without it are Rh⁻. When blood is transfused, both the ABO blood types and the Rh type must be checked, because Rh incompatibility can produce a severe reaction that sometimes is fatal.

Rh types are also important in regard to having children. When the father is Rh⁺, the mother is Rh⁻, and the fetus is Rh⁺, fetal Rh⁺ antigens may enter the mother’s blood during delivery. The fetal Rh⁺ antigens will stimulate the mother’s blood to make anti-Rh antibodies. Then, should the woman become pregnant again, her anti-Rh antibodies will cross the placenta, resulting in hemolysis, or the breakage of fetal erythrocytes, which will release the hemoglobin. This condition, known as erythroblastosis fetalis, used to kill the baby. Later, when a baby was born with such a condition, the doctors slowly removed its blood, replacing it with antibody-free blood. Today, erythroblastosis is routinely prevented by injecting an anti-Rh preparation into Rh⁻ mothers right after delivery or an abortion. This injection contains antibodies that rid the mother’s blood of the fetal agglutinogens. This prevents the mother’s blood from producing its own antibodies and, thus, protects a fetus during the next pregnancy.

White Blood Cells (Leukocytes)

In each organism the mature red blood cells are all basically identical to one another. This is not true of an animal’s mature white blood cells (also called leukocytes). These are separated into several distinct categories. There are basophils, eosinophils, neutrophils, lymphocytes, and monocytes (see Figure 13.2). All have nuclei and none contains hemoglobin. Of the five types listed above, the first three develop in the red bone marrow. The other two develop from lymphoid and myeloid tissues.

Generally, leukocytes combat inflammation and infection, either by ingesting bacteria and dead matter through phagocytosis, or by producing chemicals that inactivate foreign bodies. Important factors in the immune system, lymphocytes are involved in producing antibodies to inactivate antigens. Most antigens are not produced by the body but are released by bacteria or other foreign microorganisms. However, when blood is transfused from one person to another, specific proteins in an erythrocyte’s surface can be an antigen.

There are two basic types of lymphocytes. These are differentiated according to their function. Although they originate in the bone marrow, some lymphocytes, while developing, pass through the thymus gland. Therefore,
Figure 13.2. Blood cell types are classified according to the shape and size of the cell; absence, presence, shape, and size of the nucleus; and whether the cytoplasm is granular. These blood cell differences reflect their division of labor.

they are called **T cells**. They specialize in combating foreign cells or tissues introduced into the body such as bacteria, fungi, and cells in transplanted organs. They are also involved in fighting cancer cells and in protecting cells from viruses. T cells are involved both in forming cell-killing chemicals and in attracting other white blood cells that engulf the foreign bodies encountered. They do not release antibodies.
The other lymphocytes, those that don’t pass through the thymus gland during their developmental stage, are called B cells. When stimulated, they divide and form plasma cells that manufacture antibodies. When the antibodies encounter antigens, they attempt to destroy them. This is known as the antigen-antibody reaction. In addition to functioning inside the bloodstream, most leucocytes possess the ability to pass through minute spaces in the capillary walls and nearby tissues.

**Blood Test**

A blood test often involves a differential count, which amounts to counting the number of each kind of white blood cell. A normal differential count contains 60 to 70 percent neutrophils, with considerably fewer of the other types of white blood cells. White blood cell counts that vary significantly from the norm often indicate the presence of an injury or infection. Such information is valuable to a doctor attempting to diagnose the cause or nature of a disorder from the symptoms.

Because the leukocytes are constantly involved in fighting unhealthy cells, such as those which may be cancerous, as well as bacteria and other foreign substances that enter the body through such points as the mouth, nose, and skin, leukocytes usually have very short life spans. Their normal life span is considerably shorter than that of the normal red blood cell, generally lasting only a few days. During a period of infection, they may survive only a few hours.

**Platelets (Thrombocytes)**

Platelets are small, disc-shaped, anucleate (without a nucleus) cells, or cell fragments, that are crucial to the clotting process. They help minimize the amount of bleeding when a blood vessel is cut or damaged by initiating the steps that result in coagulation. The damaged tissues and ruptured platelets both release substances known as thromboplastins. These are thought to initiate blood clotting in the presence of calcium ions.

Once released, the thromboplastins convert the plasma protein prothrombin into thrombin, which then converts fibrinogen, another plasma protein, into fibrin. Fibrin is fibrous and forms a meshwork that begins to shrink, squeezing out fluids to form a blood clot. These steps are summarized on the following page.
Recently, the blood clotting process has been explained more fully. It has been shown that when blood comes in contact with cells outside the bloodstream, a protein molecule called tissue factor (TF), which is found on the surface of most cells outside the bloodstream, initiates the sequence of events leading to coagulation.

This tissue factor protein combines with a protein that circulates in the blood (factor 7). Instantly this becomes a new tissue factor, 7a (TF/7a), which initiates the clotting process by binding with tissue factor 10 and converting it into factor 10a. This binds to protein factor 5a. Then, these bind to the circulation protein prothrombin, creating thrombin molecules, which, in turn, create fibrin molecules, as explained above.

To aid the clotting process, damaged tissues release histamine, a chemical that expands the diameter of the capillaries and arterioles, thereby increasing the blood supply to the area and permitting more clotting proteins to leak out of the bloodstream into the damaged tissue. In addition to stopping the bleeding, clotting blocks bacteria and other foreign agents from entering a wound. The dead white blood cells that accumulate around the inflamed wound constitute the thick, creamy yellow fluid known as pus. If the wound is near the surface, the damaged area may eventually open, allowing the pus to drain. Otherwise the body slowly resorbs the dead cells.

**Ions, Salts, and Proteins in the Blood**

The inorganic ions and salts comprise approximately 0.9 percent of a human's blood plasma by weight. More than two-thirds of this is sodium chloride (NaCl, ordinary table salt). The most abundant positively charged inorganic ions (cations) found in the plasma are sodium (Na⁺), calcium (Ca²⁺), potassium (K⁺), and magnesium (Mg²⁺). The primary negatively charged inorganic ions are chloride (Cl⁻), bicarbonate (HCO₃⁻), phosphate (HPO₄²⁻ and H₂PO₄⁻), and sulfate (SO₄²⁻).
The plasma proteins contribute another 8 to 9 percent of the plasma by weight. Most of these, such as the albumins, globulins, and fibrinogen, are synthesized by the liver. They are important in maintaining the blood’s osmotic pressure, which is particularly important in the capillary beds. They are also crucial for maintaining the viscosity of the plasma, which is vital to the heart for maintaining a normal blood pressure.

Heartbeat

The heartbeat is initiated in its unique tissue, the nodal tissue, which has characteristics similar to both muscles and nerves, being able to contract like muscles and transmit impulses like nerves. There are two nodes, or areas composed of nodal tissue, in the heart. The heartbeat begins in the sino-atrial node (or S-A node), also called the pacemaker, which is located in the wall of the right atrium near the anterior vena cava. At regular intervals, a wave of contraction spreads from the S-A node across the walls of the atria to the atrio-ventricular node (A-V node), located in the lower part of the partition between both atria. As the wave of contraction reaches the A-V node, this node transmits impulses to the ventricles via a bundle of branching fibers known as the bundle of His. The impulse is slowed down in the bundle of His. During atrial relaxation, ventricular contraction occurs (see Figure 13.3).

These contractions occur at regular intervals, which are modified according to the physiology of the organism at the time. The speed at which these contractions occur is measured by counting the number of cycles per minute; this is known as the pulse rate, which in adult humans averages 70 beats per minute, though it varies considerably among individuals.

Blood Pressure

Blood pressure is defined as the pressure exerted by blood on the wall of any blood vessel. Two pressures are measured, that during the systole, when the ventricles are contracting, and that during the diastole, when the ventricles are relaxed (see Figure 13.4). The pressure is measured with a sphygmomanometer, a device that transfers the blood pressure into pressure that pushes mercury (Hg) up a tube. The average systolic blood pressure of a young adult male is about 120 mm of mercury; the average diastolic pressure
is 80 mm of mercury. This is usually represented as 120/80. Young adult females have blood pressures that usually average about 10 mm of mercury less for both the systolic and diastolic pressures.

Blood pressure tends to increase with age, but it also increases because of certain inherited and dietary variables. Because accumulated evidence shows a correlation between high blood pressure and increased risk of heart attack, many doctors routinely take their patient’s blood pressure to monitor any potential risk. Advice about diet and exercise can be given to patients who

Figure 13.3. Pacemaker tissues in the human heart. Heartbeat is initiated by the S-A node, which stimulates the atria to contract. The A-V node coordinates these contractions with those of the ventricles.

Figure 13.4. An EKG (electrocardiogram) tracing a heartbeat, measuring the systolic and diastolic pressure.
fall into the risk category. Certain medications have also been shown to be helpful.

### KEY TERMS

<table>
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<tr>
<th>agglutinogenic proteins</th>
<th>lymphocytes</th>
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<tr>
<td>anemia</td>
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<td>leukocytes</td>
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Multiple-Choice Questions

**Blood, Erythrocytes, Leukocytes, and Blood Types**

1. The fluid flowing through all the vessels of the body, excluding the lymph vessels, is the __________.
   - a. serum
   - b. lymph
   - c. blood
   - d. plasma
   - e. lumen

2. Connective tissue includes the following types of tissues:
   - a. nervous and connective
   - b. epithelium and muscle
   - c. muscle and nervous
   - d. bone and epithelium
   - e. lymph and cartilage

3. __________ is the fluid portion of both blood and lymph.
   - a. plasma
   - b. matrix
   - c. ground substance
   - d. water
   - e. urea

4. When the proteins involved in clotting are removed from blood plasma, the remaining liquid is called __________.
   - a. water
   - b. urea
   - c. ground substance
   - d. serum
   - e. lumen

5. In addition to the plasma, the remaining 40 to 50 percent of the blood volume is composed of the following:
   - a. thrombocytes and platelets
   - b. erythrocytes and leukocytes
   - c. white blood cells and red blood cells
   - d. leukocytes
   - e. all of the above

6. The concentration of cells and particulate matter in the blood plasma is known as the __________.
   - a. erythrocyte count
   - b. corpuscle count
   - c. hemoglobin level
   - d. anemia analysis
   - e. hematocrit value

7. When the blood’s concentration of red blood cells or the red blood cell’s concentration of hemoglobin drops below normal, a person becomes __________.
8. The surfaces of erythrocytes contain genetically determined types of proteins that are responsible for the two major blood group classifications, which are:
   a. ABO and Rh
   b. Type A and Type B
   c. Type AB and O

9. Blood Type O will not agglutinate with any of the other blood types and therefore is known as the
   a. universal donor
   b. Blood Type A
   c. Blood Type B

10. Lymphocytes are involved in producing certain proteins known as that inactivate foreign chemicals known as ____________.
    a. antigens, antibodies
    b. antibodies, antigens
    c. basophils, eosinophils

Platelets, Ions, Salts, and Proteins in the Blood

11. Both cut or damaged tissues as well as ruptured platelets release substances involved in clotting known as ____________.
    a. thrombins
    b. prothrombins
    c. fibrinogens

12. The presence of ____________ ions is needed for thromboplastins to initiate the blood clotting process.
    a. magnesium
    b. cadmium
    c. lead

13. Once released, the thromboplastins convert the plasma protein ____________ into ____________.
    a. fibrin, fibrinogen
    b. prothrombin, thrombin
    c. thrombinogen, thrombin
    d. thrombin, prothrombin
    e. profibrinogen, fibrinogen
14. Thrombin converts another plasma protein into ____________.
   a. fibrin  d. prothrombin
   b. histamine  e. thromboplastin
   c. calcium ions

15. To aid the clotting process, damaged tissues release ____________, a chemical that expands the diameter of the capillaries and arterioles, thereby increasing the blood supply to the area, permitting more clotting proteins to leak out of the bloodstream into the damaged tissue.
   a. histamine  d. thrombin
   b. fibrin  e. thromboplastin
   c. calcium ions

16. The most abundant positively charged inorganic ions found in the plasma include:
   a. sodium  d. magnesium
   b. calcium  e. all of the above
   c. potassium

17. The primary negatively charged inorganic ions found in the human blood plasma include the following:
   a. chloride  d. sulfate
   b. bicarbonate  e. all of the above
   c. phosphate

**Heartbeat and Blood Pressure**

18. The heartbeat begins in the ____________.
   a. sino-atrial node  d. all of the above
   b. S-A node  e. none of the above
   c. pacemaker

19. A wave of contraction spreads from the S-A node across the walls of the atria to the ____________, which transmits impulses to the ventricles via ____________.
   a. atrio-ventricular node, a bundle of branching fibers  d. A-V node, a bundle of branching fibers
   b. A-V node, bundle of His  e. all of the above
   c. atrio-ventricular node, bundle of His

20. The blood pressure exerted on the wall of any blood vessel when the ventricle is contracted is the ____________, and the pressure exerted on the wall of any vessel when the heart is relaxed is the ____________.
Questions to Think About

1. Analyze, compare, and contrast the contents of the blood of a vertebrate and an insect.

2. How does blood clot?

3. How does a heart beat?

4. What is blood pressure?
Viruses and subviruses are not cellular. Therefore, by definition they are not living because their primary units are not cells. Even so, they interact with living organisms in many fundamental ways, interfering with their cellular processes, and are capable of causing a number of very real symptoms. In fact, these tiny, inscrutable, nonliving entities are responsible for over 60 million common colds each year in America alone, as well as for a number of other major scourges and epidemics, including AIDS.

Viruses

The fact that viruses share several properties of most living organisms provides good reason to include them in their own noncellular category of life. Were this to be sanctioned by most scientists, it would require expanding the definition of life as it currently stands to include noncellular entities that contain genes. Should such a revision ever occur, viruses might form their own kingdom.

Viruses are, on average, from 10 to 100 times smaller than the typical bacterium, making them too small to be seen by most optical microscopes.
In 1931, the invention of the electron microscope broke this light barrier. And X-ray crystallography, a technique by which X-rays are diffracted through crystallized virus particles to reveal their molecular structure, enabled researchers to study these forms.

Like some obligate intracellular bacteria, viruses are parasitic and unable to reproduce without having cells to inhabit. Viruses, like living cells, contain nucleic acids, which are enclosed in a protective coat of protein, sometimes called the **viral capsid**, which ranges from 20 to 250 nanometers across (1 nanometer = 1 millionth of a millimeter).

Outside cells, viruses do not reproduce, feed, or grow. And, unlike living cells, viruses do not metabolize; that is, they do not generate their own energy. Instead, with the information contained in their viral DNA or RNA, they overpower other cells, inserting their nucleic acids into their host’s cell to direct the production of more viruses by utilizing the host’s cellular machinery. While all other organisms contain both DNA and RNA, viruses contain only one or the other.

Outside of a host cell, a virus is inert, incapable of reproduction or of any metabolic functions that would identify it as living. However, each of the many different types of viruses “identify” receptor sites on a potential host’s outer coat of protein and thereby “know” which cells to attack. A virus may infect a host cell either by attaching to the host’s protein coat while injecting the viral DNA or RNA into the host or by entering the host intact. Once inside, the viral capsid dissolves and the viral DNA or RNA acts as a template for the manufacture of viral components. That is, the virus attaches its genetic material to that of the host and “tricks” it into producing more viruses through the same mechanisms the cell normally uses to replicate itself. In time, the virus particles are assembled within the host cell. Then, by lysing (dissolving) the cell membrane, the new viruses leave the host and infect new, uninfected cells (see Figure 14.1).

Viruses’ capacity to interfere with and inject viral genetic information into a host’s cells may play an important and, possibly, even crucial role in evolution. By rearranging the DNA in chromosomes and by transferring genes from one species to another, viruses may be moving genetic material among plants and animals, sometimes imparting new characteristics that are adaptively significant. In fact, it is possible that certain viruses may have an evolutionarily beneficial effect over time.

It has been suggested that viruses are more closely related to their hosts than to one another, having perhaps originated as nucleic acids that escaped from cells and began replicating on their own, but returning to the cells for necessary chemicals and structures.
Some viruses known as bacteriophages attack only bacteria (see Figures 14.2 and 14.3). Others attack only eukaryotic cells. And many are extremely specific with regard to the type of cell they will attack. The major types of viruses that attack humans include cold viruses, or rhinoviruses, which cause most common colds.

The influenza virus is the fastest-mutating virus known, capable of rapidly changing the outer protein coat through succeeding generations of the flu. People can therefore catch the flu more than once a year, since they have no antibodies to the new virus. RNA viruses cause measles, rubella (German measles), and mumps—all childhood diseases. Another childhood disease, called fifth disease, is caused by parvovirus.

Different forms of herpes virus include those that cause cold sores, genital sores, chicken pox (or, if it’s reactivated, shingles), and Epstein-Barr virus, which causes mononucleosis.

Papillomaviruses, of which 46 types are known, cause plantar warts, genital warts, and certain wartlike rashes. Hepatitis is also caused by a virus.

Retroviruses are a group of viruses named for their backward (retro) sequence of genetic replication as compared to other viruses. The AIDS viruses are in this group. Another well-known disease caused by a viral infection is rabies.
Figure 14.2. Diagram of a virus that attacks bacteria, known as a bacteriophage (only two of the six collar whiskers and two of the six tail fibers are depicted here).

Figure 14.3. Scanning electron microscope (SEM) photomicrograph of a bacterial cell (Escherichia coli) being infected by many bacteriophages.
Subviruses

The smallest infectious agents known to researchers are termed subviral infectious agents, or subviruses. Scientists have identified several different types, including satellite viruses, virinos, viroids, virusoids, virogenes, and prions.

Members of one of the better understood strains, prions, range in size from considerably smaller than viruses, sometimes 100 times smaller, to almost as large as mitochondria and bacteria. Prions have been found to cause certain diseases and are implicated as the cause of others. Included in this list of diseases that prions seem to promote are Mad Cow Disease, Creutzfeld-Jacob Disease, scrapie, and several similar degenerative brain diseases.

It has been theorized that prions may be radically different from any other known self-replicating entities. There is no evidence that prions contain any nucleic acids, DNA, and/or RNA; instead, they appear to be little more than dots of protein. Even if they were found to contain nucleic acids, prions are so small that there is little chance they contain a nucleic acid any longer than 50 nucleotides. This is not large enough to encode a protein containing more than about 12 amino acids.

Despite indications to the contrary, it has even been suggested that prions may actually be conventional viruses, but this is quite unlikely. It appears equally unlikely that they will be found to represent a new category of protoorganismal material that reproduces in living cells, employing a technique that has yet to be elucidated. It has even been suggested that they may reproduce using a technique similar to that employed by viruses, without being viruses.

Some researchers have suggested that the mode of prion reproduction might involve fracture and continued growth, which would explain their small and uncertain molecular weights, their rodlike appearance, their varying lengths, and the unpredictability of which amino acid occurs terminally. The most recent work has shown that prions may be proteins produced somewhat abnormally by infected genes that somehow go awry.

Among the other subviruses are the viroids, minute rings of RNA that infect certain plants. Virusoids appear to be loops of RNA that occur inside regular viruses. Virinos, like viruses, need an outer coat of protein, which they are unable to make on their own, but which they induce host cells to manufacture. Virogenes are otherwise normal genes that generate infectious particles under certain circumstances. Satellite viruses are tiny pieces of RNA that make full-size viruses work for them. These tiny nucleic acids multiply inside viruses that are inside cells.
KEY TERMS

AIDS          prions          viral capsid
bacteriophages retroviruses       virinos
hepatitis      rhinoviruses       virogenes
herpes virus    satellite viruses viroids
influenza virus subviral infectious viruses
papillomaviruses agents           virusoids
parvovirus           subviruses       

SELF-TEST

Multiple-Choice Questions

Viruses

1. Viruses contain ___________.
   a. nucleic acids   d. viral capsid
   b. a protein coat  e. all of the above
   c. DNA or RNA

2. Viruses do not ___________.
   a. metabolize         d. all of the above
   b. generate their own energy e. none of the above
   c. replicate (or duplicate, or reproduce) without injecting cells

3. The information contained in the viral DNA or RNA is ___________.
   a. inserted into its host’s cellular machinery
   b. contained in the viral nucleic acids that are inserted into their host’s DNA
   c. used to direct the host to produce more viruses
   d. all of the above
   e. none of the above

4. Each of the many different types of viruses “knows” which cells to attack by identifying ___________ on the potential host’s outer ___________.
   a. receptor sites, protein coat   d. all of the above
   b. nucleic acids, viral capsid   e. none of the above
   c. receptacles, bacteriophages
5. Viruses that attack only bacteria are known as __________.
   a. DNA viruses
   b. RNA viruses
   c. retroviruses
   d. bacteriophages
   e. all of the above

6. Some viruses infect a host cell by __________.
   a. attaching to the host’s protein coat while injecting the viral DNA or RNA into the host
   b. entering the host intact
   c. injecting viral genetic information into a host’s cells
   d. all of the above
   e. none of the above

7. It is possible that viruses may be moving genetic material from __________.
   a. plants to animals
   b. animals to plants
   c. plants to plants
   d. animals to animals
   e. all of the above

8. Viruses may prove, in some cases, to be the simplest of __________.
   a. all symbionts
   b. all parasites
   c. all living things
   d. all of the above
   e. none of the above

**Subviruses**

9. Prions have been said to be __________.
   a. the smallest infectious agents known
   b. the largest infectious agents known
   c. 100 times smaller than viruses to almost as large as mitochondria and bacteria
   d. the cause of certain diseases
   e. a, c, and d

10. Prions __________.
    a. have been found to contain nucleic acids
    b. have not been found to contain nucleic acids
    c. are viruses
    d. are not viruses
    e. b and d

11. Recent work has shown that prions may be __________.
    a. proteins produced somewhat abnormally by “infected” genes that somehow go awry
    b. bacteria
    c. viruses
    d. protists
    e. mitochondria
Answers

1. e 4. a 7. e 10. e
2. d 5. d 8. d 11. a

Questions to Think About

1. Describe viruses. Are they considered living? Support your answer.
2. What might account for the origin of viruses?
3. How do viruses increase in number? Describe the different mechanisms.
4. What are five diseases affecting humans that are caused by viruses?
5. Describe similarities and differences between viruses and subviruses.
Unlike viruses and subviruses, which are not cellular, the members of the kingdom Monera, including bacteria and blue-green bacteria (sometimes called cyanobacteria, or blue-green algae), are composed of true cells. Monerans are all prokaryotic; that is, their cells lack most organelles, they do not have a membrane-bound nucleus, and most occur as single-celled organisms (see Figures 15.1 and 15.2).

Of the 15,000 described species, many exist as a series of cells occurring in long filaments or as more complex colonies. Scientists are discovering bacteria that form complex communities, hunt prey in groups, and secrete chemical trails for the directed movement of thousands of individual bacterial cells.

In comparison to most single-celled eukaryotes, individual bacterial cells are smaller and far more abundant, representing a remarkably important component of nearly all ecosystems. Without bacteria, life on earth could not exist as we know it. Bacteria represent some of the most important groups of decomposers; without them, dead organisms would not decay properly. Many nutrients would remain locked up in corpses forever. Geochemical recycling of the earth’s nitrogen, carbon, and sulfur, which are critical to life, would not occur without bacteria. Chemicals such as nitrates,
which certain plants use for protein synthesis, are produced by some species of bacteria. Certain bacteria are heterotrophic; that is, they procure their food by feeding on organic material formed by other organisms. Other species of bacteria are photosynthetic, capable of synthesizing their organic molecules from inorganic components, using the energy from the sun. One group of bacteria, the mycoplasmas, are the smallest known cells that grow and reproduce without needing a living host. Their diameters range from 0.12 to 0.25 micrometers.

Probably because of the small size of most types of bacteria, their rapid rate of cell division, their remarkable metabolic versatility, and their ability to live practically anywhere, they are the most numerous organisms on earth. Under optimal conditions, a population can double in size every 20 or 30 minutes. Species of bacteria are found thriving on icebergs, in hot springs, at the bottom of the oceans, in fresh water, on land, in the soil, and even in aviation fuel.

Although most bacteria use oxygen in their metabolic processes, there are many species that use alternative pathways, surviving perfectly well without any oxygen. Some species have the ability to form spores, which are inactive, thick-walled forms that survive for long periods without water or nutrients in what otherwise would be unfavorable conditions.
Figure 15.2. (a) Composite bacterium; (b) an electron micrograph of a bacterium with many flagella.
Bacteria were first discovered in 1676. In the nineteenth century, Louis Pasteur studied viruses as far as was possible without the aid of the subsequently developed electron microscope or advanced biochemical techniques, which enabled later researchers to study these small organisms in considerably more detail.

Being prokaryotes, bacteria have cells that differ from eukaryotes in the following ways.

1. **Cell walls.** Prokaryotic **cell walls** are composed of a polymer of glucose derivatives attached to amino acids. This substance is termed a **muco-complex.** Some bacteria have an additional outer layer of a polymer composed of lipid and sugar monomers, which is termed **lipopolysaccharide.** Many bacteria can secrete polysaccharides that allow them to stick to things. Cell walls of **cyanobacteria** (blue-green bacteria) tend to be covered with gelatinous material.

2. **Plasma membrane.** Inside the cell wall of some bacteria is a **plasma membrane** that coils and loops, creating a unique structure known as the **mesosome,** which may be important in cell division.

3. **Other internal membranous structures.** Some prokaryotes have internal membranous structures containing photosynthetic pigments and related enzymes. Of the aerobic bacteria, those that use molecular oxygen for cellular respiration, some have internal membrane systems containing respiratory enzymes.

4. **Ribosomes.** The only organelles that consistently occur in prokaryotes are **ribosomes,** on which messenger RNA (mRNA) is found. The mRNA carries instructions from the genes to the ribosomes, where protein synthesis occurs. Prokaryotic ribosomes are smaller than those found in eukaryotic cells.

5. **Flagella.** Some bacteria are flagellated, meaning they have whiplike appendages, extending singly or in tufts, that propel the cells. The **flagella** of higher organisms consist of a hollow cylinder containing nine pairs of fibrils surrounding two central fibrils. A bacterial flagellum consists of a single fibril of contractile protein.

**Prokaryotic DNA**

**Prokaryotic DNA** (deoxyribonucleic acid) differs from **eukaryotic DNA** in that it is associated with different proteins. It also differs from eukaryotic DNA in that it is not paired, but is circular. Circular DNA molecules consist of only about one-thousandth the DNA found in eukaryotic cells.
Reproduction

Most bacterial cells reproduce by the simple cell division, **binary fission**. Neither mitosis nor meiosis ever occurs in prokaryotic cells; however, some prokaryotes have a sexual process that transfers material between cells. Occasionally these bacterial cells will transfer DNA to another cell, after which some of the new DNA will replace the recipient’s DNA. To date, nothing analogous to a sexual system has been observed in any of the cyanobacteria.

There are three methods by which genetic material may be transferred between bacteria.

1. **Transformation**. One bacterial cell breaks; its DNA can be taken up by another bacterial cell.
2. **Conjugation**. Two bacterial cells come together and are joined by a protein bridge, a **pilus**, through which DNA fragments pass from cell to cell.
3. **Transduction**. A bacteria-attacking virus, known as a **bacterial virus**, or **bacteriophage**, carries bacterial DNA from one bacterial cell to another.

Each of the three methods can result in the transfer of DNA fragments from one bacterial cell to another. During the transfer, sometimes homologous DNA fragments, those containing the same type of genetic information, are substituted in the recipient’s circular DNA without a net increase or decrease in the total amount of circular DNA.

It is not certain how important **genetic recombination** is for prokaryotic evolution. However, despite the fact that **mutations** (inheritable changes in the organism’s genetic material) occur infrequently, prokaryotes do have a high degree of genetic variability and therefore evolve quickly. When it exists, their rapid rate of evolution is usually attributed to their great numbers and their incredible reproductive rate, as well as to mutations and genetic recombinations. Knowledge of such DNA recombination led to research using viruses that transmit DNA fragments to other types of organisms. This research led to human gene therapy.

Spores

Many prokaryotes are also capable of producing a dormant stage known as a **spore**. Unlike the spores of other organisms, this is not a reproductive unit. Rather, bacterial spores function wholly as units that contain stored food and
are highly resistant to desiccation as well as to extremely hot and cold temperatures. Bacterial spores have been shown to survive temperatures as cold as $-252^\circ C (-421^\circ F)$, and some may be able to live for thousands of years. When conditions become favorable, the bacterial spore germinates into a new cell.

**Heterotrophic Bacteria**

Most bacteria selectively absorb organic molecules through the cell wall, rather than manufacturing all their organic nutrients internally, or autotrophically. These **heterotrophic bacteria**, along with fungi, are important decomposers because they secrete enzymes that digest large organic molecules into smaller molecules that can then be absorbed.

Species of bacteria have been found thriving in just about every habitat, including inside all animals. Many of these bacterial species do no harm, but some do cause disease. Others, bacterial **symbionts**, are vital to their hosts; some of these live in the gut of their hosts, digesting materials otherwise difficult to digest. In the case of termites, for example, their symbiotic bacteria digest cellulose into smaller molecular constituents that are then absorbed by the cells in the termite’s gut. Both the bacteria and the termites benefit from such a relationship.

Bacteria that live inside cells are known as **endosymbionts**. Some researchers say that the distinction between many cellular organelles and their intracellular symbionts may be a function of when the association first took place and the degree to which the different elements have become interdependent.

A **pathogen** is any infecting agent, such as a virus, microorganism, or other substance. Some bacteria are pathogenic, or capable of causing disease. Some do so by destroying cells and others by producing **toxins**, chemicals that can harm the host. **Antibiotics**, substances produced by some bacteria and fungi, arrest the growth of or destroy the agents of specific infectious diseases. Some antibiotics have been particularly effective in controlling diseases caused by specific species of bacteria. However, since antibiotics were first discovered during World War II, rapid bacterial evolution has favored resistant strains, as in the case of certain strains of sexually transmitted diseases that can no longer be controlled with the antibiotics that previously were effective.

Some bacteria have an **episome**, which is a DNA segment not attached to the circular DNA. The episome can be transmitted from one individual to another of the same or even different species. Sometimes the genes involved
in drug resistance are located on the episome and are capable of being rapidly transmitted throughout the bacterial population within a relatively brief period after a new drug reaches the market. Some of the most widely known bacterial diseases are syphilis, gonorrhea, botulism, bubonic plague, diphtheria, and tetanus.

Without going into all the different categories of bacteria, it should be said that many bacteriologists classify them into two major subdivisions: the Archaebacteria and the Eubacteria. The Archaebacteria are thought by some to represent the oldest group of organisms still living. They are distinctive with regard to their biochemical characteristics. Their membranes have an unusual lipid composition, their transfer RNAs (tRNA) and RNA polymerases are distinctive, and their cell walls do not contain peptidoglycan, which is found in all the Eubacteria. The two major groups of Archaebacteria are the Methanogens and the Thermoacidophiles.

The Eubacteria represent a large assemblage of species that reproduce by binary fission, the process whereby one cell divides asexually into two daughter cells. Eubacteria are often described in terms of their shape. Those that are rod-shaped are called bacilli; spherical Eubacteria are known as cocci; and spiral Eubacteria are spirilla. Some bacteria are gram-negative bacteria and others, gram-positive; these terms merely describe whether the bacteria in question retain a violet dye used in Gram’s staining technique.

There are many other major bacterial groups, including the cyanobacteria (blue-green bacteria), as well as the purple, brown, and green sulfur bacteria, sometimes called the pseudomonadales, spirochaetes, actinomycetes, rickettsias, and mycoplasmas.

**Chemosynthetic Bacteria**

Chemosynthesis is the process by which chemical changes and chemical reactions create new organic compounds. Bacteria are remarkably diverse with regard to their metabolic pathways. Some are aerobic. Others do not require molecular oxygen in their breakdown of food to release energy; these forms are termed anaerobic. Most cyanobacteria have elaborate internal membranes containing photosynthetic pigments that synthesize organic compounds from inorganic materials using light energy. Fossils closely resembling living cyanobacteria have been found that indicate oxygen-producing photosynthesis existed more than 3.3 billion years ago.

Other types of bacteria have the capacity to synthesize high-energy compounds from inorganic materials without needing any light energy. These
bacteria trap the energy released when oxidizing inorganic compounds. This form of autotrophic nutrition, where organic nutrients are manufactured from inorganic raw materials, involves the oxidation of various nitrogen and sulfur compounds. Even iron and molecular hydrogen are involved in certain chemosynthetic pathways. A few of the more common reactions are discussed below.

Energy is released when ammonia ($\text{NH}_4^+$) is oxidized into nitrite ($\text{NO}_2^-$) by adding oxygen.

$$2\text{NH}_4^+ + 3\text{O}_2 \rightarrow 2\text{NO}_2^- + 2\text{H}_2\text{O} + 4\text{H}^+ + \text{energy}$$

An alternative chemosynthetic process, employed by other bacteria, creates energy through oxidation when oxygen is added to nitrite ($\text{NO}_2^-$), synthesizing nitrate ($\text{NO}_3^-$).

$$2\text{NO}_2^- + \text{O}_2 \rightarrow 2\text{NO}_3^- + \text{energy}$$

Another such process oxidizes sulfur (S) to sulfate ($\text{SO}_4^{2-}$).

$$2\text{S} + 3\text{O}_2 + 2\text{H}_2\text{O} \rightarrow 2\text{SO}_4^{2-} + 4\text{H}^+ + \text{energy}$$

**Nitrogen Fixation**

Nitrogen is an important element in many molecules and in many chemical reactions. Although 78 percent of the atmosphere is gaseous nitrogen ($\text{N}_2$), it occurs in a very unreactive form. Before nitrogen becomes useful to any organisms, $\text{N}_2$ must first be broken into two atoms. This is done by organisms called **nitrogen fixers**, most of which are prokaryotic; many live in close association with certain plants. Some of these bacteria live in the root nodules of such plants as the legumes. Chemosynthesis of carbohydrates occurs in nitrite bacteria that oxidize ammonia, and in nitrate bacteria that convert nitrous acid.
Multiple-Choice Questions

1. Genetic material may be transferred between bacteria by __________.
   a. transformation  
   b. conjugation  
   c. transduction  
   d. none of the above  
   e. all of the above

2. Inside the prokaryotic cell wall is a plasma membrane, which in some bacteria coils and loops, creating a unique structure known as the __________, which may be important in cell division.
   a. aster  
   b. centromere  
   c. centriole  
   d. mesosome  
   e. ribosome
3. The only organelles consistently found in prokaryotes are _________.
   a. ribosomes  
   b. autosomes  
   c. nuclei  
   d. chloroplasts  
   e. coenocytes

4. Many prokaryotes are capable of producing a dormant stage, known as a(n) ________, that contains stored food and is highly resistant to desiccation as well as to hot and cold temperatures.
   a. seed  
   b. cyst  
   c. pollen granule  
   d. spore  
   e. egg

5. ________ are substances derived from either fungi or bacteria that arrest the growth of or destroy the agents of specific infectious diseases.
   a. antibiotics  
   b. enzymes  
   c. lysosomes  
   d. fungicides  
   e. all of the above

6. Some bacteria have a(n) ________, which is a DNA segment that is not attached to the circular DNA.
   a. mesosome  
   b. ribosome  
   c. episome  
   d. lysosome  
   e. chromosome

7. The process where one cell divides asexually into two daughter cells is known as _________.
   a. mitosis  
   b. meiosis  
   c. transformation  
   d. transduction  
   e. binary fission

8. Spherical Eubacteria are known as _________.
   a. episomes  
   b. centromeres  
   c. bacilli  
   d. cocci  
   e. spirilla

Answers

1. e  
2. d  
3. a  
4. d  
5. a  
6. c  
7. e  
8. d
Questions to Think About

1. Give several examples of Monera, and describe the characteristics that all Monera share.

2. What role do bacteria play in most ecosystems?

3. Describe different modes of bacterial reproduction.

4. How do heterotrophic and chemosynthetic bacteria differ?

5. What is nitrogen fixation, why is it important, and how does it occur?
Protista constitutes a diverse kingdom containing thousands of species of single-celled organisms. Because many questions still persist concerning the ancestry of these organisms, deciphering which organisms should be classified in this kingdom is often a more arbitrary decision than most biologists would like. Because Protista is presented in the majority of biology texts as one of the five kingdoms, that’s how the organisms are presented here.

Because multicellularity evolved many times, many multicellular organisms are more closely related to their ancestral unicellular lineages than they are to other multicellular organisms. This accounts for the reason that some members of the plant kingdom (such as the large multicellular algae) are sometimes considered to be multicellular protists. Because certain members of the fungal kingdom (such as slime molds) are sometimes considered closer to the protistal lineage than to that of the fungi, they therefore are placed in the former. And in some classifications certain single-celled, heterotrophic protists are grouped with the animal kingdom. There are even respectable classifications in which all the major groups considered to be protists are placed in other kingdoms, and Protista is entirely dispensed with. The kingdom Protista as presented here, however, reflects the most widely accepted classification found in the majority of biology texts.
Discrepancies between different classifications are partially attributable to the way protists are defined. Rather than being grouped together by their shared characteristics, they are grouped by exclusion. That is, in addition to usually being unicellular, all protists are eukaryotes, so they are not included among the phylum Monera; since none develop from an embryo, they are not included among the phylum Plantae; since most do not develop from spores, they are not included among the phylum Fungi; and since none develop from a blastula, they are not included among the phylum Animalia. The organisms that remain tend to be those placed in this kingdom, Protista.

This kingdom includes the most simple, and often the most primitive, eukaryotic microorganisms and all their immediate descendants. Each protist cell has a nucleus and all the other eukaryotic properties. Members of this kingdom vary considerably in structure and physiology, ranging from heterotrophs (usually free-living, although there are parasitic forms) to photosynthetic autotrophs.

Protists appear to have evolved from a moneran type of ancestor. Protists possess specialized features, such as endoplasmic reticulum, Golgi bodies, centrioles, chloroplasts, and mitochondria, as well as different kinds of vacuoles, granules, and fibrils. In addition, the average unicellular protist is considerably larger than the average moneran, and its cell division has become distinct from moneran cell division, having evolved mitotic and meiotic cell division.

It is theorized that the primitive protists were both plantlike and animal-like, having the capacity to obtain food by different mechanisms, as well as being able to photosynthesize additional food internally. There is considerable evidence that symbiotic relationships with prokaryotes living inside some early eukaryotes led to the development of chloroplasts and mitochondria. These organelles are contained in protists, as well as in many other more advanced eukaryotes.

Protista consists of several widely divergent phyla. Some of the unicellular, nonphotosynthetic protists are grouped as the Protozoa. These are subdivided into four classes: the Mastigophora (flagellates), the Sarcodina (amoebas), the Ciliophora (ciliates), and the Sporozoa (spore formers). The first three classes are identified according to their locomotor structures; however, sporozoans have no locomotor organelles, and instead they are characterized by their spores. To date, about 50,000 species of protozoans have been described.

Together, there are several other phyla that are often called true algae. They include about 25,000 described species, some of which belong to evolutionary lineages that were already well developed more than 450 million
years ago. Nearly all the members of these phyla are photosynthetic. They include forms that occur as single cells, as filaments of cells, as plates or in planes of cells, or as a solid body. They range in size from unicellular microscopic organisms to giant multicellular forms such as the kelps, which often reach lengths exceeding 50 meters (150 feet). A brief description of these photosynthetic groups is presented below.

There are three phyla of unicellular algae. **Euglenophyta (euglenoids)** live in fresh water, move by means of one to three flagella per cell, and have no cell wall. **Chrysophyta** usually include the **yellow-brown algae, yellow-green algae**, and the **diatoms**. They are mostly marine and contain pectic compounds, with siliceous materials providing the cell wall components. **Pyrrophyta (dinoflagellates)** live in marine environments, in fresh water, and in moist soil. They are characterized by having two flagella that beat in different planes, causing the organisms to spin. They often have distinctive, if not bizarrely shaped, cellulose walls. Like diatoms, the dinoflagellates are major components of the phytoplankton; they are aquatic, free-floating, photosynthetic, and usually microscopic.

There is another group of algae, sometimes called the true algae, discussed in chapter 18. The classification by Margulis and Schwartz groups the true algae with the protists, along with the water molds, slime molds, and slime nets, forming a kingdom they call **Protoctista**.
2. All protists ____________.
   a. photosynthesize
d. all of the above
   b. are heterotrophs
e. none of the above
   c. have tissue differentiation

3. ____________ are protists.
   a. blue-green bacteria
d. euglenoids
   b. bacteria
e. lycopsids
   c. bryophytes

4. Unlike monerans, protists possess ____________.
   a. endoplasmic reticulum
d. chloroplasts
   b. mitochondria
e. all of the above
   c. Golgi bodies

5. The nonphotosynthetic protists are known as ____________.
   a. thallophytes
d. protozoa
   b. bryophytes
e. Chrysophyta
   c. chlorophytes

6. All of the following are protozoans, except for ____________.
   a. Sarcodina (amoebas)
d. Sporozoa
   b. Ciliophora (ciliates)
   c. Mastigophora (flagellates)
   e. Tracheophyta

7. Which of the following is prokaryotic?
   a. dinoflagellates
d. red algae
   b. blue-green bacteria
e. diatoms
   c. brown algae

Answers
1. e 3. d 5. d 7. b
2. e 4. e 6. e

Questions to Think About
1. What are protists and what kind of organisms are they believed to have evolved from?

2. Describe the three phyla of unicellular algae.

3. According to Margulis and Schwartz, the kingdom called Protoctista includes which organisms?
There is some evidence that the organisms classified as fungi arose from protists along several different evolutionary lines. In fact, depending on the classification, fungi are sometimes placed within the kingdom Protista, within the kingdom Plantae, or in their own kingdom, **Fungi**.

Fungi are eukaryotic organisms; most exist in multicellular form, although some go through an amoebalike stage, and others, such as yeast, exist in a unicellular form. Unlike the photosynthetic algae and plants, fungi do not photosynthesize but absorb food through their cell walls and plasma membranes.

The **slime molds** are different from most other fungi in that they are mobile during part of their life history. Some slime molds exist as a **plasmodium**, which is a **multinuclear (coenocytic)** mass of cytoplasm lacking cell walls. The plasmodium moves about and feeds in an amoeboid manner. The amoeboid mass is a slime mold’s diploid phase.

Other slime molds have separate feeding amoebas that occasionally congregate into a **pseudoplasmodium** that then sprouts asexual fruiting bodies. Because they pass through an amoebalike stage, slime molds are occasionally classified as protists. Slime molds are usually found growing on such decaying organic matter as rotting logs, leaf litter, or damp soil, where
these viscous, glistening masses of slime are usually white or creamy in appearance, though some are yellow or red.

During its vegetative phase, the slime mold plasmodium moves about slowly, phagocytically feeding on organic material. Under certain conditions, the plasmodium stops moving and grows **fruiting bodies**, from which **spores** are released that upon germination produce **flagellated gametes**. The gametes fuse, forming zygotes that lose their flagella and become amoeboïd. The diploid nucleus continually undergoes mitotic divisions without any cytokinesis, and the organism develops into a multinuclear plasmodium that usually reaches a total length of five to eight centimeters (2 to 3.15 inches).

Most fungi secrete digestive enzymes that hydrolyze nearby organic matter into minerals and compounds that can then be absorbed. Chemicals that don’t get absorbed, as well as the fungal waste products, enrich the surrounding area and become available to plants and other nearby organisms.

Fungi obtain their nutrition in any of three ways, or in any combination of these three ways: as **saprophytes**, living on dead organic matter; as **parasites**, attacking living plants or animals; and in **mycorrhizal associations**, in which they have a symbiotic relationship with plants, usually tree or shrubs.

Fungal spores are tiny haploid cells that float through the air, dispersing the fungi to new habitats. They are relatively resistant to high and low temperatures as well as to desiccation, and can survive long periods in an unsuitable habitat. When conditions become right, however, the spores germinate and grow. They absorb food through long, threadlike **hyphae**. The mass of branching hyphae creates the body of the fungus, called the **mycelium**. Mycelia grow, spreading throughout their food source. Some hyphae are coenocytic, having many nuclei within the cytoplasm. Others are divided by **septa** into compartments containing one or more nuclei. The rigid cell walls of the hyphae and fruiting bodies are composed of cellulose, or other polysaccharides, although some are composed of chitin.

The mycelium constitutes the largest part of the fungal body, yet few people ever see mycelia because they are usually hidden within the source of food they are eating. Sometimes, however, they can be seen on the forest floor spreading over moist logs and dead leaves. When mycelia break into fragments, fungi can reproduce vegetatively. Each fragment may grow into a new individual fungus. Other methods of fungal reproduction involve the production of spores, which can be formed asexually or sexually. The spores are usually produced on structures that extend above the
food source, where they can be blown away and travel to new environments. Slime molds send up spore-bearing fruiting bodies. The mycelia of mildew send up aerial hyphae that form spores. The fruiting bodies that most people are familiar with, those associated with such fungi as mushrooms, are huge compared to the tiny fruiting bodies that cover moldy bread and cheese.

Most fungi are either parasitic or symbiotic. Parasitism occurs when one individual benefits while the other is harmed, and symbiosis is a mutually beneficial relationship between two individuals. By far the majority of fungal species are terrestrial and reproduce both sexually and asexually. Many have mycelia that grow in a close, intimate manner with plant roots. In such a relationship, the plant benefits by receiving nitrogen and phosphorus, while the fungus benefits by receiving nutritious carbohydrates. The remainder of this chapter discusses some of the major groups of fungi.

Oomycota: Water Molds

Oomycota, which means egg fungi, is a phylum in the Fungi kingdom that includes the water molds, downy mildews, and their relatives. The powdery mildew found growing on Concord grapes is also a member of this group. There are more than 500 species of these filamentous molds that absorb their food from surrounding water or soil. Oocymota also includes molds that grow on dead animals under water.

Zygomycota

Zygomycota represent a group of fungi that, like the Oomycetes, have coenocytic hyphae. They also have chitinous cell walls. Although there are hundreds of species in this group, few people recognize any of them.

Ascomycota: Sac Fungi

The Ascomycota, or sac fungi, form another group of fungi that is widespread although just a few species are familiar. Among this group’s 30,000 or so species are the yeasts, certain bread molds, and the fungi that produce penicillin, as well as the species involved in making Roquefort and Camembert cheeses.
The yeasts are unicellular, but the Ascomycetes also include many multicellular types that form hyphae with perforated septa, allowing the cytoplasm and organelles such as ribosomes, mitochondria, and nuclei to flow from one cell to another (see Figure 17.1).

Asexual reproduction is common among the Ascomycetes. It occurs whenever the projections known as conidia form and the asexual conidiospores pinch off. The sexual part of the life cycle involves two hyphae growing together so that the two nuclei become housed within the same cell. When these cells, called dikaryons, develop into the fruiting bodies known as asci, which are characteristic of the Ascomycetes, the two nuclei fuse inside each ascus (singular of asci). This is the process of fertilization. Then the diploid nucleus undergoes meiosis, forming four haploid nuclei. These undergo mitosis, forming eight haploid nuclei that become the ascospores. When the ascus ruptures, the ascospores are liberated.

**Basidiomycota: Club Fungi**

The Basidiomycota, or club fungi, include most of the common mushrooms. Their fruiting bodies are known as mushrooms (see Figure 17.2), basidia, or clubs; they are formed when two hyphae fuse. This is fertilization. A diploid nucleus is formed that undergoes meiosis, forming four haploid nuclei that move along thin extensions created by outgrowths of the cell walls. These nuclei are pushed to the edge of the club, where these basidiospores (spores) easily break off from their delicate stalks and are carried away by the slightest breeze. If they land in a suitable location, the spores...
germinate and grow hyphae, which form a mycelium that eventually sends up more fruiting bodies (see Figure 17.3).

**Imperfect Fungi**

The **imperfect fungi** represent about 25,000 fungal species for which sexual reproduction has either been lost or has yet to be observed. Without information about their sexual stages, it has not been possible to identify the characteristic structures that would help specialists classify them appropriately. Accordingly, they have all been lumped together and called imperfect. Members of this group are responsible for ringworm and athlete’s foot; both are fungi that infect people without ever sprouting fruiting bodies.

**Mycorrhizae**

Mycorrhizal associations occur when the hyphae of a fungus grow around, between, and sometimes even into living plant root cells. Such associations
Figure 17.3. Growth of a common poisonous mushroom (Amanita).
have been found to occur in at least 90 percent of all the different plant families. Eighty percent of all the angiosperms (flowering plants) may have such associations. These relationships are symbiotic.

Plants benefit because the mycorrhizae mobilize nutrients by secreting enzymes that help to decompose the litter in the soil. And then, by acting as root hairs, they help to absorb the nutrients, especially nitrogen and phosphorus, by moving these nutrients from the soil into the root tissue. Mycorrhizae also secrete antibiotics that help reduce the plant’s susceptibility to infection by pathogens. The mycorrhizae benefit by absorbing the chemicals and carbohydrates that constantly leak through the roots.

Many of the mushrooms seen under trees and shrubs are the fruiting bodies of the fungi that have a mycorrhizal relationship with the roots of the neighboring plants. One often sees certain species of mushrooms associated with certain species of plants because mycorrhizal relationships are quite specific.

**Lichens**

Lichens are symbiotic combinations of organisms living together intimately. The species involved are always a fungus and either a chlorophyte (green algae) or a cyanobacteria (blue-green bacteria). The fungi are always either members of Ascomycetes or Basidiomycetes. Although the fungi involved in lichens are usually not found growing alone, the photosynthetic portion of the lichens sometimes does live on its own. It is clear that the fungus living in a lichen benefits from the organic compounds obtained from the photosynthesizing member of the association. The algae may obtain water and minerals from the fungus, but this part of the interaction isn’t well understood.

Because lichens are so tolerant of drought, heat, and cold temperatures, they are often the most important autotrophs found on recent lava flows, as well as on the stones used to construct buildings and gravestones. Lichens are also associated with dry, exposed soils, such as those in some deserts, and they also commonly occur in cold, exposed regions.

Most lichens reproduce either by fragmentation, when pieces break off and are blown elsewhere, or by spores produced by the fungal part of the lichen. The spores are blown or washed elsewhere, where they may grow and come in contact with an appropriate algal species. This marks the beginning of another lichen.
KEY TERMS

asci
Ascomycota
ascospores
basidia
Basidiomycota
basidiospores
club fungi
clubs
coenocytic
conidia
conidiospores
dikaryons
flagellated gametes
fragmentation
fruiting bodies
Fungi
hyphae
imperfect fungi
lichens
multinuclear
mushrooms
mycelium
mycorrhizal associations
Oomycota
parasites
plasmodium
pseudoplasmodium
sac fungi
saprophytes
septa
slime molds
spores
water molds
Zygomycota

SELF-TEST

Multiple-Choice Questions

1. Slime molds have a diploid, coenocytic, amoeboid mass that is known as a __________.
   a. true fungus  
   b. mushroom  
   c. ascus  
   d. plasmodium  
   e. water mold

2. Fungi are never __________.
   a. unicellular  
   b. multicellular  
   c. eukaryotic  
   d. prokaryotic  
   e. heterotrophic
3. Fungi ____________.
   a. photosynthesize
   b. absorb food through their hyphae
   c. have leaves
   d. have true roots
   e. contain chloroplasts

4. Together, the mass of branching hyphae create the body of the fungus, called a _________.
   a. plasmodium
   b. mycelium
   c. coenocyte
   d. slime mold
   e. lichen

5. Some hyphae are coenocytic, having many nuclei within the cytoplasm, and others are divided by _________ into compartments containing one or more nuclei.
   a. lichens
   b. mycelia
   c. cyanobacteria
   d. septa
   e. none of the above

6. Slime molds send up _________.
   a. mushrooms
   b. spore-bearing fruiting bodies
   c. seed bearing structures
   d. leafy parts
   e. none of the above

7. Many true fungi have mycelia that grow in a close, intimate manner with plant roots, where the plants benefit by receiving ________ and _________ while the fungus benefits by receiving nutritious _________.
   a. carbohydrates, nitrogen, phosphorus
   b. nitrogen, carbohydrates, phosphorus
   c. nitrogen, phosphorus, carbohydrates
   d. all of the above
   e. none of the above

8. Lichens involve the close association of a ___________ and a ___________.
   a. fungus, chlorophyte
   b. fungus, green algae
   c. cyanobacteria, fungus
   d. blue-green bacteria, fungus
   e. all of the above

9. When the hyphae of a fungus grow around, sometimes in between, and even within living plant root cells, the association is _________.
   a. mycorrhizal
   b. beneficial to the hyphae
   c. beneficial to the plant
   d. all of the above
   e. none of the above
Answers

1. d  
2. d  
3. b  
4. b  
5. d  
6. b  
7. c  
8. e
9. d

Questions to Think About

1. Define the shared characteristics of organisms in the kingdom Fungi, and contrast this kingdom with the others.

2. List four groups of fungi. Explain their differences and yet the similarities that make them fungi rather than members of another kingdom.

3. What are lichens? Are they fungi? Why?

4. What are mycorrhizal associations? Who benefits from them? How and why?

5. Are all fungi always multicellular? If not, when aren't they? Give specific examples.

6. What part does a mushroom play in the life history of which fungi?

7. Athlete's foot is caused by a fungus, yet one never sees any fruiting bodies. Why?
In most classification systems the plant kingdom Plantae includes several groups of simple photosynthetic organisms, sometimes known as eukaryotic algae, a reference to when people still grouped the prokaryotic blue-green bacteria with the algae. Euglenophyta, Chrysophyta, and Pyrrophyta, three phyla of unicellular eukaryotic algae often called plants, were described in chapter 16. The term “true algae” is no longer a technical phrase since there are so many algal groups that are not directly related to one another. Nevertheless, the common terminology is presented in conjunction with the formal classification because it continues to be used in most texts. Of the following eukaryotic algae, Chlorophyta (green algae) are thought to be the ancestors of most modern plants because they contain the photosynthetic pigments chlorophyll $a$ and $b$, as well as beta-carotene; they store their food reserves as starch; and their cell walls are composed of cellulose. They are mostly freshwater organisms, though some are marine.

Phaeophyta (brown algae) are almost all marine and are common in cooler oceanic regions. Rhodophyta (red algae) are mostly warm-water, marine species, though some are freshwater. Most of the common species of seaweed are members of the brown and red algal groups.
Each of the three preceding phyla vary according to: (1) types of photosynthetic they contain, (2) type of food reserves stored internally, and (3) components found in their cell walls.

These algae are not included in the plant kingdom merely because they photosynthesize, since many protists and monerans also have that capacity. Nor is tissue differentiation always a key factor in determining where to draw the line. Multicellular algae have no true roots, stems, or leaves. Their simple body form is termed a thallus, which is why they are sometimes called thallophytes. Many biologists call algae plants, but only lower plants.

The reason such matters are complex is that these organisms represent many different lineages and many different steps in a continuum connecting the most primitive forms of life, such as bacteria, to the most complex multicellular organisms. It isn’t always clear just where one should draw the somewhat arbitrary lines that artificially separate each of the five described kingdoms—Monera, Protista, Fungi, Plantae, and Animalia.

A reason the algae have been kept from the plant kingdom in some classifications is their reproductive structures. Higher plants have reproductive structures encased inside a protective wall of sterile cells that protect the developing zygotes before they are released from the female reproductive organs, where they were produced. Algae lack a protective wall of nondividing (sterile) cells, and their zygotes do not develop into embryos until after having been released from the female reproductive organs.

When lower plants and higher plants are placed in separate kingdoms, then the higher plants are sometimes called the Embryophyta since the female reproductive organs retain the zygotes until after they have developed into embryos. In addition to the lower plants, the major groupings in the plant kingdom include the Bryophyta (mosses, liverworts, and hornworts) and the Tracheophyta, which include all the vascular plants (psilopsids, club mosses, horsetails, and ferns) and seed plants (gymnosperms and angiosperms). However, the name Tracheophyta, which means tube plant, has since been eliminated from the classification.

Move to Land

True algae are mostly restricted to aquatic environments. The move to land was accompanied by many adaptations to what would otherwise have been a hostile environment. Out of water, plants were met with dry conditions, ultraviolet light, and nutritional problems. In addition, in the air these plants
no longer benefited from the surrounding water’s buoyancy, so some structural support became necessary.

Terrestrial plants had to obtain water by a new means, since they were no longer bathed in it. The water had to be both procured and then moved from its point of uptake to the other structures. In addition, the photosynthetic products had to be transported from their specialized photosynthetic structures to the other parts of the plant. Vascular tissues evolved that solved all these problems.

Excessive water loss from evaporation had to be curtailed, while the moist tissues necessary to allow gaseous exchange for metabolic and photosynthetic purposes had to be maintained. This led to highly evolved mechanisms that controlled overall water loss, while enabling structures to remain moist.

Reproductive needs also had to be modified in terrestrial environments, where flagellated sperm cells no longer had the surrounding water in which to swim. And special structures evolved that protected the early stages of embryonic development from desiccation.

The modifications that differentiate many terrestrial plants from their aquatic counterparts are understood only in terms of the factors affecting plants living in terrestrial versus aquatic environments. The following mechanisms have helped make it possible for embryophyte plants to inhabit terrestrial habitats:

1. A waxy cuticle usually covers the aerial parts of the embryophyte plants, acting as waterproofing and preventing excessive water loss.
2. All embryophytes are oogamous. That is, they have two types of gametes, one of which, the female, is typically the large, nonmotile egg cell, the oogamete.
3. All embryophytes have multicellular sex organs covered with a layer of protective cells that are sterile. The male sex organs are known as antheridia, and the female are archegonia. Within the sex organs the gametes are protected from desiccation.
4. All embryophytes have egg cells (oogametes) that are fertilized within the archegonia.
5. While inside the archegonium, the zygotes develop into multicellular diploid embryos that obtain some of their water and nutrients from the parent plant.
6. In addition to producing gametes, embryophytes produce spores, reproductive cells that develop directly into full-grown plants without first having to undergo fertilization by joining with another cell. The
embryophyte sporangia produce spores that are covered with a protective jacket of sterile cells.

**Bryophyta: Mosses, Liverworts, and Hornworts**

The bryophytes represent about 25,000 species of mosses, liverworts, and hornworts. On the basis of any one characteristic it is difficult to distinguish them from the thallophytes, or from what are sometimes referred to as the true algae. In contrast to algae, which tend to be composed of either single cells, or filaments (sheets of cells), which can intertwine to create more complex body structures, bryophytes are rarely filamentous, except during one stage in the life history of the mosses. Instead, bryophytes are composed of cells that form tissues called parenchyma; they are characterized by loosely fitting cells that have thin walls of cellulose. In between the cells are intercellular spaces incorporated within the cellular network.

In bryophytes, the principal photosynthetic pigments are chlorophyll $a$ and $b$. These are biochemically similar to the pigments of the chlorophytes, from which bryophytes probably arose. Their energy reserves are stored in the form of starch, and their cell walls contain cellulose.

Bryophytes are usually terrestrial. But they remain somewhat dependent on their ancestral aquatic environment. This has kept their distribution limited to moist environments or to environments that are moist during a critical period each year. These small plants need water for their flagellated sperm cells to swim from the antheridia to the egg cells in the archegonia. Without any vascular tissue, their ability to move fluids internally across long distances is limited. And since xylem, the vascular tissue in higher plants, is necessary for support, the upper size limit of these plants without such supportive tissue is kept at a minimum.

All the bryophytes have an alternation of generations, with a sporophyte generation (diploid) and a gametophyte generation (haploid). Among the larger, more complex algae, most of which have an alternation of generations, there is some tendency toward a reduction of the gametophyte, multicellular stage, and an emphasis on the sporophyte, multicellular stage. In both the brown and red algae, the sporophyte generation is prominent, as is the case in the vascular plants. This tendency is not apparent in the bryophytes, in which the haploid gametophyte stage is clearly dominant. It is the leafy green gametophytic stage of the bryophytes that produces the gametes. These swim through moisture, present as a film of either rain or dew, from the antheridia to the archegonia. Here the sperm fertilize the eggs, producing zygotes that make diploid sporophytes by mitotic division. The
sporophyte plant is attached to the gametophyte and grows directly from it. The sporophyte produces the sporangia, organs that contain and release the asexual spores. Spores are asexual because unlike gametes, they never meet in a sexual union.

The moss gametophyte generation possesses what appear to be, but aren’t, true roots, leaves, and stems (see Figure 18.1). Bryophytes have no vascular tissue, a critical component in such structures. Rootlike organs in plants without vascular tissues are called rhizoids; they function like roots, by anchoring the plant and absorbing water and nutrients. The stem is “stemlike” and sometimes is referred to as the stalk. The “leafy” parts are sometimes referred to as “leaves” because of a lack of better terminology.

Figure 18.1. Moss, illustrating rhizoids, leafy gametophytes, and attached sporophytes.
Vascular Plants

Some of the earliest known vascular plants had roots that functioned as holdfasts and absorbed water. They also had vascular tissue for water and nutrient movement. This tissue also provided strength and helped hold the plant up in the air. In addition, these early vascular plants had a waxy cuticular layer covering the leaves for water retention. The fossil record indicates there was a trend toward the reduction of the gametophyte generation in favor of the more dominant sporophyte generation, which contained the sporangia. The earliest vascular plants probably produced only one kind of spore from one kind of sporangium, a process called homospory. After germination, these spores developed into gametophytes with antheridia and archegonia. They produced the sperm and eggs, respectively. However, the trend toward heterospory (the production of two different kinds of spores) is also evident in the overall evolution of the vascular plants.

Following the evolution of the aquatic vertebrates, which took place about 500 million years ago, the first vascular plants to colonize the land appear in the fossil record; at about the same time, some arthropods also began colonizing terrestrial habitats.

A significant innovation unique to the vascular plants is the seed, which consists of an embryo and some stored food enclosed within a protective coat. The earliest known fossilized seeds date back 350 million years.

Five major groups of tracheophytes, or vascular plants, are discussed below. To date, more than 260,000 species of vascular plants have been described.

Psilophyta

The most primitive phylum (many botanists prefer to call it a division) of vascular plants is Psilophyta. The psilophytes resemble some of the branching filamentous green algae (Chlorophyta) from which they probably arose. The psilophytes have true stems, with simple vascular tissue, branching from slender rhizomes, which are elongated, underground, horizontal stems; they are not true roots. The rhizomes have unicellular rhizoids, thin, rootlike structures that are similar to root hairs. No true leaves are present, although the aerial stems are green and perform photosynthesis.

Sporangia develop at the ends of the stems and produce haploid spores.
These fall to the ground and give rise to the subterranean gametophytes. The gametophytes bear both archegonia and antheridia. Each gametophyte produces both eggs and sperm. The sperm travel to the eggs, where fertilization occurs. Then the diploid zygote begins developing into a sporophyte.

The psilophytes evolved during the Silurian period and thrived more than 300 million years ago. However, with the exception of three surviving species, the entire group is extinct. Many botanists believe some psilophytes evolved into the ferns.

Lycophyta: Club Mosses

Lycophyta, or the club mosses, also appeared during the Silurian, about 400 million years ago. They were among the largest and most dominant plants during the Devonian and Carboniferous periods. Toward the end of the Permian, they were superseded by more advanced vascular plants. About 1,000 species are still found throughout much of the world, although all are quite small and amount to little more than ground cover.

Club mosses have true leaves, stems, and roots. They may have evolved from algae that penetrated the ground, occasionally sending branches above the surface. Some of their leaves are specialized. Called sporophylls, these leaves have sporangia that bear spores. Many species have club-shaped structures called strobili at the ends of their stems. The strobili, formed from clusters of sporophylls, are the source of the group’s common name. It should be pointed out, however, that the club mosses are not related to the true mosses, or bryophytes.

Some club mosses are heterosporous, having two types of sporangia. The larger spores, known as megaspores, develop into the archegonia-bearing female gametophytes. The other sporangia produce smaller spores, the microspores, which develop into antheridia-bearing male gametophytes.

Sphenophyta: Horsetails

Another group of vascular plants is Sphenophyta, commonly known as the horsetails because that is what they look like. They appeared during the Devonian, around 360 million years ago, and dominated forests during the late Paleozoic era. About 250 million years ago, they began to decline. Today there are some 25 species left, most of which are relatively small.
They all have true roots, stems, and leaves. Living horsetails lack cambium, though many of the larger extinct forms had a **vascular cambium**. This is the layer of cells in the trunk that divides, producing the secondary tissues that allow plants to grow more tissues as they increase in height and weight. As certain plants grow older, it is the vascular cambium that accounts for their increase in width (girth).

The most common group of living horsetails, *Equisetum*, is homosporous; their spores give rise to gametophytes that bear both archegonia and antheridia.

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**Pterophyta: Ferns**

Many botanists feel that the **pterophytes**, or ferns, evolved from the psilophyte stock. Ferns have true roots, stems, and leaves. They first appeared during the Devonian, around 400 million years ago, and became important during the Carboniferous, about 300 million years ago. They then declined, along with the psilophytes, lycophytes, and sphenophytes. However, the decline wasn’t nearly so drastic for the ferns as it was for their contemporaneous vascular plants; today there are about 11,000 fern species living throughout the world.

Most forms are quite small, though one group, the tree ferns, is the exception. They have a woody trunk with leaves (fronds) and sometimes attain heights of nearly 25 m (82 ft). This is possible because ferns have well-developed vascular systems containing **xylem** (water-transporting cells) and **phloem** (sugar-transporting cells). But, unlike the conifers and broad-leaved trees, ferns do not have any secondary growth, so it is not possible for the fern trunk to increase in girth. This creates an upper height limit for most ferns and is the reason why their stems often lie on the ground, with only the leaves growing upward.

The dominant stage in the fern’s life cycle is the leafy diploid sporophyte (see Figure 18.2). The sporangia are often located on the underside of the frond. Those fronds containing sporangia are called sporophylls. The location of the sporangia on each fern depends on the species.

Most ferns have just one type of spore. Under the proper conditions, all the spores develop into gametophytes that bear both archegonia and antheridia. The gametophytes never develop vascular tissue, and they rarely grow very large. Because their free sperm cells are flagellated and require moisture to reach the egg cells inside the archegonia, ferns tend to be restricted to moist environments. When transplanted, however, they have lit-
tle trouble growing in drier environments, although fertilization will not occur. Where fertilization does occur, the zygote develops into the sporophyte without passing through a protected seedlike stage.

Gymnosperms

In some classifications, the remaining vascular plants are placed in the group **Spermopsida**. This contains the gymnosperms and angiosperms. Gymnosperm means naked seed, whereas angiosperm plants have seeds that are enclosed in an ovary. Gymnosperms include cycads, the ginkgoes (just one
living member), conifers, and others. The angiosperms include all the grasses, sedges, rushes, and other flowering plants.

It has been found that most of the groups called gymnosperms aren’t closely related. Thus, there has been a tendency to list each category separately without placing them under the gymnosperm heading. Here, each of the groups that used to be classified as gymnosperms has been moved up to a taxonomic level that, among botanists, is often called the division. However, the term “phylum” is more useful.

A distinctive characteristic of the peculiar gymnosperm phylum known as seed ferns is not that its species don’t produce spores, as true ferns do, but that instead they produce seeds. It is thought that the cycads and angiosperms (flowering plants) evolved from this group.

Another unusual group, the Gnetophytes, includes about 70 desert and montane (living on mountains) species with flowerlike reproductive structures. These plants are heterosporous. The dominant stage in their life cycle is the sporophyte. They are dioecious (have separate male and female plants), produce pollen, and bear small, naked seeds. The Gnetophytes appear to be relatives of the ginkgo and the conifers. Both the seed ferns and the Gnetophytes were important components of Carboniferous forests.

**Cycadophyta**

The earliest fossils of the ancient division of plants called Cycadophyta, or the cycads, date to about 240 million years ago. They reached their climax during the Triassic and Jurassic, about 200 million years ago, and then declined steadily. Now only about 100 species survive.

The unbranched, erect trunk of the cycad bears a crown of leaves that resembles a tree fern or a palm tree, except for its large, upright cones. The tallest cycads reach about 20 m (65 feet), but most are less than 2 m (6.5 feet). Their leaves are pinnately compound (one vein bearing many leaflets). All the cycads are confined to the tropics, except those grown ornamentally.

Each species has separate male and female plants. The males bear staminate cones (with pollen) and the females have seed-bearing, or ovulate, cones. There is one exception; instead of bearing cones, the female cycads in the genus Cycas have seed-bearing megasporophylls that resemble leaves.

Cycad pollen is carried by the wind to the naked ovules. Upon landing, the pollen grain develops a tubular extension called the pollen tube.
This produces flagellated sperm cells that swim a short distance to reach the egg cells.

**Ginkgophyta**

Before most members of the plant division **Ginkgophyta** became extinct, the group that now consists of only the **ginkgo** was extremely diverse. It was an important constituent of the Mesozoic forests around the world, especially during the Jurassic period, about 150 million years ago. The genus **Ginkgo** is thought to extend all the way back to this period, which is why ginkgoes are often called “living fossils.” The group began to decline toward the end of the Mesozoic and continued its decline through the Tertiary until reaching its current situation in which there is just one surviving member, **Ginkgo biloba**. The ginkgo, as it is commonly called, is native to western China, where it has been in cultivation for hundreds of years. During the past hundred years the ginkgo has become a very popular street tree throughout much of the rest of the world.

The fan-shaped leaves are borne on a long stalk (the petiole). The species is dioecious. Male trees have catkinlike **pollen-bearing cones** that, although not very conspicuous, are similar to the male cones found among the conifers. Being heterosporous, the pollen-bearing cones produce microspores that are carried by the air. Some land on the female tree’s mature ovules. The female gametophytes are buried in the ovule, where the small archegonia are formed. The entrance to the ovule is made through a small opening, the **micropyle**. The microspores are drawn into the micropyle. They lie next to the ovule and develop into male gametophytes that grow into the ovule. The male gametophyte releases motile sperm cells, one of which fuses with the egg nucleus to form a zygote, from which the embryo develops. Tissues grow around the embryo and form a true seed with a soft outer fleshy layer.

**Coniferophyta: Conifers**

The phylum **Coniferophyta**, includes plants usually referred to as **conifers**, such as species of pine, spruce, fir, hemlock, cypress, redwood, larch, juniper, and yew, as well as others that aren’t as well known. The conifers include about 50 genera, comprising over 600 species. Their fossil history dates back to the late Carboniferous, some 300 million years ago.
Although the cone is a conspicuous feature distinguishing many members of this group, it does not appear on all conifers. For example, juniper berries are actually small cones with fleshy scales. And yews have seeds surrounded at the base by a fleshy, berrylike pulp. In biological terms, the distinction between cones and more berrylike forms of reproduction is significant in terms of the mode of dispersal used by the conifers involved. The **pinoids** are adapted for wind dispersal of their seeds. The others are adapted to animal dispersal.

Trees are the diploid sporophyte stage of the life cycle. The cones are actually tight clusters of modified leaves known as the sporophylls, which, in the case of the pines, are also known as **cone scales**. Each sporophyll contains two sporangia in which haploid spores are produced through meiosis. These trees are heterosporous. The large female cones contain the sporangia that produce megaspores; the small male cones contain sporangia that produce microspores. All seed plants, both the gymnosperms and angiosperms, are heterosporous.

Each pine scale contains two sporangia; each sporangium has a small opening, the micropyle. Meiosis occurs inside the sporangium, producing four haploid megaspores, three of which disintegrate. The remaining megaspore, through repeated mitotic divisions, becomes the female gametophyte, which, unlike that in the ferns, is considerably reduced in size. It is located within the cone. The gametophyte is not free-living, nor does it contain chlorophyll. Each female gametophyte produces several archegonia in which egg cells develop. Together the entire structure consisting of the integument, the sporangium, and the female gametophyte is called an ovule.

Within the male cones are sporangia that produce microspores. These become **pollen grains**, which develop a thick coating, resistant to desiccation. They have small winglike structures that help them along when carried aloft by the wind. Inside the pollen grains, the haploid nucleus divides mitotically and the pollen grains become four-celled. Two of these cells degenerate. When the sporangia burst, millions of mature pollen grains are released. This is the male gametophyte stage cycle.

Most of the pollen grains that land on the female cones fall between the scales. Some of these land near the opening of the micropyle. When a pollen grain lands touching the end of the sporangium inside the micropyle, it grows a pollen tube. The germinated pollen grain, which is now the pollen tube, grows down through the sporangium and penetrates one of the archegonia of the female gametophyte. There the tube releases its nuclei, which, in this case, are sperm that developed when the cells in the pollen tube were dividing. The sperm fertilize the egg and the result-
Anthophyta/Angiosperms: Flowering Plants

The phylum Anthophyta, more commonly known as the angiosperms, or flowering plants, also called anthophytes, are by far the most successful group of living plants, totaling some 250,000 described species. It is their flowers and fruits that differentiate them from all other plants. The earliest known angiosperm-like plants first appear in the fossil record during the Jurassic, about 150 million years ago. But there is some speculation that angiosperms may have existed as long ago as the Permian, about 250 million years ago. It was not until the Cretaceous, 100 million years ago, that there was a rapid decline in the dominance of the gymnosperms. Then, suddenly, and from that time on, the fossil record reflects the diverse spectrum of angiosperms dominating most flora throughout the world.

Among all angiosperms, the diploid sporophyte, retaining and nourishing the gametophyte, dominates the life cycle. And it is the small gametophyte that retains and nourishes the immature eggs and nonmotile sperm during development. Flowering plants are heterosporous, having two different sized spores, and oogamous, having sperm and eggs. Their zygotes develop into seeds and fruit that are highly evolved for protection and dispersal. One of their most distinguishing characteristics is the unique reproductive system involving flowers. The floral structures coevolved with the plant’s pollinating vectors, which are modes of transferring pollen from one flower to another, such as the wind, insects, and other animals. These vectors appear to have helped angiosperm dispersal into habitats where other plants might not have reached so readily.

Early angiosperms were probably pollinated by the wind. The ovule of modern gymnosperms exudes a sticky substance that traps windborne pollen grains. Similarly, the first angiosperms probably had such a pollinating mechanism.

Some insects, such as certain beetles, may have become dependent on readily accessible sticky, sugary droplets produced by ovules. Insects traveling from one ovule to another may have inadvertently carried pollen with them, conferring a reproductive advantage to some plants, helping to pass on the genes of plants that had larger nectar-secreting organs (nectaries) and other structures that lured insects. Plants with certain scents and
brightly colored flower parts, arranged in ways that signaled potential pollinators, had selective advantages. These are all insects that specialize in nectar consumption. Because certain insects carried pollen from flower to flower, many became vital to their host plants. Both the plants and the insects benefited from this relationship, and they coevolved. By the early Cenozoic, about 65 million years ago, many modern groups of flowers, as well as the bees, wasps, moths, and butterflies that pollinated them, had already evolved.

**Typical Flower**

The typical flower consists of four whorls of modified leaves called **sepals**, **petals**, **stamens**, and **carpels**, all of which are attached to the receptacle. Such flowers are said to be complete (see Figure 18.3). Plants with flowers that lack any of the first four elements have incomplete flowers.

Usually green because they photosynthesize, the sepals enclose the other parts of the flower inside the bud. Together, the sepals constitute the **calyx**. After the sepals are the petals, the next structures attached to the **receptacle**, which is part of the modified stem. Together, all the petals are called the **corolla**. The calyx and the corolla constitute the **perianth**.

Next, continuing distally (outward) along the modified stem, are the stamens, each consisting of a long, slender stalk, and the **filament**, which bears **pollen** on the specialized portion at the tip, known as the **anther**.

The central part of the flower, and the part farthest along the receptacle,
is the carpel, which is composed of modified floral leaves that have become folded over to protect the ovule from otherwise predaceous pollenators. The \textbf{pistil} consists of one or more carpels in the center of the flower. Generally, the pistil has an expanded base, the \textbf{ovary}, in which are one or more sporangia. These sporangia are called the \textbf{ovules}. Each one divides meiotically, creating four haploid megaspores, three of which usually disintegrate. The remaining megaspore divides mitotically to produce the female gametophyte. One of the cells near the micropylar end becomes the egg cell and some polar bodies remain.

Each anther consists of four sporangia. These divide meiotically to produce haploid microspores that mature into pollen grains, each with two nuclei. These pollen grains are the male gametophytes. When the pollen reaches the \textbf{stigma}, the receptive portion of the \textbf{style} extending above the ovary, a pollen tube grows through the stigma and the style into the ovary. When the tip of the pollen tube reaches the ovule, it enters the micropyle and releases both haploid nuclei into the female gametophyte (embryo sac). One of the haploid nuclei, which are known as sperm nuclei although they are not motile, fertilizes the egg, and the zygote develops into the sporophytic embryo. Two polar nuclei from the female gametophyte combine, forming a diploid fusion nucleus. This in turn combines with the second sperm to form a \textbf{triploid} nucleus that divides mitotically and creates triploid tissue known as the \textbf{endosperm}. This tissue surrounds the embryo and acts as the stored food upon which the developing sporophytic seed feeds. Endosperm constitutes the nutritious part of most grains and many seeds that are eaten by much of the world’s human population.

After fertilization, the angiosperm ovary, which surrounds the embryo, develops into the fruit. This protects the embryo from desiccation during the early stages of development. Later, the fruit acts as an agent of dispersal, either by being blown by the wind or falling to the ground and rolling. An animal may also aid in the dispersal process by carrying the fruit in its fur or ingesting the fruit and depositing it in feces.

The angiosperms are composed of two subgroups, the \textbf{Monocotyledonae} and the \textbf{Dicotyledonae}. Monocots, as they are often called, have embryos with one \textbf{cotyledon}, the leaflike structure composing much of the seed with its endosperm, which is composed of nutrients used during germination. Monocots usually have parallel leaf venation. The flower parts are normally in multiples of three. Most forms lack a vascular cambium. The monocots include grasses, lilies, iris, and orchids. The flowers of grasses have greatly reduced petals, stamens, and carpels, and are thought to have evolved from a lilylike ancestor.
In contrast, the dicots have embryos with two cotyledons, their leaf venation is generally netted, and the lower parts are typically in groups of four or five. In addition, those dicots with secondary growth have a vascular cambium. All the flowering plants not included in the monocots are dicots; they are the most numerous and, in many respects, the most successful plants alive.

KEY TERMS

alga
alternation of generations
angiosperms
anther
antheridia
Anthophyta
anthophytes
archegonia
brown algae
Bryophyta
bryophytes
calyx
carpels
Chlorophyta
club mosses
complete flowers
cone scales
cones
Coniferophyta
conifers
corolla
cotyledon

Cycadophyta
cycads
Dicotyledonae
dioecious
Embryophyta
embryophyte
endosperm
ferns
filament
flower
flowering plants
gametophyte generation
ginkgo
Ginkgo
Ginkgo biloba
Ginkgophyta
Gnetophytes
green algae
gymnosperms
heterospory
homosporous
hornworts
horsetails
incomplete flowers
leaves
liverworts
Lycophyta
megasporophylls
megasporophylls
micropyle
microspores
Monocotyledonae
mosses
nectaries
oogamete
oogamous
ovary
ovulate cones
ovules
perianth
petals
Phaeophyta
phloem
pinoids
pistil
Planteae
pollen
pollen-bearing cones
pollen grains
pollen tube
Psilophyta
psilophytes
psilopsids
pterophytes
receptacle
red algae
rhizoids
rhizomes
Rhodophyta
roots
seed
seed ferns
seed plants
sepal
Spermopsida
Sphenophyta
sporangia
spores
sporophylls
sporophyte generation
stalk
stamens
staminate cones
stigma
strobili
style
thallophytes
thallus
Tracheophyta
triploid
true stems
vascular cambium
vascular plants
vascular tissue
waxy cuticular layer
xylem
Multiple-Choice Questions

Algae, Bryophyta, Vascular Plants, and Psilophyta

1. The following is thought to be the group that is the direct common ancestor of most modern plants:
   a. Pyrrophyta (dinoflagellates)  
   b. Chlorophyta (green algae)  
   c. Phaeophyta (brown algae)
   d. Rhodophyta (red algae)  
   e. Euglenophyta (euglenoids)

2. Multicellular algae have ___________.
   a. true roots  
   b. true stems  
   c. true leaves
   d. considerable tissue differentiation
   e. none of the above

3. The simple body form of multicellular algae is called a _____________.
   a. thallus
   b. sporangium
   c. micropyle
   d. cone
   e. angiosperm

4. Because the simple body form of multicellular algae is called a thallus, as a group these organisms are sometimes called _____________.
   a. sporantiophytes
   b. conifers
   c. angiosperms
   d. algophytes
   e. thallophytes

5. Algal reproductive structures lack _____________.
   a. a protective wall of nondividing cells
   b. zygotes that develop into embryos after having been released from the female reproductive organs
   c. a protective wall of sterile cells
   d. all of the above
   e. none of the above

6. Bryophytes include _____________.
   a. mosses
   b. liverworts
   c. hornworts
   d. all of the above
   e. none of the above

7. The vascular plants include _____________.
   a. club mosses
   b. horsetails
   c. ferns
   d. seed plants
   e. all of the above
8. __________ are mechanisms that help embryophytes inhabit terrestrial habitats.
   a. embryophytes have multicellular sex organs covered with a layer of protective, sterile cells.
   b. embryophyte sporangia produce spores that are covered with protective sterile cells.
   c. embryophytes have a waxy cuticle that usually covers the aerial parts of the plants.
   d. all of the above
   e. none of the above

9. Bryophytes differ from thallophytes (true algae) in that __________.
   a. bryophytes are rarely filamentous, except during one stage in the life history of mosses.
   b. bryophytes are composed of cells that form tissues.
   c. bryophytes are usually terrestrial, remaining somewhat dependent on their ancestral aquatic environment.
   d. all of the above
   e. none of the above

10. Bryophytes __________.
    a. need water for their flagellated sperm cells to swim from the antheridia to the egg cells in the archegonia.
    b. lack the ability to move fluids internally across long distances.
    c. lack the support of xylem.
    d. all of the above
    e. none of the above

11. All bryophytes have a(n) __________.
    a. alternation of generations.
    b. sporophyte generation.
    c. gametophyte generation.
    d. all of the above
    e. none of the above

12. A significant innovation unique to the vascular plants is the seed, which __________.
    a. consists of an embryo.
    b. has some stored food.
    c. is enclosed within a protective coat.
    d. all of the above
    e. none of the above

Lycophyta, Sphenophyta, and Pterophyta

13. Lycophytes have club-shaped structures at the ends of their stems known as strobili, which form clusters of sporophylls. It is from these structures that the group’s common name, __________, has been derived.
    a. strobili mosses
    b. club-shaped mosses
    c. club mosses
    d. sporophyll mosses
    e. cluster mosses
14. Club mosses have ____________.
   a. true leaves
   b. true stems
   c. true roots
   d. all of the above
   e. none of the above

15. Horsetails (Sphenophyta) have ____________.
   a. true leaves
   b. true stems
   c. true roots
   d. all of the above
   e. none of the above

16. Ferns (Pterophyta) have ____________.
   a. true leaves
   b. true stems
   c. true roots
   d. all of the above
   e. none of the above

**Gymnosperms, Angiosperms, and Flowers**

17. Gymnosperms include ____________.
   a. grasses
   b. sedges
   c. rushes
   d. all of the above
   e. none of the above

18. Angiosperms include ____________.
   a. cycads
   b. ginkgoes
   c. conifers
   d. all of the above
   e. none of the above

19. The conifers include ____________.
   a. pines
   b. spruce
   c. fir
   d. all of the above
   e. none of the above

20. The conifers include ____________.
   a. cypress
   b. redwood
   c. larch
   d. all of the above
   e. none of the above

21. The conifers include ____________.
   a. hemlock
   b. junipers
   c. yews
   d. all of the above
   e. none of the above

22. The ____________ are, in terms of numbers of species, the most successful group of plants.
   a. angiosperms
   b. gymnosperms
   c. ginkgoes
   d. club mosses
   e. ferns
Answers

1. b  
2. e  
3. a  
4. e  
5. d  
6. d  
7. e  
8. d  
9. d  
10. d  
11. d  
12. d  
13. c  
14. d  
15. d  
16. d  
17. e  
18. e  
19. d  
20. d  
21. d  
22. a

Questions to Think About

1. Compare and contrast land plants with those that live in water (give examples).
2. Describe the types of waterproofing used by plants, and what their functions are.
3. What are the differences between algae and higher plants?
4. What role did vascular tissue play in the evolution of plants?
5. What is a flower? Describe its structure and function.
6. How does alternation of generations apply to different plants?
7. What are the characteristics that differentiate major groups of plants?
8. How do plants differ from all the other groups of organisms discussed so far?
9. What are seeds? And what is their significance?
10. Describe an angiosperm and give examples of different types of angiosperms.
Unicellular organisms are composed of only one cell. So, by definition, they lack tissues and organs, which are aggregations of differentiated cells. Nevertheless, unicellular organisms are extremely complex and often are classified as members of the animal kingdom. However, for reasons stated in the previous chapter, these forms have been classified as protists.

Organisms belonging to the animal kingdom share a few fundamental characteristics. When fertilized, or stimulated to divide, the animal egg undergoes several divisions, or cleavages, producing a grapelike cluster of cells, the morula. As cleavage continues, the increased number of cells becomes arranged in the early embryonic stage known as a blastula, a single spherical layer of cells that encircles a hollow, central cavity. Following the formation of the blastula, the cells in this single-layered sphere undergo a series of complex movementsestablishing the shape and pattern of the early embryo. This transformation process is known as morphogenesis. In the process the blastula becomes a simple double-layered embryo, the gastrula, from which the organism develops. The adult stages of the animal kingdom are multicellular. As adults they are composed of tissues, albeit poorly defined tissues in the case of the sponges (Porifera).

All the animal phyla are thought to have evolved from a common ancestor. Well over one million animal species have been classified to date. Of
these, the majority by far belong to the phylum Arthropoda, most of which are insects (class: Insecta). This chapter discusses all of the major phyla and some of the better-known lesser phyla.

**Lower Invertebrates**

**Porifera**

The phylum Porifera, or sponges are a group of about 10,000 species, which are aquatic, mostly marine, multicellular filter feeders that appear to have evolved from protozoans, independently from all the other multicellular phyla. The zygote develops into a multicellular, free-swimming, ciliated larva, which metamorphoses into an adult sponge by turning inside out, bringing its cilia inside. Often called poriferans, these relatively simple organisms have no organs, and their tissues are not well defined. Structurally, they consist of an outer layer of flattened epidermal cells. Inside this is a layer of wandering amoeboid cells and an innermost layer of collar cells, sometimes called choanocytes. The collar cells are flagellated. They produce water currents that flow from the external aquatic environment, through small pores in the sponge to the central cavity, the spongo-coel, and out through a larger opening called the osculum. The microscopic food particles brought in with the currents of water are engulfed by the collar cells, which either digest these particles on their own or pass them to the amoeboid cells for digestion. The water currents also deliver oxygen to the cells and carry off carbon dioxide and nitrogenous wastes.

Small needlelike crystals, composed either of calcium carbonate or siliceous material (containing silica), are scattered throughout the body of the sponge. The crystals, which are called spicules, together with proteinaceous fibers, create the skeleton that helps these animals maintain their shape.

Sponges’ usual modes of reproduction include asexual budding as well as sexual reproduction; both monoecious and dioecious forms occur. Although the larvae are ciliated and free swimming, the adults are always sessile (sedentary) and are usually attached to a submerged object.

**Cnidaria (Coelenterates): Hydra, Jellyfish, Corals, Sea Anemones**

The phylum Cnidaria, sometimes called Coelenterata, includes about 9000 species of jellyfish, hydras, sea anemones, sea fans, and corals. These
organisms occur in several basic body forms. Jellyfish are free-swimming adult forms known as medusae, which usually look like rounded domes with tentacles hanging below. The polyp cnidian body plan is basically an upside-down medusa. Unlike the motile medusae, polyps have the dome side on the bottom. They are attached to the substrate and therefore are sessile, with their tentacles pointing up, buoyed by the water. Hydra, coral polyps, and sea anemones are examples of the sessile adult cnidarians. Most cnidarians are marine, though there are many freshwater forms.

Radial symmetry, characteristic of starfish and jellyfish, means that on a line dividing these animals into two equal parts, they would be mirror images of each other. The entire phylum Cnidaria is composed of simple, radially symmetrical animals composed of an outer tissue layer, the ectoderm, and an inner endoderm. Between these is a gelatinous filling containing amoeboid and fibrous cells scattered throughout. This less distinct third tissue layer, the mesoderm, in Cnidaria is called the mesoglea.

The three tissue layers enclose a hollow interior, which is filled with water that enters and exits through one opening to the outside. By means of simple muscular movement, the jellyfish uses its body as a pump to propel itself through the water.

Cnidarian tentacles, attached around the “mouth,” have unique structures known as cnidoblasts, which are composed of nematocysts. These contain specialized harpoonlike structures connected to long threads that are discharged in response to chemical or tactile stimuli. Some of the nematocysts are sticky, barbed, or poisonous. They have the capacity, in the aggregate, to harpoon, lasso, or paralyze prey. Then the tentacles pull the food to the mouth.

With simple muscle contractions of the hanging tentacles, food is pulled up to the single opening and then into the interior, sometimes called the gastrovascular cavity, or coelenteron. There it is broken down with enzymes, enabling nutrients to be absorbed by the cells lining the cavity. These then pass the nutrients on to other cells. Waste products pass out the same opening through which the food enters. Beyond the gastrovascular cavity, there are no digestive organs. The nervous system consists of a nerve net with no centralization, meaning no central nervous system, and no head.

The only sense organs that any of these animals have are statocysts, receptor organs that inform the animal about gravity, and ocelli, light-sensitive organs that are groups of pigment cells and photoreceptor cells located at the base of the tentacles. These appear to constitute the first multicellular sense organs.

The hydras (class: Hydrozoa) are solitary and have only a polyp stage.
However, many other hydrozoans are *colonial* and have a more complex life cycle, with a sedentary hydralike stage, as well as a free-swimming jellyfish-like medusa stage. Many cnidarians have ciliated, free-swimming larvae known as *planulae*.

The true jellyfish (class: *Scyphozoa*) have a dominant medusa stage, although some also have a planula larva and a polyp stage in the life cycle.

The sea anemones, *sea fans*, and *corals* (class: *Anthozoa*) are all marine. None of the 6,200 members of this class has a medusa stage in the life cycle. They are more complex than the simple hydralike polyps. The corals secrete a hard, limy skeleton that is a major component of all coral reefs.

**Ctenophora: Comb Jellyfish**

The phylum *Ctenophora*, known as the *ctenophores*, or *comb jellyfish*, of which there are approximately 90 species, are very similar to the true jellyfish, being radially symmetrical animals with a saclike body composed of an ectoderm, an endoderm, a mesoglea, and a gastrovascular cavity. Food is digested by digestive enzymes secreted from the cells lining the gastrovascular cavity. Indigestible material is voided through the mouth and through two small anal pores located near the single statocyst. What also sets ctenophores apart from the cnidarians are their *mesodermal muscles*. In addition, when tentacles are present, they have *adhesive cells* instead of nematocysts. Finally, they lack the polymorphic life cycle found among many of the cnidarians. Most ctenophores have eight rows of cilia; these are the *combs* running along the surface of their transparent body, which account for their ability to swim.

**Platyhelminthes: Flatworms, Flukes, and Tapeworms**

The 15,000 members of the phylum *Platyhelminthes* are the simplest animals to possess a *bilaterally symmetrical* body plan. This means that they have virtually identical right and left sides, with a different top and bottom (or front and back, depending on how the organism is oriented). They also have head and tail regions. “Headness” is known as *cephalization*, which is typical of most bilateral, active organisms. The head region contains sensory cells, such as nerve cells (*neurons*), and aggregations of nerve cell bodies (*ganglia*), which are considered an early step in the evolution of a brain.

Unlike the cnidarians, which have neurons dispersed in a loose network, termed a nerve net, many of the platyhelminths have nerve cells...
arranged into long nerve cords that carry nerve impulses to and from the ganglia in the anterior end of the body. Many platyhelminths have ocelli that differentiate not only light from dark but also the direction from which the light is coming. Many also form images. In addition, platyhelminths possess chemoreceptors that enable them to locate food. Flatworms also have a tubular excretory system running the full length of the body. This system contains many small tubules that open at the body surface, where flame cells containing cilia help move water and waste materials out of the body.

Platyhelminths have three tissue layers—the ectoderm, the mesoderm, and the endoderm—which constitute the cellular portion of the body. All organisms above the ctenophore level of organization have these three distinct tissue layers. Among most flatworms, these tissue layers enclose an internal digestive cavity that is lined by endoderm. Members of one small group of flatworms, however, known as the Acoela, lack a gastrovascular cavity.

In addition to possessing the tissue level of organization, flatworms also have the organ level of complexity. Platyhelminths do not have a cavity, or coelom, between their digestive tract and body wall. Therefore, they, together with the nemertines are known as acoelomates. Nemertean (phylum: Nemertea) are commonly called ribbon worms and proboscis worms and are characterized by their proboscis, a long, muscular, tubular structure capable of being everted from the anterior region.

The platyhelminth classes Turbellaria (free-living flatworms) and Trematoda (flukes) constitute parasitic flatworms that, unlike the planarians, lack cilia. In contrast to the turbellarians, which have an epidermis, flukes have a thick, highly resistant cuticle that protects them from the acids and enzymes of the digestive system(s) in which these parasites often live. Flukes, being internal and external parasites, attach to their animal hosts with characteristic hooks and suckers. In addition to their two-branched gastrovascular cavity, much of their volume consists of reproductive organs. Many flukes have complex life histories, often involving more than one host. Among some forms, the eggs pass through the intestinal cavity of their host and hatch in what is often an aquatic habitat.

The tapeworms, class Cestoda, are internal parasites, usually living in vertebrate intestines. They have a knoblike “head” structure called the scolex, which bears suckers, and many bear hooks as well, enabling them to become attached to their hosts. The long, ribbonlike body is usually divided into segments called proglottids, which are little more than reproductive sacs. Each proglottid contains both male and female sex organs. In time, they
fill up with mature eggs. When ready, the segment detaches from the worm, moves through the host’s intestines, and is passed with the feces. If an appropriate host eats food containing tapeworm eggs, the eggs hatch inside the host. The embryos bore through the intestines, enter the blood, and are carried to the muscles where they encyst, becoming encased in a hard protective coating. They remain in the muscles until another animal eats this intermediate host. Inside the new host the cyst’s walls dissolve and the young tapeworms develop, attach to the intestinal lining, and continue their life cycle. Intermediate hosts are involved in the life cycles of many tapeworm species.

Cnidarians, ctenophores, and platyhelminths have a gastrovascular cavity with an opening that functions both as a mouth and as an anus. By observing the early embryonic stage, the gastrula, through embryonic development, it has been determined that the blastopore, which is the opening connecting the internal cavity, known as the archenteron, with the exterior of the gastrula, becomes the common mouth/anus opening in platyhelminths. However, in nemertines, while the blastopore becomes the mouth, an entirely different opening, which appears during embryonic development, becomes the anus. Similarly, it has been found that many other phyla, including the nematode worms, mollusks, annelids, and arthropods, share a similar type of development in which the blastopore becomes the mouth. For this reason, they are often placed together in a group called the Protostomia.

Other evolutionary lines in which the blastopore becomes the anus and a separate opening becomes the mouth include such phyla as the echinoderms and chordates. These phyla are collectively termed the Deuterostomia.

In addition to the formation of the mouth and anus, there are many other differences between the protostomes and deuterostomes:

1. Cells in early cleavage stages in the protostomes are determinate; that is, the developmental fates of these first few embryonic cells are at least partially determined. The early cleavage stages in the deuterostomes are indeterminate. Because the developmental fates of the first few cells have yet to be determined, each cell can develop into an individual if separated at this early stage.
2. They have different cleavage patterns. That is, they differ in the ways in which the zygote divides into separate cells.
3. Larval types differ.
4. The mesoderm is formed from the ectoderm in what are sometimes referred to as the radiate phyla (the cnidarians and ctenophores). Beyond the radiate phyla are the more advanced protostomes and
deuterostomes. In the protostomes, only a small amount of the mesoderm is formed from the ectoderm; most of it arises from cells located near the blastopore, between the endoderm and the ectoderm. In the deuterostomes, all of the mesoderm is of endodermal origin.

5. If a coelom is present, its formation differs with regard to the protostomes and deuterostomes. A true coelom is a cavity located between the digestive tract and the body wall, entirely surrounded by mesoderm. Coelomate protostomes have a coelom that forms from a split in the mesoderm. In coelomate deuterostomes the coelom forms from mesodermal sacs. These evaginate (grow out) from the wall of the archenteron, the cavity inside the gastrula stage of the early embryo, and become the digestive tract.

**Aschelminthes: Nematodes (Roundworms), Rotifers**

The aschelminthes, or sac worms, include small, often wormlike animals with a direct, rather straight digestive tract and a protective cuticle. None has a “head,” a respiratory system, or a circulatory system. Similar to the platyhelminths, many have a flame cell excretory system, although the nematodes have a unique excretory system.

Nematoda includes all the nematodes or roundworms, of which there are over 80,000 known species, ranging in size from microscopic to over three feet in length. They are elongate round worms that taper to a point at both ends. Unlike the flatworms, they don’t have ciliary movement; instead, they thrash about by alternately contracting their longitudinal muscles. Since the nematode body cavity is not entirely enclosed by mesoderm, in this case represented by bands of muscle, they do not have a true coelom, which is why they are called pseudocoelomates.

Rotifera includes all the rotifers, which represent about 2,000 species of some of the smallest and most common aquatic organisms. They are named for the circle of cilia at their anterior end. The cilia beat in a manner that appears to be circular, like a rapidly rotating wheel. With the beating cilia, rotifers draw a current of water into their mouths, enabling them to capture unicellular organisms, which are then ground up by hard jawlike structures. A posterior “foot” is used for attachment. Like the nematodes, rotifers are considered pseudocoelomate protostomes.

**Lophophorate Phyla**

The lophophorates are members of a few phyla of small protostomes, all of which have a lophophore, a specialized U– or horseshoe-shaped fold around
the mouth with many ciliated tentacles attached. This structure creates a current of water that sweeps unicellular organisms and other tiny particles into a groove that leads to the mouth.

The digestive tracts in many of these organisms are U-shaped, with the anus lying outside the crown of tentacles. All the organisms included in these phyla (Phoronida, Ectoprocta, Bryozoa, and Brachiopoda) are aquatic and most are marine. Their larvae are ciliated and free-swimming. Eventually they settle down to the substrate, where they secrete a protective case and remain sessile the rest of their lives.

The phoronids amount to about 15 wormlike organisms. There are about 5,000 species of ectoprocts; most are small, colonial, sessile organisms. There are over 5,000 species of bryozoans, and the brachiopods represent a group of 335 hard-shelled animals that look something like bivalved mollusks (clams). However, the relationships between brachiopods and other invertebrate phyla remain uncertain. Brachiopods were far more common millions of years ago; in fact, over 30,000 extinct species have been described.

Higher Invertebrates

Mollusca: Snails, Clams, Octopuses, Chitons

Mollusca and the next phylum, Annelida, are both protostomous. It is thought that they both evolved from a segmented common ancestor, which was divided into repeated sections by a series of partitions called septa. However, unlike the annelids, the mollusks lost their segmentation. Mollusks and annelids possess a coelom and a circulatory system. The coelom divides the muscles of the gut from those of the body wall, enabling both sets of muscles to move independently. Development of the coelom was paralleled by the development of a complex circulatory system, made possible by the coelomic space where fluids bathe the organs without being squeezed out by the surrounding muscles.

The mollusks are one of the more successful animal phyla in terms of species numbers, totaling about 110,000 in all. Most forms have what is termed a foot, the muscular organ upon which they move. Between the foot and the mantle, which is the outermost layer of the body wall, are the internal organs. The mantle of most mollusks secretes a calcium-containing shell, although some forms such as slugs and octopuses have lost their shells. Others have only reduced, modified versions of what were once more pronounced versions of a shell.
Mollusks share many of the same features. They are bilaterally symmetrical and most have **gills**. Some have an **open circulatory system**, while others have one that is **closed**. Mollusks have considerable cephalization with both **central** and **peripheral nervous systems**. They are dioecious, and their organ systems include specialized structures that possess **nephridia**. Many mollusks have a larval stage that is quite similar to that of marine turbellarians.

Despite the many shared molluscan features, this phylum is also extremely diverse. Although there are seven major groups (classes), the best known are those in **Gastropoda**, which includes the **snails, slugs, nudibranchs, conchs, abalones, and whelks**. These organisms have either one shell or have lost it entirely. The class **Bivalvia** includes what are more commonly known as the bivalves, those “shells” with two shells or valves. Among these are the **clams, oysters, scallops, and mussels**. The **Cephalopoda** include the **octopuses, squid, cuttlefish, and nautiluses**. The **chitons** are members of the class **Amphineura**. Generally regarded as the most primitive of the living mollusks, their body plan is the closest to what the first mollusks are thought to have looked like. Their peculiar, segmented shell distinguishes them from all other molluscan classes; it consists of eight serially arranged dorsal plates.

**Annelids**

**Annelid worms** have ringlike external segments coinciding with internal partitions. The approximately 9,000 species of these worms have been classified into three major groups. The **earthworms**, also called **terrestrial bristle worms** (class: **Oligochaeta**) are mainly terrestrial and freshwater scavengers that burrow in moist soil. The **marine bristle worms** (class: **Polychaeta**) are marine annelids that typically possess a distinct head with eyes and antennae. Usually, each of the serially arranged body segments has a pair of lateral appendages called **parapodia** for both locomotion and gas exchange. Most polychaetes move about by either swimming or crawling and are often found under things or in the mud and sand. Others are sedentary, living in tubes. Some of the tube dwellers have a crown of colorful, featherlike processes.

Believed to have evolved from oligochaetes, the class **Hirudinea** contains the group commonly called **leeches**. Though sometimes called blood-suckers, many are not parasitic. They are flattened and possess a sucker at each of the tapered ends. The blood-sucking forms attach themselves with the posterior sucker; with the anterior sucker they either pierce through the host’s skin with their sharp jaws or they dissolve the host’s flesh with
enzymes. Blocking the clotting process by secreting the anticoagulant hirudin, they continue to ingest the steady flow of blood.

Most annelids have digestive tracts that are straight, running from the mouth along the entire length of the body to the anus. Some annelids have gills, though these are usually the marine forms with parapodia. Inside the parapodia are capillary beds that function in gas exchange. The blood transport system is closed with hearts located only along the main vessels. Blood is then circulated through many smaller, adjacent vessels. Water and salt regulation is maintained by many kidneylike structures called nephridia. Their reproductive systems are well developed: Some species are dioecious, others monoecious, and some earthworms are known for their hermaphroditism, meaning that each individual has both male and female reproductive parts. Less well known is the fact that they still need to mate with one another because their organs are aligned in such a way that they are unable to mate with themselves. Therefore, these earthworms line up together with their reproductive organs meeting in what amounts to a simultaneous double mating.

Asexual reproduction is common among many forms of annelids as well. This is usually accomplished when a parent worm breaks into two or more segments that regenerate. The process is called fragmentation. Often, even before the adult divides, regeneration precedes the separation, so that a new zone of cells begins to form head and tail parts in the appropriate position. Such zones of regeneration are called fission zones.

**Onychophora**

The small phylum Onychophora includes only about 80 species worldwide, and all are restricted to tropical regions. Their long wormlike bodies look very caterpillarlike moving about on many pairs of short, unjointed legs. Onychophorans have a thin, flexible cuticle much like that of the annelids, rather than a harder, jointed, less permeable, more arthropodlike cuticle. Like the annelids, onychophorans have a pair of nephridia in each body segment, but like the arthropods, they have an open circulatory system. Modern onychophorans also possess a tracheal respiratory system that is something like that found among many of the terrestrial arthropods; however, it is thought that these systems evolved independently.

**Arthropoda: Crustaceans, Spiders, Mites, Ticks, and Insects**

The phylum Arthropoda includes more species than any other phylum, with over 500,000 described insect species. Some researchers feel that this may
represent only a fraction of their total number, claiming that there might be as many as 10 million living species of insects. It is thought that most species inhabit the tropical rain forests. **Insects** account for the majority of all the arthropodan species.

In much the same way that the chordates (which include the vertebrates) represent the most successful group of deuterostomes, arthropods are the most diverse and successful group of protostomes.

The tough external cuticle covering arthropodan bodies functions as an external skeleton, or **exoskeleton**. The cuticle is composed of proteins and the strong but flexible polysaccharide **chitin**. Attached to the inside of the many jointed, hinged parts, or plates, are muscles that enable the organism to move while being covered with a protective armor. Equally as important is the exoskeleton’s value as waterproofing. This has allowed arthropods to become one of the most successful groups to colonize terrestrial habitats.

The main drawback, however, to the arthropodan exoskeleton is that it restricts growth, weight, and size. To grow beyond a certain point, an organism must periodically detach the muscles connected to the interior of the exoskeleton, shed its cuticular layer, expand in size, and then lay down a new hard outer covering to which the muscles are reattached. Growth, therefore, is made possible through a series of molts during an arthropod’s lifetime. These successive molts also give the organism an opportunity for morphological change. This is especially true of the young. Larvae of many arthropods go through a succession of genetically controlled changes, thereby incrementally becoming more adultlike. Other species undergo a more rapid transformation, or **metamorphosis**, in just one molt. This is the case with caterpillars, which pass through their pupal stage before becoming a butterfly.

The generalized arthropodan body plan is slightly elongate with a segmented body somewhat reminiscent of its annelidlike ancestry. During the course of evolution, many arthropods lost much of their segmentation. In some forms, the segments became grouped together to form distinct body sections.

The open arthropodan circulatory system, with an internal cavity, the **hemocoel**, bathed with its equivalent of blood, **hemolymph**, was described in chapter 11, on internal transport systems.

One of the major arthropod classes is the **Arachnida** (spiders, scorpions, mites, and ticks). Arachnids have six pairs of jointed appendages, the most anterior of which, the chelicerae, are adapted for manipulating, piercing, and sucking out their prey’s fluids. Sometimes poison glands are associated with this first pair of appendages. The next pair, the pedipalps, are
sensory. They are sensitive to touch and are capable of detecting certain chemicals; they also help to manipulate food. The other four pairs of appendages are used for locomotion.

Within the phylum Arthropoda is the class **Crustacea** (lobsters, shrimp, crabs, crayfish, barnacles, wood lice, pill bugs, and water fleas). Most forms are aquatic, though some, such as the pill bugs, are terrestrial. They have two pairs of antennae, three pairs of feeding appendages adapted for chewing, and a number of pairs of legs. This is a diverse class in terms of the number and arrangement of their appendages. They are also extremely variable in terms of size: Some are small planktonic forms; others are huge crabs.

The major class in this phylum is **Insecta**. Most insects are terrestrial, and those that are aquatic appear to have evolved from terrestrial forms. Their arthropodan segmentation is reduced to three major body segments, the **head**, **thorax**, and **abdomen**. The head has a pair of antennae, specialized mouthparts, and compound eyes. The thorax has three pairs of legs and, often, one or two pairs of wings. The abdomen contains the viscera and reproductive organs. (See Figure 19.1.)

Like other arthropods, insects have specialized excretory **Malpighian tubules** and **secretory glands** that maintain water and salt balance. These structures accomplish functions analogous to those carried out by vertebrate kidneys.

Insects, like other arthropods, are dioecious. Breathing is accomplished through series of pores, the **spiracles**, which carry air through a series of smaller and smaller branching tubes, the **trachea**, to all the cells of the body.

Other arthropod classes include **Chilopoda** (centipedes) and **Diplopoda**, which are commonly called the **millipedes**. Both of these classes have bodies that are divided into a head and trunk region. The latter part is elongate, sometimes somewhat flattened, and divided into many segments. One of the main differences between centipedes and millipedes is that the former have a single pair of legs on each trunk segment while the latter

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**Figure 19.1.** Insect: American cockroach.
have two pairs of legs per segment, that is, two legs on each side of the body segment.

**Echinodermata**

There are more than 6,000 species of *echinoderms*. This entire group represents one of the two major deuterostomous phyla. The radially symmetrical animals in the phylum *Echinodermata* include the *crinoids*, *starfish*, *sea urchins*, *sea cucumbers*, *brittle stars*, and *sand dollars* in this group of “spiny-skinned” organisms, the literal meaning of Echinodermata. Each has a series of *calcareous spines* and *plates* located just under the skin. They also have *pentaradial symmetry*, with adult bodies divided into five parts around a central disc. It is usually underneath this central disc that the mouth is located.

Also unique to the echinoderms is their *water vascular system*, a series of fluid-filled vessels that use hydraulic pressure to operate the hollow tube feet or podia, each of which has a suction tip that can be attached or removed from objects. When coordinated, the tube feet manage feeding and locomotory functions.

All echinoderms are marine, usually bottom-dwelling, and most are motile. The major classes include the *Crinoidea* (sea lilies and feather stars). These have branched, feathery arms that use mucus to trap floating food particles, which are then brought to the mouth by means of the ciliated tube feet.

The *Asteroidea* (sea stars) are what most people call starfish. They are flattened, with five or more arms radiating from the central disc. Most are carnivorous, often feeding on crustaceans and mollusks, which they can open up with sustained pressure applied with their tube feet.

The *Ophiuroidea* (brittle stars and serpent stars) include animals that look something like the sea stars except that they have longer, thinner, more flexible arms branching off from their central disc. The common name comes from their arms, which are very brittle, and snap off when handled. Ophiuroidea eat detritus, organic debris that settles on the bottom, which is then digested in their large, simple, saclike stomach. They have no intestine or anus.

*Echinoidea* (sea urchins and sand dollars) represent roundish, sometimes almost spherical (e.g., sea urchins), and sometimes flattened organisms (e.g., sand dollars) that live mouth-down on the bottom. Unlike the ophiuroids, they have an intestine and anus in addition to a mouth. Sea urchins are usually protected by spines ranging in size from those that are quite short to some forms with long, thin, pointed spines that can penetrate soft skin. The calcareous plates have holes through which the tube feet protrude.
Holothuroidea (sea cucumbers) look like cucumbers. They don’t have any arms but are able to move slowly on their slightly flattened ventral side with their tube feet. Many forms eat sand, filtering out the organic particles and passing the rest through their anus.

Embryological studies have revealed that the echinoderms and the next group, the chordates, share many characteristics. Therefore, researchers place them on an evolutionary branch that diverged long ago from the protostomes.

**Hemichordata: Acorn Worms**

There is one more invertebrate phylum, Hemichordata, to describe before reaching the chordates. This represents about 85 marine animals that look something like fairly large worms, most of which live in U-shaped burrows. Their conical proboscises are acornlike—hence the name acorn worms.

They are particularly significant because they share some characteristics of both the echinoderms and the chordates. Therefore, they are sometimes thought of as an offshoot from an early common ancestor. Along the wall of their pharynx is a series of gill slits, one of the key characteristics that identify the chordates. In addition, they have a ciliated larval stage that is very similar to that of some echinoderms.

**Chordata**

**Urochordata: Tunicates and Sea Squirts and Cephalochordata: Lancelets**

The phylum Chordata is usually divided into three subphyla, the Urochordata (tunicates), Cephalochordata (lancelets), and the Vertebrata (vertebrates). The first two subphyla are considered the invertebrate chordates, although each contains, during some stage in its life history, a rodlike notochord that acts as an internal skeleton. In the vertebrates, it is the notochord that is surrounded or replaced by vertebrae. All three subphyla have gill slits in their pharynx (pharyngeal gill slits) at some point during their lives. They also have a dorsal hollow nerve cord, unlike other animals with a main nerve cord that is ventrally located.

Urochordata (tunicates and sea squirts) have tadpolelike larvae with a notochord and a dorsal hollow nerve cord. During metamorphosis, the notochord is resorbed and the larva expands in girth into a sessile adult tunicate, which is little more than a sedentary, filter-feeding pharyngeal basket.

Only 29 species in the subphylum Cephalochordata are known. Although
similar to the urochordate tadpole larvae just described, the lancelets (Amphioxus) have a much larger gill system containing many more gill slits. They feed like urochordates, using cilia to create a water current that carries particulate matter into their mouths. While the water passes out the gill slits, the food particles trapped in mucus pass down the pharynx into the alimentary canal where they are digested.

Vertebrata

Vertebrates (Vertebrata) have a vertebral column that distinguishes them from other chordates. Their vertebrae form the backbone that is the supporting axis holding up the body and protecting the spinal cord. Together, the seven classes composing this group total about 43,000 species, of which nearly half are fish (superclass: Pisces). The fish comprise three classes, Agnatha (lampreys and hagfish), Chondrichthyes (sharks and rays), and Osteichthyes (bony fish). The other four classes are the amphibians (Amphibia), reptiles (Reptilia), birds (Aves), and mammals (Mammalia).

Pisces: Fish

Agnathans were originally filter-feeders, straining mud and water through their mouth and out their gills. The only living members are highly modified, having lost their bone and replaced it with cartilage. Both lampreys and hagfish are jawless, with disc-shaped mouths that are either rasping or sucking. Their gills are internal to their gill arches. They lack scales and, unlike the other two classes of fish, they lack paired fins. Lamprey larvae are filter-feeding and remarkably similar to Amphioxus.

Chondrichthyes include the sharks, skates, rays, and chimaeras. They all have cartilaginous skeletons, paired fins, and jaws derived from gill arches. Living forms have teeth and small scales.

All the other fish are members of the group Osteichthyes. Most are bony and all have jaws. (See Figure 19.2.) An early offshoot of this group eventually led to the first amphibians.

Amphibia: Amphibians

The earliest amphibians were quite fishlike. Although they had lungs and leglike appendages, they probably spent most of their time in the water. Slowly they exploited nearby terrestrial habitats, but specific characteristics restricted their advancement, evolutionarily speaking.
They all laid fishlike eggs that were generally exposed and susceptible to rapid desiccation. Therefore, these eggs needed to be laid in a moist place and were usually deposited in the water. From behavioral studies of modern amphibians, it is thought that most early amphibians were external fertilizers; that is, sperm was deposited and fertilization occurred after the eggs had been laid. This had the effect of restricting the breeding of amphibians to times when the males and females could meet in the same spot where the eggs are laid. Most modern amphibians are external fertilizers, though some have evolved modes of internal fertilization.

During development, the norm is for the young to pass through a gilled larval stage, although in a very few cases, the larval stage has been circumvented through specialized developmental modifications. Most adult amphibians have a rather permeable skin that renders them susceptible to desiccation. Amphibians played a dominant role in life on earth during the Carboniferous period, often called the Age of Amphibians, 280 to 360 million years ago. However, they slowly declined as members of a new class of chordates, the reptiles, replaced them.

Of those amphibians to survive, the groups that are still represented include the salamanders (order: Apoda), and the frogs (order: Anura). Together these living amphibian species total about 2,500, and new species, especially frogs, continue to be discovered. (See Figure 19.3.)

**Reptilia (Reptiles)**

Evolving early on from primitive amphibians, the reptiles expanded in numbers and importance until the Mesozoic era, or the Age of Reptiles, 255 to 265 million years ago, after which their dominance declined. The character-
istics that enabled them to become so successful included both the **shelled egg** and the embryonic membrane, known as the **amnion**, which the amphibians lacked. The amnion is the innermost membrane in the reptilian egg. It is also found in bird eggs as well as among mammals. The embryo, located within the amnion, is bathed in amniotic fluid, which protects the developing animal from mechanical injury. It seems to have been an important development that, in addition to the outer shell, enabled reptiles to lay terrestrial eggs, freeing them from their amphibian and fish ancestry, which required aquatic egg-laying.

Reptiles are **internally fertilized.** The male inseminates the female with sperm. Then the female can go off and lay her eggs at another time. In some instances, the sperm can live over a year inside the female, giving her considerable freedom with regard to where and when she oviposits (lays her eggs). When the eggs hatch, unlike the amphibians, the young are basically small versions of the adults, never having to pass through a larval stage. Since amphibian larvae are tied to the water, this advancement created another factor that freed reptiles from their aquatic ancestry.

Some reptiles were largely terrestrial; their dry, scaly, relatively impermeable skin substantially reduced their susceptibility to water loss, allowing them to inhabit environments that were considerably more arid than those occupied by most other vertebrates.

Other differences include the reptilian legs, which are usually larger and stronger and are oriented less laterally than those of the amphibians, enabling many reptiles to carry their bodies off the ground. This lateral arrangement

![Figure 19.3. Amphibian: Green frog.](image-url)
appears to have been better for more rapid, terrestrial locomotion. Reptilian lungs are better developed than those of amphibians, and greater rib musculature enables better lung ventilation. Their four-chambered heart is better equipped to cope with the demands of a terrestrial existence.

The four surviving orders of modern reptiles are the **turtles** (order: *Chelonia*), **crocodiles and alligators** (order: *Crocodilia*), **lizards** and **snakes** (order: *Squamata*), and the tuatara, which is the sole surviving member of an ancient order, **Rhynchocephalia**. Together, there are about 6,500 species of reptiles. (See Figures 19.4 and 19.5.)

### Aves: Birds

The earliest bird fossils are those of **Archaeopteryx**, which appear in 150-million-year-old deposits. These animals had wings and **feathers**. But, unlike modern birds, *Archaeopteryx* had teeth and a long bony tail. Modern birds lack teeth, and their bones are hollow, making them lighter, an adaptation for flight. Like reptiles, they have a four-chambered heart. There are nearly 9,000 species. All have internal fertilization and land-adapted eggs with calcium carbonate shells. They are **homeothermic**. That is, they regulate their body temperature, in large part by means of their metabolism. (See Figure 19.6.)

### Mammalia: Mammals

Mammals also evolved from an early group of reptiles. The oldest mammalian fossils are 200 million years old, from the Triassic. Reptiles were still dominating the earth when the first mammals evolved, but it was during this time that mammals established many of the characteristics that eventually enabled them to do so well when the opportunities became available. (See Figure 19.7.)
Figure 19.5. Reptile: Snapping turtle.

Figure 19.6. Bird: Black-crowned night heron.
It was at the end of the Cretaceous period, about 65 million years ago, that many of the dominant groups of reptiles became extinct over a span of several million years. This period of extinctions was accompanied by increased opportunities that led to an increase in mammalian numbers as well as species.

Like the birds, mammals have a four-chambered heart. Both mammals and birds are homeothermic, or warm-blooded. Both bird feathers and mammal hair and fur function as insulation. Other characteristics that differentiate the mammals from the reptiles include their diaphragm, the muscle under the rib cage that significantly improves their breathing efficiency. Specific skeletal features are unique as well as certain anatomical features, such as their greatly enlarged cerebrum. Mammals do not lay eggs, except for the monotremes, which include the platypus and the spiny anteater. After birth, mammals nourish their young with the milk that is secreted from the mother’s mammary glands.

**KEY TERMS**

- abalones
- abdomen
- Aceola
- acoelomates
- acorn worms
- adhesive cells
- Agnatha
- agnathans
- alligators
- amnion
amoeboid cells  
ampibians  
Amphineura  
Amphioxus  
animal kingdom  
annelid worms  
Annelida  
Anthozoa  
Anura  
Apoda  
Arachnida  
Archaeopteryx  
archenteron  
Arthropoda  
aschelminthes  
asexual budding  
Asteroidea  
Aves  
barnacles  
bilateral symmetry  
birds  
Bivalvia  
blastopore  
blastopore  
blastula  
bony fish  
Brachiopoda  
brachiopods  
bristle stars  
Bryozoa  
calcareous spines and plates  
calcium carbonate  
centipedes  
central nervous system  
cephalization  
Cephalochordata  
Cephalopoda  
Cestoda  
Chelonia  
chemoreceptors  
Chilopoda  
chimaeras  
chitin  
chitons  
choanocytes  
Chondrichthyes  
Chordata  
cilia  
ciliated larvae  
circulatory system  
clams  
cleavages  
closed circulatory system  
Cnidaria  
cnidoblasts  
Coelenterata  
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Multiple-Choice Questions

Sponges

1. Sponges are multicellular filter feeders that have __________ organs.
   a. no                     d. three
   b. one                   e. four
   c. two

2. The poorly defined tissue layers of poriferans include
   a. an outer layer of flattened epidermal cells
   b. a layer of wandering amoeboïd cells
   c. an innermost layer of collar cells
   d. all of the above
   e. none of the above

3. Sponges have a central cavity called the __________.
   a. osculum
   b. spicule
   c. spongocoel
   d. gastrovascular cavity
   e. stomach

4. Water leaves the spongocoel via the __________.
   a. osculum
   b. spicule
   c. spongocoel
   d. gastrovascular cavity
   e. stomach

5. The water currents also deliver oxygen to the cells and carry off __________ and __________.
   a. carbon dioxide, nitrogenous wastes
   b. chlorophyll, carotenoids
   c. leucocytes, lymphocytes
   d. all of the above
   e. none of the above

6. Small needlelike crystals of either calcium carbonate or siliceous material, known as __________, are scattered throughout the body of sponges creating a skeleton of sorts that helps maintain their shape.
   a. spongocoels
   b. osculums
   c. spicules
   d. epidermal nodules
   e. mesodermal nodules

7. Adult sponges are __________.
   a. mobile
   b. ciliated
   c. sessile
   d. all of the above
   e. none of the above
Cnidaria and Ctenophora

8. The free-swimming form found among cnidarians that usually looks like a rounded dome with hanging tentacles is known as a __________.
   a. polyp
   b. medusa
   c. snail
   d. mesoglea
   e. planula

9. Cnidarians have a sessile stage that is attached to the substrate, with the tentacles pointing up, which is known as a __________.
   a. polyp
   b. medusa
   c. snail
   d. mesoglea
   e. planula

10. The outer layer of a cnidarian is composed of the tissue known as __________.
    a. mesoderm
    b. phisoderm
    c. endoderm
    d. placoderm
    e. ectoderm

11. Between the cnidarian’s outer and inner layers is a gelatinous filling comprising a third layer, containing amoeboid and fibrous cells scattered throughout; this layer is called the __________.
    a. mesoglea
    b. phisoderm
    c. endoderm
    d. placoderm
    e. ectoderm

12. Before the food is absorbed by the cnidarians’ cells, it is broken down in the hollow interior called the __________.
    a. gastrovascular cavity
    b. coelenteron
    c. spongocoel
    d. all of the above
    e. a and b

13. Unique to the cnidarians, their tentacles are armed with __________ containing __________, which are like harpoons connected to long threads that are discharged in response to chemical or tactile stimuli.
    a. statocysts, ocelli
    b. nematocysts, cnidoblasts
    c. statocysts, nematocysts
    d. nematocysts, ocelli
    e. cnidoblasts, nematocysts

14. The only sense organs that any of the cnidarians have are __________ and __________.
    a. statocysts, ocelli
    b. cnidoblasts, nematocysts
    c. statocysts, statoblasts
    d. planularians, hydrolarians
    e. scyphozoa, anthozoa
15. Many cnidarians have ciliated, free-swimming larvae known as 
   a. medusas  
   b. polyps  
   c. planulae

   d. tadpoles

16. The ________ are very similar to the true jellyfish, being radially symmetrical animals with a saclike body form composed of an outer ectoderm and an inner endoderm with a mesoglea and gastrovascular cavity. However, they don’t have nematocysts, and most have eight rows of cilia (combs) running along the surface of their transparent body, enabling them to swim.
   a. Scyphozoa  
   b. Anthozoa  
   c. Hydrozoa

   d. Ctenophora

   e. Cnidaria

**Platyhelminthes, Nemertea**

17. Flatworms have a tubular excretory system running the full length of the body. This system contains many small tubules that open at the body surface where ________ that contain cilia help move water and waste materials out of the body.
   a. chemoreceptors  
   b. nerve net  
   c. ganglia

   d. kidneys

   e. flame cells

18. Platyhelminths, along with another similar phylum, the Nemertea, are termed ________ because they do not have a cavity between their digestive tract and body wall.
   a. coelomates  
   b. acoelomates  
   c. protostomes

   d. deuterostomes

   e. proboscis worms

19. Tapeworms have a knoblike “head” structure termed the ________ bearing suckers and often hooks as well.
   a. ganglion  
   b. proglottid  
   c. scolex

   d. osculum

   e. spicule

20. Tapeworms, members of the platyhelminth class, Cestoda, have a very long ribbonlike body that is usually divided into segments called 
   a. ganglia  
   b. metamers  
   c. septa

   d. scolexes

   e. proglottids
21. Both the cnidarians and the platyhelminths have a gastrovascular cavity with one opening that functions as both a mouth and an anus. During embryonic development, it is the _______ that becomes the mouth and anus in these organisms.
   a. gastropore       d. deuterostomia
   b. archenteron      e. blastopore
   c. protostomia

22. It has been shown that in nemertines (proboscis worms), the blastopore becomes the mouth and an entirely different opening, which appears during embryonic development, becomes the anus. This evolutionary line, where the blastopore becomes the mouth and another opening becomes the anus, is often called the _______.
   a. lower invertebrates  d. protostomia
   b. higher invertebrates e. deuterostomia
   c. rhynchocephalia

23. Another evolutionary line in which the blastopore becomes the anus and a new mouth is formed is termed the _______.
   a. cleavagestomia       d. deuterostomia
   b. blastopores          e. cnidaria
   c. protostomia

**Aschelminthes, Lophophores**

24. Nematodes are long roundworms that taper to a point at both ends. Unlike the flatworms, they don’t have ciliary movement, but, instead, they thrash about with their longitudinal muscles. Since their body cavity is not entirely enclosed by mesoderm, they do not have a true coelom, and are termed _________.
   a. acoelomates       d. gastrocoels
   b. coelomates        e. enterocoels
   c. pseudocoelomates

25. There are a few phyla of small protostomes, all having a ________, which is a specialized fold around the mouth, usually U-shaped, that has many ciliated tentacles attached. These groups are often called the lophophorates.
   a. tentaculophores   d. brachiopod
   b. phoronida         e. lophophore
   c. bryozoan
**Mollusca, Annelida, Onychophora, and Arthropoda**

26. The phylum that includes the gastropods, bivalves, cephalopods, and amphineurans is termed _________.
   a. Gastropoda  
   b. Bivalvia  
   c. Amphipoda

27. The phylum including the earthworms, polychaetes, and leeches is called _________.
   a. Oligochaeta  
   b. Polychaeta  
   c. Hirudinea

28. Earthworms maintain water and salt regulation with many kidneylike structures called the _________.
   a. flame cells  
   b. metanephros  
   c. nephridia

29. Crustaceans, spiders, mites, ticks, and insects are all members of the phylum _________.
   a. Echinodermata  
   b. Onychophora  
   c. Arthropoda

30. Lobsters, shrimp, crabs, crayfish, barnacles, wood lice, pill bugs, and water fleas are all members of the _________.
   a. Echinodermata  
   b. Onychophora  
   c. Crustacea

31. Arthropods have specialized excretory structures that accomplish functions analogous to those carried out by the kidneys in vertebrates. These arthropod structures are called _________.
   a. kidneys  
   b. spiracles  
   c. Malpighian tubules

32. Insects have tiny pores called ________ that allow air to pass from outside their cuticle to the interior parts of their body.
   a. spiracles  
   b. Malpighian tubules  
   c. spicules
33. The centipedes are members of the arthropod class _________.
   a. Chilopoda
   b. Diplopoda
   c. Crustacea

34. The millipedes are members of the arthropod class _________.
   a. Chilopoda
   b. Diplopoda
   c. Crustacea

**Echinodermata and Hemichordata**

35. Which of the following groups of organisms has pentaradial symmetry?
   a. crinoids
   b. starfish
   c. sea urchins
   d. sea cucumbers
   e. all of the above

36. Without the following, it wouldn’t be possible for the tube feet or podia, which enable echinoderms to move about, to function: _________.
   a. water vascular system
   b. hydraulic pressure
   c. fluid-filled vessels
   d. all of the above
   e. none of the above

37. Sea lilies and feather stars have branched, feathery arms that use their mucus to trap food particles floating by. These forms are members of which echinoderm class?
   a. Crinoidea
   b. Asteroidea
   c. Ophiuroidea
   d. Echinoidea
   e. Holothurioidea

38. Starfish, or sea stars, are members of which echinoderm class?
   a. Crinoidea
   b. Asteroidea
   c. Ophiuroidea
   d. Echinoidea
   e. Holothurioidea

39. A marine phylum that contains about 85 species that possess a series of gill slits along the wall of their pharynx, as well as having ciliated larvae, is known as _________.
   a. Echinodermata
   b. Chordata
   c. Hemichordata
   d. Annelida
   e. Arthropoda
Chordata

40. The organisms that at some stage in their life history contain a notocord, a dorsal hollow nerve cord, and pharyngeal gill slits are known as _________.
   a. Urochordata  
   b. Cephalochordata  
   c. Vertebrata  
   d. Chordata  
   e. all of the above

41. Tunicates and sea squirts are members of one of the chordate subphyla known as _________.
   a. Urochordata  
   b. Cephalochordata  
   c. Hemichordata  
   d. Vertebrata  
   e. Chordata

42. Unlike the ________ and the _________, the vertebrates have a vertebral column.
   a. Urochordata, Cephalochordata  
   b. Agnatha, Chondrichthyes  
   c. Osteichthyes, Aves  
   d. lampreys and hagfish  
   e. all of the above

43. What group of chordates that are evolutionarily more advanced than the fish have fishlike eggs and are external fertilizers?
   a. amphibians  
   b. reptiles  
   c. birds  
   d. mammals  
   e. ostracoderms

44. The characteristics that enabled ________ to become so successful during the Mesozoic era included their shelled eggs and internal fertilization.
   a. amphibians  
   b. reptiles  
   c. birds  
   d. mammals  
   e. fish

45. Unlike modern birds, ________ had teeth and a long bony tail, but they also had feathers.
   a. Archaeopteryx  
   b. early amphibians  
   c. early reptiles  
   d. early mammals  
   e. none of the above

46. Besides modern birds, the following group of chordates also has a four-chambered heart:
   a. fish  
   b. amphibians  
   c. reptiles  
   d. mammals  
   e. hemichordates
47. Like the birds, mammals are ____________.
   a. homeothermic
   b. poikilothermic
   c. amniotes

48. After birth, mammals nourish their young with milk that is secreted from the ____________ of the mother.
   a. hair
   b. mammary glands
   c. sebaceous glands
   d. salivary glands
   e. none of the above

Answers

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Questions to Think About

1. What features do members of the animal kingdom share?

2. What are all the different kingdoms of organisms and what features characterize each one?

3. What differentiates members of the animal kingdom from the other kingdoms?

4. List as many phyla within the kingdom Animalia as you can think of, and then write down their main characteristics.

5. What differentiates the protostomes from the deuterostomes?
6. Are humans more closely related to starfish or to insects? Why?

7. Compare and contrast the arthropods and the mollusks. Include major groups of each phylum.

8. What features do all chordates have in common? Do they share any of these with a possible common ancestor? If so, what is that common ancestor thought to be? Describe a living relative.

9. What characteristics are used to classify the major groups (classes) of vertebrates? Use those characteristics to describe each of the vertebrate classes.
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